

*Village of Carol Stream
Illinois*



1959 - 2009

2009 – 2010 Annual Budget

2010 – 2012 Financial Plan



THE
Village of Carol Stream
A GREAT PLACE TO LIVE AND WORK

In 1959, its founder and original developer, Mr. Jay Stream, incorporated the Village of Carol Stream. Jay Stream envisioned Carol Stream as a community comprised of residences in the west sector with light industrial business and industries in the east sector. Since its incorporation 50 years ago, the Village has continued to grow and prosper each year by following a progressive land use development plan, which has resulted in controlled, orderly growth. Today, the Village is home to over 1,000 businesses and industries and approximately 40,738 residents living in approximately 15,000 dwelling units in various styles and price ranges. Because of a strong industrial and commercial base, the Village has been able to offer its residents an array of municipal services without levying a local property tax. Carol Stream offers its residents modern schools, a modern park system, fully accredited fire protection and emergency medical services, a full-service library and churches serving many religious denominations.

The Village administers a commercial/industrial retention program. In 2008, the Village worked with Jason Industrial, Carol Stream Family Pharmacy, Giordano's Enterprises, Fed-Ex, Team Services, Smart Sampling, DuPage Health, Vangelo's Grill as well as Parkway Bank to open or expand their business operation. In its 5th full year of operation, the Carol Stream Community Education Center continues to support local businesses through its offering of workforce training and skill development training classes and business development seminars. The Village continues its efforts to acquire rights-of-way for the future expansion of water services to Benjamin Middle School, McCaslin Park, the District 25 Administrative Center and other unincorporated properties in the southwest planning area.

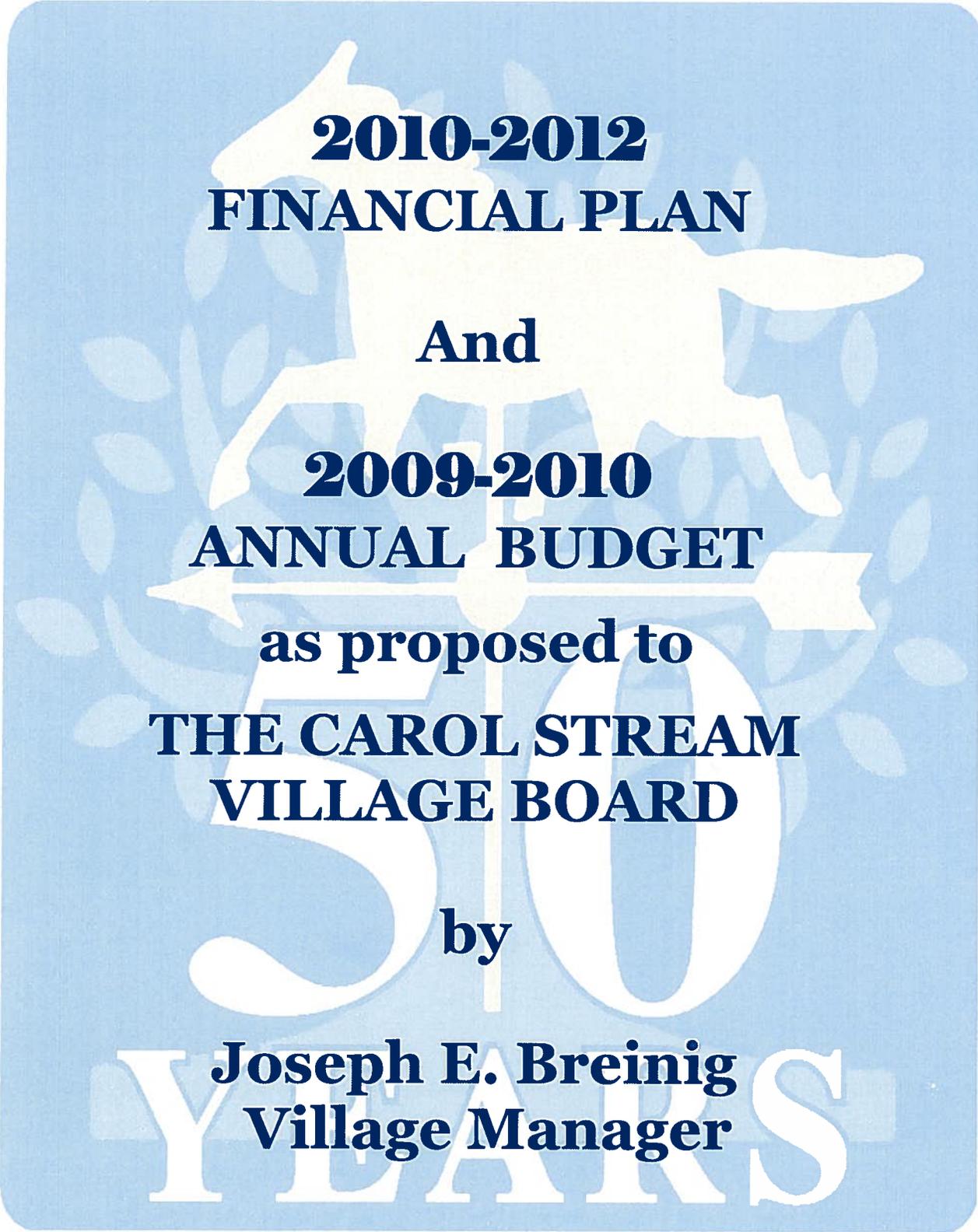
In 2009, engineering design will begin on the Kuhn Rd. Trail, a 2.3 mile pathway that will serve to link up the Lies Rd. path south along Kuhn Rd. to the Great Western Trail. This important infrastructure improvement project will be financed through \$1.16 million dollars in federal grant funds earmarked for transportation and congestion mitigation. Trail construction is scheduled in 2010 with an anticipated late summer dedication.

The Village has undertaken an environmental assessment of its organization to determine opportunities to incorporate sustainable practices in its operation. This effort has been very successful in reducing electricity use in targeted buildings, in greening the automotive fleet, in increasing the community's recycling program, in reducing the use of road salt and in championing anti-idling efforts at local elementary schools. In addition, the Village unveiled a comprehensive environmental education portal on the organization's web page that has been effective in promoting sustainability community-wide.

A 7-member legislative body comprised of a Mayor and 6 Trustees, each elected at large to a staggered four-year term, govern the Village. As a legislative body, the Mayor and Board of Trustees enact ordinances, establish policies and procedures, and adopt municipal service delivery programs. The Village Board meets on the 1st and 3rd Monday of each month at 8:00 p.m. in the Village Hall Board Room, located at 500 N. Gary Ave. The Board also hosts an informal "Listening Post" at 7:30 pm, prior to the start of each Board meeting, during which time residents can share their individual concerns directly with the Village Board. All Board meetings are open to the public. Official Village Boards and Commissions consist of: The Board of Fire and Police Commissioners, Police Pension Fund and the Combined Planning & Zoning Board of Appeals. The Village Manager is the Chief Administrative Officer who, under the direction of the Mayor and Board of Trustees, manages daily governmental operations.

Acting in close partnership with all segments of the community, the Village continues to be – **'a great place to live, work, and raise a family'**.

The Village hosted a 50th Anniversary logo competition to help the organization brand its activities during the upcoming 2009 event season. Seven local artists participated in the logo design competition which was won by local resident Mike Kenneally who submitted the design depicted on the budget cover. The award-winning logo design incorporates two prior Village logos and elements from the current Village logo and will serve as the official 50th Anniversary logo during the Village's Golden Jubilee celebration.



**2010-2012
FINANCIAL PLAN**

And

**2009-2010
ANNUAL BUDGET**

**as proposed to
THE CAROL STREAM
VILLAGE BOARD**

by

**Joseph E. Breinig
Village Manager**

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RESOLUTION NO. 1844

**A RESOLUTION ADOPTING STATEMENTS OF PRINCIPLE,
DIRECTION AND GUIDANCE FOR VILLAGE GOVERNMENT DECISIONS**

WHEREAS, the Mayor and Board of Trustee engaged in strategic visioning on September 8 and 9, 2000; and

WHEREAS, the Mayor and Board of Trustees have previously adopted statements to reflect the vision for the community and the purpose of the Village government; and

WHEREAS, the Mayor and Board of Trustees have also considered important values which guide its actions.

NOW, THEREFORE, BE IT RESOLVED BY THE MAYOR AND BOARD OF TRUSTEES OF THE VILLAGE OF CAROL STREAM, DUPAGE COUNTY, ILLINOIS, IN THE EXERCISE OF ITS HOME RULE POWERS, as follows:

SECTION 1: That the Mayor and Board of Trustees formally adopt the following as its statements of principle, direction and guidance for Village government actions:

1. **Vision Statement:** Continue to build upon our history and create our own legacy to encourage and sustain a superior quality of life.
2. **Statement of Purpose:** Finding community based approaches to create and maintain a customer friendly environment for the provision of affordable services and products, identifying community needs and developing means to fulfill those needs.
3. **Value Statement:** Providing outstanding customer service while exercising fiscal responsibility is of the highest value. Diversity found within the community is embraced and the wide range of housing opportunities and businesses in the Village is a source of pride. Participation in local government by informed community members is encouraged and sought. The professionalism and expertise of the Village staff is valued and their continued active involvement in professional associations and on-going professional development is strongly supported. Both elected and appointed officials are urged to participate in intergovernmental efforts and associations recognizing the benefits such work can bring to the Village.

SECTION 2: The Vision, Purpose and Value Statements, along with the financial plan policies established annually, shall guide the future policy and governance decisions of the Carol Stream Corporate Authorities.

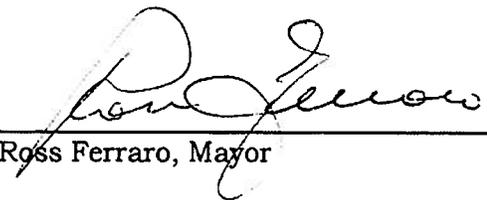
SECTION 3: This Resolution shall be in full force and effect from and after its passage and approval as provided by law.

PASSED AND APPROVED THIS 4TH DAY OF DECEMBER, 2000

AYES: 4 Trustees Stubbs, Pugh, Fenner and Shanahan

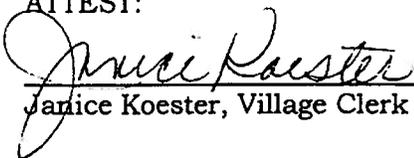
NAYS: 0

ABSENT: 2 Trustees DeLonay and Vinson



Ross Ferraro, Mayor

ATTEST:



Janice Koester, Village Clerk *et al*

RESOLUTION NO. 2318

A RESOLUTION ADOPTING STATEMENTS OF GOALS, DIRECTION AND GUIDANCE FOR VILLAGE GOVERNMENT DECISIONS

WHEREAS, the Mayor and Board of Trustees engaged in strategic visioning on October 29-30, 2007; and

WHEREAS, the Mayor and Board of Trustees have previously adopted statements to reflect the vision for the community and the purpose of the Village government; and

WHEREAS, the Mayor and Board of Trustees have also considered important values which guide its actions.

NOW, THEREFORE, BE IT RESOLVED BY THE MAYOR AND BOARD OF TRUSTEES OF THE VILLAGE OF CAROL STREAM, DUPAGE COUNTY, ILLINOIS, IN THE EXERCISE OF ITS HOME RULE POWERS; as follows:

SECTION 1: That the Mayor and Board of Trustees formally adopt the Strategic Planning and Goal Identification Ranking dated January 22, 2008 attached hereto as Exhibit "A". The Village Board is hereby further resolved to determine the methods and means to achieving these goals through action plans developed by Village staff.

SECTION 2: The Strategic Plan and Goals, along with the Vision, Purpose and Value Statements and the Financial Plan Policies established annually, shall be used in guiding future policy and governance decisions of the Carol Stream Corporate Authorities.

SECTION 3: This Resolution shall be in full force and effect from and after the passage and approval as provided by law.

PASSED AND APPROVED THIS 22nd DAY OF JANUARY 2008.

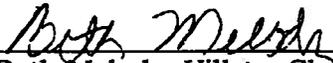
R. 2318

AYES: 6 Trustees Drager, Weiss, Schwarze, McCarthy, Gieser &
Fenner
NAYS: 0



Frank Saverino, Sr., Mayor

ATTEST:



Beth Melody, Village Clerk

**CAROL STREAM 2007 SESSION - CONSOLIDATED
RANKING - ADOPTED JANUARY 22, 2008**

(Updated April 30, 2009)

AVG	GOAL ID #	GOAL	Status
2.0	1	Revise permits to stage retail development timing on construction projects	Completed
2.1	2	Research/develop a comprehensive "rental housing" licensing policy process requiring licensing and inspections	Pending FY09
2.7	3a	Complete annexations of unincorporated areas within & contiguous to Carol Stream.	Not Programmed
2.7	3b	Identify long term funding source for infrastructure replacement/maintenance	Pending FY10
3.1	4	Research and design a streamlined permitting process for smaller/regular building projects (decks, storage, sheds, etc.)	Pending FY09
3.7	5	Long term staffing plan for services	Ongoing
3.9	6	Develop a customer service training culture & mind-set, etc... Work to emphasize and re-establish a "customer first" approach by staff	Pending FY09
4.1	7	Implement a proactive communications plan....	Pending FY10
4.4	8a	Develop criteria/philosophy for funding long-term capital needs, improvement plans and programs	Pending FY10
4.4	8b	Research and explore the use of an automated traffic enforcement system	Completed
4.6	9	Explore an adjustment to the "real-estate transfer" fee from \$3 to \$5	Completed
4.7	10a	Develop an expansion plan to extend Water/Sewer to unincorporated areas	Completed
4.7	10b	Develop / Conduct a long term maintenance plan for Village roads	Completed
5.3	11	Continue to take the lead to make Carol Stream a "Green Community"....	Ongoing
5.6	12a	Design and implement a well-conceived succession planning strategy - Adapt our recruitment, compensation, and training approaches	Ongoing
5.6	12b	Manage carefully our human assets - explore an expansion of the use of technology to augment staff.	Ongoing
5.6	12c	Review all building/zoning codes and our entire permitting processes	Completed
5.6	12d	Research, identify and acquire land sites for future infrastructure needs (Water Tower, waste water, etc...)	Pending FY10
6.1	13a	Develop guidelines/policies to deal with potential housing vacancies (consider a vacant building registration ordinance)	Not Programmed
6.1	13b	Explore energy self-sufficiency options for water reclamation plant	Pending FY10
6.3	14	Site/Construct an evidence storage facility for Police Department.	Pending FY10
7.3	15	Be prepared to adapt to expectations/needs of new/emerging workforce	Ongoing

**CAROL STREAM 2007 SESSION - CONSOLIDATED
RANKING - ADOPTED JANUARY 22, 2008**

(Updated April 30, 2009)

AVG	GOAL ID #	GOAL	Status
7.9	16	Work to fully “integrate” GIS into all department functions and work flow for all departments	Completed
8.0	17	Ramp-up for 50th Anniversary Celebration	Ongoing & Pending
8.1	18a	Build a permanent Structure in the Town Center (i.e., a public building) to replace the “tent”	Pending FY10
8.1	18b	Adapt our Public Information techniques to include new platforms to a new generation. Create public information to meet their expectations (i.e., podcasts, streaming, etc)	Pending FY10
8.1	18c	Thoroughly investigate and review revenue retention and expansion alternatives - explore new sources of revenues and protect existing sources from legislative change	Completed
8.1	18d	Implement water reclamation plant upgrades; work to develop strategies to make the treatment plant "energy efficient/self sustaining"	Pending FY10
8.6	19	Update/Re-write electrical code	Pending
8.7	20	Research/explore use of professional grant writers or lobbyists to help secure financing for Village programs and improvements	Not Programmed
9.0	21	Explore the viability of a “service tax” for non-retail transactions	Not Programmed
9.3	22a	Develop plans to expand bike paths in Village when doing other projects, etc.	Completed
9.3	22b	Work to increase Inter-Governmental involvement and outreach to solidify relationships and explore common goals/resource sharing...	Completed & Ongoing
9.3	22c	Explore the feasibility of building/installing a Village wide WI-FI for Village department use. Calculate the possible use, costs, benefits etc.	Not Programmed
9.6	23	Create a Village organization “Internet customer service transaction portal” to do business online	Pending FY10
10.3	24a	Develop, plan policy guidelines for village's role in commerce, industry, residential redevelopment (e.g., teardowns)	Not Programmed
10.3	24b	Present analysis and plan to add more staff to meet existing and growing needs.	Completed & Ongoing
10.6	25a	Develop a firm plan to market the “Town Center” to a developer	Not Programmed
10.6	25b	Develop and implement a “true marketing plan” for high visibility corridors for commercial development	Not Programmed
10.6	25c	Be cautious not to lose touch in interactions with customers -Emphasize importance of customer service	Pending FY09
10.7	26	Conduct a cost-benefit analysis of having an in-house attorney and our overall legal services model...	Completed
11.6	27	Develop a long-term asset management plan; grid/map-out all village buildings, roads, sewers, water mains, etc. -and program maintenance and replacement needs on cost/time-frame schedule	Completed

**CAROL STREAM 2007 SESSION - CONSOLIDATED
RANKING - ADOPTED JANUARY 22, 2008**

(Updated April 30, 2009)

AVG	GOAL ID #	GOAL	Status
12.1	28	Research the use of bonds and long-term financing alternatives to fund capital needs without straining operating funds	Not Programmed
12.4	29	Complete a thorough Comprehensive Plan update	Pending FY10 & FY11
12.9	30	Commit to implementing a supervisor's development and succession planning program for Police Department...	Pending FY10
13.3	31	Develop a long-term plan for local response/preparation for Homeland Security measures	Ongoing
13.7	32	Develop long-term financing strategy providing a reliable/dedicated funding source for critical infrastructure maintenance and capital projects	Pending FY10
13.9	33a	Establish two new advisory councils (business and seniors)	Not Programmed
13.9	33b	Plan and prepare for residential teardowns...	Not Programmed
14.1	34	Develop a long-term hazard mitigation plan	Ongoing
14.7	35	Prepare the community for 2010 census	Pending FY10
14.9	36	Make the relentless pursuit of certain businesses a priority - Develop and advance a proactive economic development plan/program (especially business	Not Programmed
15.3	37	Investigate transit ideas/alternatives for multiple-community service—light rail etc.	Not Programmed
15.6	38	Explore cost comparison of current risk management services and coverage	Completed
16.6	39	Create policies/practices that make us less vulnerable, less defensive for long-term needs as well as services and programs; work to be proactive	Ongoing
16.7	40	Create a "budget-in-brief" document for board/public	Pending FY10
18.0	41	Work with Metro Caucus on the "Clean Energy TAG Program"	Not Programmed
18.1	42	Address compensation system	Pending FY10
18.6	43a	Explore internal Wi-Fi system for police, Public Works, Fire, Village Hall, etc.	Completed
18.6	43b	Energize our supervisor development and succession planning for all departments	Pending FY10
19.1	44	Develop systems/approaches and guidelines needed for program budgeting techniques/program accounting	Completed
19.9	45	Review current policy on use of Village-owned properties	Completed
22.6	46	Explore staffing levels in Clerk's office	Pending FY10

Key:

Long-term Routine

Short-term Complex

Lon-term Complex

Short-term Routine

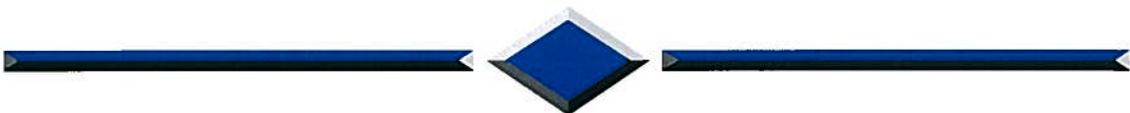


Village of Carol Stream

Mission Statement

**WE, AS EMPLOYEES FOR THE VILLAGE OF CAROL STREAM
WILL SERVE WITH PRIDE BY:**

-
- * **Providing Quality, Affordable Services/Products And Well Maintained Facilities For All Our Customers.**
 - * **Committing To Perform Our Jobs In A Responsive And Efficient Manner Following The Highest Ethical Standards.**
 - * **Inquiring, Listening And Responding To Customers' Concerns In A Timely And Courteous Manner.**
 - * **Striving To Provide A Challenging And Fulfilling Work Environment That Attracts, Develops, And Retains Conscientious, Innovative And Productive Employees.**
 - * **Continuing To Be A Leader In Local Government, Making Meaningful Contributions To Our Professions.**
 - * **Working As A Partner With All Members Of The Community To Make Carol Stream A Better Place To Live and Work.**
 - * **Embracing Our Diversity As A Source Of Pride**





GOVERNMENT FINANCE OFFICERS ASSOCIATION

*Distinguished
Budget Presentation
Award*

PRESENTED TO

**Village of Carol Stream
Illinois**

For the Fiscal Year Beginning

May 1, 2008

President

Executive Director

The Government Finance Officers Association of the United States and Canada (GFOA) presented an award for Distinguished Budget Presentation to the Village of Carol Stream for its annual budget for the fiscal year beginning May 1, 2008.

In order to receive this award, a governmental unit must publish a budget document that meets program criteria as a policy document, as an operations guide, as a financial plan and as a communication device.

The award is valid for a period of one year only. We believe our current budget continues to conform to program requirements, and we are submitting it to GFOA to determine its eligibility for another award.

WHAT IS IT?

A Financial Plan is a long-range approach to assessing the Village's revenue and expenditure needs required to achieve the goals of the Village as identified in the Mission, Purpose and Values statements. It also becomes the basis for formulating the one-year annual budget which by law must be adopted. The Financial Plan is developed by utilizing existing policies, objectives, assumptions, and accepted benchmarks and activity measures. A three-year period has been chosen as it is a period for which assumptions and predictions can be made with some certainty. The first year of the Plan is the Proposed Budget for the next fiscal year. The Financial Plan brings together the Village's other long range planning efforts, such as the Capital Improvement Plan, Water Supply Report, Comprehensive Development Plan, Pond and Stream Bank Study, Technology Plan, Pavement Management System Study, Water Reclamation Center Study, and the Community Image Enhancement Project (Gary Avenue Corridor). Also, a long range plan provides the Village's residents and other customers an opportunity to comment upon and to know what is projected for future years in the way of services and the resources needed to provide them.

WHY?

This businesslike approach to municipal financial planning allows the Village Board, as policy formulators, and management to analyze programs, to develop alternatives and to forecast. The future fiscal impact of today's decisions can be better determined and known, thus protecting against the "crisis" or immediacy element that can creep into governmental fiscal decisions.

HOW?

Over the years, the Village has developed several data bases of financial information, created from Audited Comprehensive Annual Financial Reports, Financial Plans, operational records and data supplied by local government associations, utilities, State agencies and others. There also are fiscal, community development and service policies established by the governing body, which have directed the Village's financial affairs. In the Fall of 2007, the Village Board established the guidelines for the development of this multi-year financial plan.

After the Plan is accepted and implementation begins, if adjustments are needed because of changed conditions, then they can be accomplished in an orderly manner.



1959 - 2009

Village of Carol Stream

FRANK SAVERINO, SR., MAYOR • BETH MELODY, CLERK • JOSEPH E. BREINIG, MANAGER
500 N. GARY AVENUE • CAROL STREAM, ILLINOIS 60188-1899
(630) 665-7050 • FAX (630) 665-1064
www.carolstream.org

TO: Mayor and Trustees

FROM: Joseph E. Breinig, Village Manager 

DATE: April 6, 2009

RE: **Proposed 2010-12 Financial Plan
and Proposed Fiscal Year 2009-10 Budget**

Submitted for your review and consideration are the Proposed Fiscal Year 2009-10 (FY10) Budget along with the Village's Capital Improvement Plan document. This annual budget of programs, services, revenues and expenditures for one year is part of the Proposed 2010-12 Financial Plan, which is also submitted. For future planning and decision-making, the Village of Carol Stream's Three-Year Financial Plan broadens fiscal planning horizons and yet has sufficient presence so that projections can be made with a high degree of certainty. Further, it is amply futuristic so that the future effect of today's decisions can be seen.

The Capital Improvement Plan (CIP) takes a comprehensive look at the Village's infrastructure and facility needs for the next 5, 10 and 20 years. The CIP is a multi-year plan that forecasts spending for all anticipated capital projects. The CIP enables the Village to identify needed capital projects and then coordinate the financing and timing. An effective CIP consists of both an administrative process to identify the location, scale, and timing of needed capital projects and a fiscal plan to provide for the funding of those projects.

The Village's Financial Plan and Annual Budget have been recognized by the Government Finance Officers Association (GFOA) through the presentation of twenty-one Distinguished Budget Presentation Awards, most recently for the 2009-11 Financial Plan and 2008-09 (FY09) Budget.

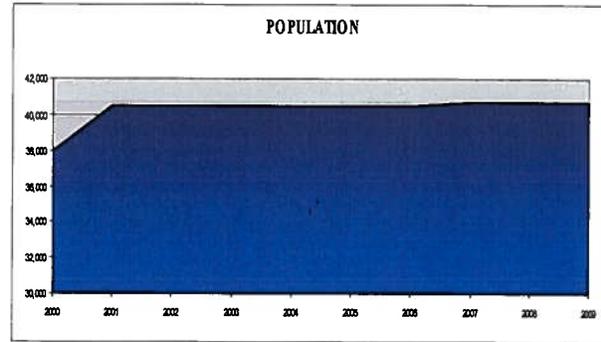
FOUNDATIONS OF THE FINANCIAL PLAN

The underpinnings of the Financial Plan are your statements of principle, direction and guidance as contained in Resolutions 1844 and 2318 as well as the organization mission statement and the established budget/fiscal, economic and community development and service policies. In combination, these lead to identifying the specific resources needed to continue to progress and provide our residents with the services, products and quality of life they enjoy.

THE 2010-12 PLAN AT A GLANCE

As DuPage County and the Village have grown and become more urban and centers of employment, social and transportation issues associated with urban living and working have become more prominent and apparent. In response, Community Problem Oriented Policing's

(CPOP) philosophy of early identification of problems and community-based solutions has been incorporated throughout all Village departments. Also, in recognizing the growth in the diversity of the Village, in 2009, the Village will be hosting its seventh annual multi-cultural festival, continue its participation in the National Night-Out for Crime event and be a sponsor and active participant in the community's Martin Luther King Day celebration.



All original streets and utility infrastructure have been replaced. Streets and utility lines receive continuing preventive maintenance so as to avoid the extremely costly reconstruction encountered when maintenance is deferred. The last of the Village's three sanitary lift stations will be replaced in FY10. The West Street/Gunderson Drive reconstruction is also scheduled for FY10. The Southwest Water Main Extension project is scheduled for FY10 and will extend the Village's water distribution system to the unincorporated area southwest of the Village.

Quality of life issues have gained more attention as the community matures. Community appearance and environment, safety and economic viability are important to all. The Town Center will continue to provide a "sense of place" and a civic landmark for the Village. Community and entertainment events held at the Town Center have been a huge success and the "Summer in the Center" celebration schedule has been enhanced annually. The financial plan includes funds to continue the high level of upkeep that has historically been performed at the Village Municipal Center, Town Center, Historic Farmhouse and the Gary Avenue, County Farm, Lies Road and North Avenue corridors. The appearance of private property will be encouraged by the ninth year of the Community Pride Award program. The Pond Shoreline and Stream Maintenance program focuses on the cleaning of stormwater ponds, the creeks in the Village and helps meet environmental regulations for stormwater. Economic development efforts will focus on the Town Center corridor, the southwestern area along North Avenue and any undeveloped/underdeveloped shopping areas. Of course, retention of our existing businesses and attraction of new businesses will have a high priority. Community Problem Oriented Policing, special operations and crime prevention activities and community outreach efforts are directed to providing our residents and customers a high degree of personal comfort as they go about their daily lives in Carol Stream. The Police Department was awarded Recognition status by CALEA (Commission on Accreditation for Law Enforcement Agencies) in March 2007. The CALEA Recognition program identifies 110 standards from the Standards for Law Enforcement Agencies accreditation manual. Full CALEA accreditation is expected in 2010. All of these efforts, as well as our e-Gov initiative and targeted marketing and communication activities, are focused on making Carol Stream **the** place to live, work, shop and socialize.

Historically, the Village has prepared a program budget which has worked well for the past 20 years. Due to constraints with the financial software, the Village was unable to account by program. With the recent change in financial software, the accounting can now be done therefore; each program will have its own line item budget to support it. What this means in this proposed budget is (1) the Departmental line item page has been replaced with separate line item pages for each program, (2) the Department's total budget will be presented on the Summary

page and (3) the Actual, Expenditures column for the program line-item budgets will be blank. Staff feels that with the new accounting system in place, the true cost of each program can be determined which will assist the Board in their decision-making process. In an austere economy, this level of accounting can be of great assistance in making difficult decisions on the allocation of resources.

The 2010-12 Financial Plan consists of three elements: Operating, Capital, and Special.

OPERATING ELEMENT

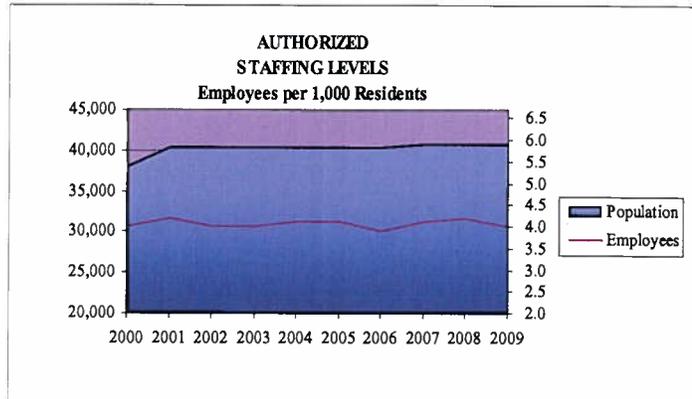
Proposed FY10 Operating Element Revenues are \$29,168,746. The Proposed FY10 Operating Element Expenditures, consisting of the General Corporate and the Water and Sewer Operating and Maintenance Funds, are \$30,437,389. The General Corporate Fund includes one new revenue source, the rental property inspection program, and a full year of the ATLE (Automated Traffic Light Enforcement) program. The balance of the projected revenues reflects a very slow economy. The Water and Sewer Fund includes a water rate increase for FY10 and FY11 to recognize and offset the increased costs of purchasing water from the City of Chicago through the DuPage Water Commission. A sewer rate increase is proposed for FY11, primarily due to a continued reduction in consumption. For the General Corporate Fund, an operating deficit (net income less non-operating revenue and re-appropriation of fund balance) is projected for all three years. The first year of the plan will be balanced by utilizing a portion of the operating reserve. Like other mature communities, the Village will have to deal with a revenue base that grows at a much slower pace than does the expenditures. The Village will need to make some decisions, some of which will be more difficult than others, on the services delivered and how they are ultimately funded. The Village Board, along with staff, is currently working and will continue to work on ways to eliminate/mediate the projected deficits.

Anticipated growth and the collateral service demands of an increasing population will result in the need to add a sixth patrol zone. Originally, the staffing requirements for a sixth patrol zone was going to be accomplished over a three-year period through FY09 but due to vacancies and the projected deficit position, the addition of a sixth patrol zone was re-evaluated. Until economic conditions improve, the sixth patrol zone has been put on hold and all vacant positions will remain under scrutiny and will be filled as conditions warrant and allow.

As a service provider, the Village's largest single operating cost is personnel, representing 60.5% of total operating expenditures. **The total proposed FY10 full-time personnel complement is 162.7, a decrease of 7.5 compared to FY09.** The FY10 proposed budget also reflects the elimination of 2 new positions that were proposed for FY10 last year. In total, the personnel complement proposed for FY10 is 9.5 less than last year's plan. The positions that were eliminated are the staff engineer, 6 police officers, a crime prevention officer, an investigative aide and the part-time administrative aide. In the Water and Sewer Fund, no personnel changes are being recommended. Pension and group health insurance represent a significant portion of the annual personnel expenditures (24.1%). These costs, which for the most part we do not control, continue to increase at a rate much higher than the CPI. These costs in FY10 are projected to be \$4,431,233 compared to \$4,276,760 budgeted in FY09, a 3.6% increase. In FY11, the negative impact of the large negative returns in the pension plans that are currently being realized will result in the required contributions increasing by 20% - 30%.

Use of technology and improved methods of operating are important and necessary to deliver services and products. A multi-year technology plan guides the provision of new hardware and software implementation, along with ongoing replacement of hardware. The new financial software package is approximately 98% implemented. The new financial module has been set up so as to account by program therefore resulting in the proposed change in the budget format.

The use of the private sector complementing and supplementing Village staff is integral to providing high quality affordable services and products. Refuse collection, tree trimming, water reclamation center operation, janitorial services, street light repairs, legal services, engineering reviews, building inspections, major building, street and vehicle repair, snow and ice removal, event planning and landscape maintenance are among the more than thirty areas where this combination works very effectively. The use of volunteers from the community is another resource increasingly utilized. **As a result, the authorized employee to resident population ratio is 4.0 to 1,000.**



In 2007, the Village was identified as an area with the Emerald Ash Borer. In anticipation as to what this might mean to the current parkway tree population (42% are Ash trees), the Village Board allocated \$2.13m as a designated reserve for the possible removal and replacement of the Ash trees. Also, the Village Board entered into a Tree Harvesting Agreement that provides the Village with 2,000 trees between 2012 and 2015. A tree planting agreement was also entered into that would result in 150 trees per year being planted from 2008 to 2011.

Federally mandated environment regulations without funding will require a significant expenditure of local funds to meet even more stringent standards for stream and river water quality. The National Pollutant Discharge Elimination System (NPDES) requirements for storm water loom large. The Gary Avenue, County Farm Road, Lies Road and North Avenue Corridors and the Town Center will remain community landmarks. Wetlands, streams and parks will continue to receive attention. As Carol Stream matures, increased emphasis is being placed on infrastructure maintenance and replacement. A comprehensive Capital Improvement Plan has been developed to address the Village's infrastructure needs and staff is exploring options in which to finance the future projects.

General Corporate Fund

The proposed Operating Revenue of \$22,222,498 is at the same level as the estimated revenue for FY09 and 5.6% less than projected one year ago for FY10 primarily due to the decline in the economy. Building related revenues are projected to be \$380,000 lower than was projected last year, the real estate transfer tax is projected to be \$455,000 lower and receipts from the hotel tax are projected to be \$61,000 lower, all as a result of the current economic condition.

The Village's share of the state sales and income taxes plus the local home-rule sales tax, utility tax and natural gas use tax represent 75% of the General Corporate Fund operating revenue. The state shared sales and income taxes represent 44.5% of the operating revenue. The local real estate transfer tax, which historically has been relatively stable, is truly reflective of the current economy. The sales of pre-existing homes have slowed down dramatically resulting in a drop of 60% from where we had projected them to be a year ago. Building related fees reflect, for the most part, are mostly for accessory type permits. What new construction was planned has come to a halt. Future construction projects will depend on the state of the economy. When the economy improves, future interest will be on the possible annexation and development/redevelopment of the southwest sector that is currently outside of our corporate limits. The CIP includes the extension of a water line into this area with hopes of spurring annexation and development.

Proposed Operating Expenditures of \$23,738,638 are 1.2% higher than the FY09 budget and 4.2% less than the FY10 projection made a year ago. The reduction is primarily due to the elimination of 9.5 authorized positions.

Water and Sewer Operation & Maintenance Fund

The FY10 proposed Operating Revenue amount of \$6,946,248 is .8% greater than the FY09 revenues and 4.3% less than the FY10 revenue projection of last year. The operating revenues are projected to be .8% higher than FY09 because proposed increase in the water rate offset the projected reduction in use. A water rate increase is proposed for FY10 and FY11 due to the City of Chicago raising the rate that it charges the DuPage Water Commission. A sewer rate increase is proposed for FY11, primarily due to a reduction in water consumption. In general, water usage has slowly been trending downward, reflecting the increased efforts of our customers to practice water conservation measures.

Proposed FY10 Operating Expenditures of \$6,698,751 are 9.6% higher than FY09 estimated operating expenditures and 1.2% less than the FY10 projection made a year ago. The largest expenditure item is water purchased from the DuPage Water Commission followed by the management contract for the Water Reclamation Center. Funds have been included to continue the televising, program for sections of the sanitary sewer system. The proposed budget reflects no change in the personnel complement.

CAPITAL ELEMENT

The five-year segment of the Capital Improvement Program is \$38,095,000 with the FY10 year being \$9,359,000. A continuing systematic street maintenance and reconstruction program is aimed at maintaining a "good" street system rating. The reconstruction of West Street from Della Avenue to Gunderson Drive and Gunderson Drive from West Street to Main Place is scheduled for FY10. The first year of the plan also includes the Southwest Water Main Extension Sanitary Lift Station.

The Village has no general obligation or revenue bonded indebtedness. Reserves in the General Corporate Capital Improvement Fund and the Water and Sewer Fund are projected to be sufficient to undertake the programmed capital improvements for the next five years. The Motor Fuel Tax Fund, is projected to only have enough funds to fund its programs for the first three

years, therefore, designated funding source for capital projects will need to be identified and implemented by FY13 in order to complete all of the projected projects. The Village has received and actively pursues grants to assist in the funding of capital projects. Of the projects included for the next five years, the Village has received grants totaling \$1.16 million for the Kuhn Trail project.

SPECIAL ELEMENT

Payments to the **Police Pension Fund** are at the required levels in each year, as is the payment to the **Geneva Crossing Shopping Center Tax Increment Financing (TIF) Debt Service Fund**. In 2005, the Geneva Crossing TIF bonds were refinanced so as to take advantage of a favorable interest rate environment. Resolution No. 1658, a Resolution Authorizing the Execution of an Intergovernmental Agreement with Community Unit School District 200, states that if the Geneva Crossing TIF bonds are not fully defeased by January 1, 2007, the Village shall pay \$30,000/yr. for four years into the Special Tax Allocation Fund held by the Bond Trustee. The bonds were not defeased by January 1, 2007; so therefore, the second of four annual payments of \$30,000 was made to the Trust in FY09. The budget includes the remaining two required payments. The developer is responsible for paying 50% (\$15,000) of these required payments.

The **Library Fund** for FY10 is included in this document. Although the Library budget is not prepared by Village staff, by statute, their budget and their annual property tax levy must be approved by the Village Board.

LOOKING AHEAD

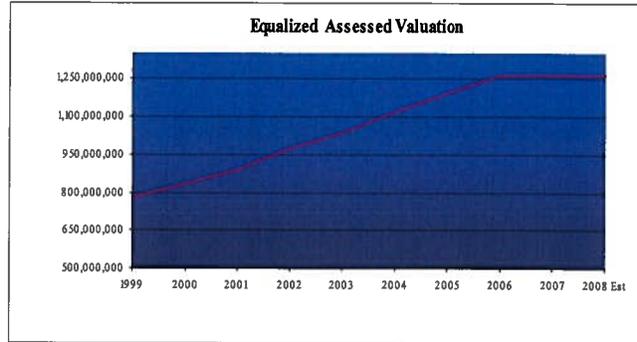
As we are continually reminded, the Village's three main general operating revenue sources are subject to weather, economic swings, business decisions, and the vagaries of state and federal legislative, judicial, and regulatory processes. The down economy combined with the loss of two top twenty sales tax generators has contributed to the Village's projected deficit position in each of the next three years. The Village's "pay-as-you-go" (capital reserves) philosophy as it pertains to the Capital Improvement Program is nearing the point where a funding source will need to be identified and implemented. For the first time in its existence, the Village will be utilizing short-term debt to finance the acquisition of large vehicles. Decisions that pertain to both new or enhanced revenue sources and/or reductions in programs will be necessary to balance future budgets.

With the Village just observing its 50th anniversary of incorporation, it has become apparent that greater attention will need to be paid to its utility infrastructure. As the Village grew, emphasis was properly placed upon expansion and extension of utility systems. Fifty years have exacted their toll upon the water, sanitary sewer and stormwater systems. Consequently, the Village finds itself faced with replacement of watermain, sanitary sewer, lift stations and other infrastructure because of age. Identification and prioritization of these needs will be central to future capital planning.

We must be vigilant in defending and diligent in expanding our revenue base. To date, municipalities have continued to receive their share of the state sales tax. The financial difficulties that the State of Illinois has been experiencing has sparked some discussion at the State level regarding making a change to the allocation formula; so as to help reduce their deficit.

It is also possible that the State may change the sharing formula for the income tax and motor fuel tax revenues. Effective January 1, 2003, our telecommunications tax became a state tax collected by the State of Illinois and remitted back to the Village. Now that this is a State revenue, it too is subject to a change in the formula if the State so desires. Again, the Village must be vigilant on defending our key revenue sources. The Village supports the taxing of internet sales. As the State of Illinois explores the option of taxing internet sales, the Village will monitor and participate in any proposed legislation that alters and/or modifies the allocation of sales tax to municipalities.

The Village's equalized assessed valuation surpassed the \$1.35 billion (i.e. \$4.1 billion market value) for 2007, a tri-fold increase since 1990. Approximately 36.8% of the equalized assessed valuation is commercial/industrial. Although the Village does not levy a property tax, this base is available to those who do and may serve as a financial alternative for the Village. Growth in the residential sector will be minimal if any. Non-residential development is expected to be small at best over the next three years.



In 2003 the state legislature diverted \$75 million from the DuPage Water Commission (DWC) to DuPage County to be paid for over a five-year period. The final payment has been made to DuPage County. The Village will actively work with other DWC customers to monitor Commission finances. Current unobligated reserves may allow the DWC to reduce rates and/or provide rebates. The Village will participate in any discussions on reductions in reserves.

Obviously, expenditures need to be addressed as well. Over the years, service levels have been refined and seem to be acceptable to our residents and customers. The employee to resident population ratio has remained constant over the past 24 years despite a 161% increase in residents, phenomenal growth in corporate residents, increased traffic passing through town and social changes that have occurred. The continued delivery of high quality service to customers is evidence of the quality and commitment of Village staff. Village staff members complemented by private sector resources efficiently provide quality and affordable services. Continuing emphasis will be put on quality and process improvements to keep a high level of performance at the lowest possible cost. Systematic equipment and vehicle replacement give our staff the tools it needs to do the job. Scheduled facility and infrastructure maintenance minimize breakdown repairs and inconvenience. The costs associated with Federal and State mandated programs are not directly within the control of the Village. New programs or any expansion to current service levels should have a fee or revenue source attached to it.

During the FY10 fiscal year, the Village will continue to operate without a property tax and the 2010-12 Financial Plan also does not contemplate the implementation of a property tax. All customers will continue to receive the wide range of Village services in a timely manner at competitive and affordable prices and enjoy a high quality of life. The Village's ability to continue the delivery of those services in the manner customers have come to expect is threatened. The Village has reached the point where new or enhanced revenue sources are

needed to fund the on-going needs within the operating and CIP budgets. Absent new revenues, significant reductions in expenses and services will be required.

Cooperation with groups such as the U.S. Conference of Mayors, National League of Cities, Illinois Municipal League and the DuPage Mayors and Managers Conference will be necessary to maintain a municipal view in Springfield and Washington D.C. on the unfunded mandates issue, i.e., homeland security which was previously provided by the federal government, home rule authority, protection of municipal revenues and on the future role of local governments in providing services and programs that have traditionally been provided by the federal government.

The Village has utilized a multiple year financial planning process for two decades for its operating budget. The Village also utilizes a Capital Improvement Plan that extends out to 20 years. As a result of our multi-year plan process and philosophy, forecasting, given all of the variables, assumptions and uncertainties, although not precise, has become reliable and consistent. This has given us the ability to foresee earlier what is coming our way. That being said, staff and the Village Board, have begun working towards a solution to the projected deficits in the second and third year of the plan.

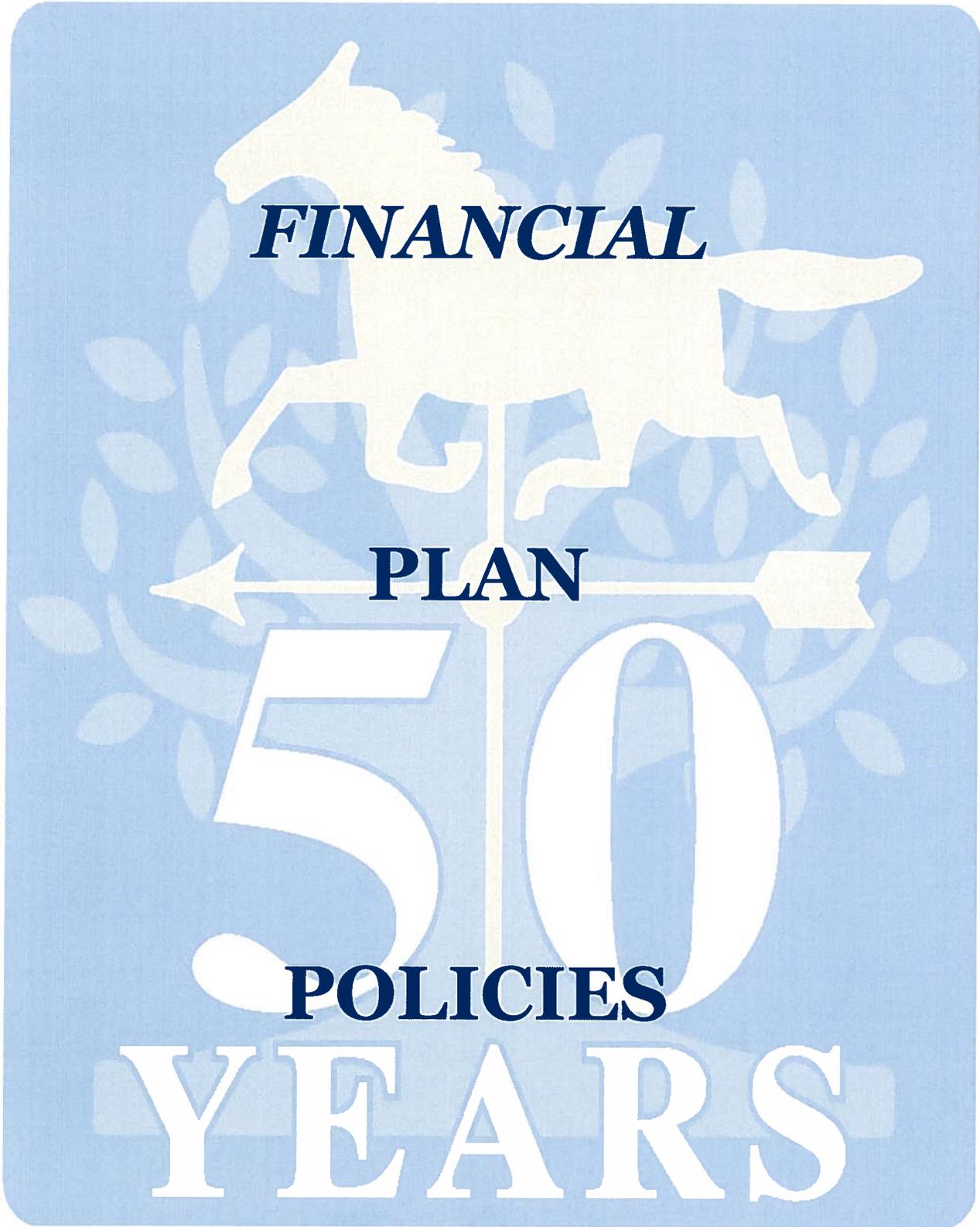
In the fall of 2007 the Village Board held a retreat in which long and short-term goals and objectives were discussed. Where applicable the FY09 budget identifies areas of expenditure that address those goals.

COMPLIMENTS AND THANK YOU

The entire management team has spent considerable time working on the 2010-12 Financial Plan. Particular thanks go to Assistant Village Manager Bob Mellor, Finance Director Stan Helgerson, and Assistant Finance Director Dawn Damolaris, who acted as the “Financial Plan Team” to coordinate the creation of the overall plan. Thanks also go to Administrative Secretary LaVon McGhinnis for coordinating the formatting, assembly and production of the final document. Lastly, the operating departments are to be commended for the additional work required to reformat the budget

Of course, your continued acceptance of this approach to municipal financial planning and budgeting must be applauded. Critically reviewing, assessing and adjusting policies and practices can be challenging. But, if the community is to continue to progress and to remain financially stable, this is necessary.

The proposed 2010-12 Financial Plan serves the public interest in describing and providing the resources for the provision of Village services and products to its customers. I look forward to working with you in your review and adoption of the FY10 Budget and 2010-12 Financial Plan.



FINANCIAL

PLAN

50

POLICIES

YEARS

INTRODUCTION

Over the years, the Village's governing board has followed a defined set of policies and philosophies in directing the Village's fiscal affairs. Some were established when the Village was first founded in 1959. With some adjustments, refinements and additions, these basic policies and philosophies have been followed and have resulted in a dynamic and successful community with a strong financial position and diverse economic base taking advantage of circumstance and "the good times" has certainly contributed to the Village's financial strength but adherence to fundamental fiscal policies has provided the foundation and long term results. The following fundamentals have served the Village well particularly during the economic recessions of the 1980's, 1990's and early 2000's.

These policies and their results have been reviewed as part of the financial planning process and reaffirmed as the basis for developing this Financial Plan.

OVERVIEW

Policies in three major areas have been established. These are identified as Fiscal, Community and Economic Development, and Village Services.

All of the policies within these three categories are aimed at keeping the cost of Village government as low as possible, developing a solid diversified community and economic base, providing excellent customer service, spreading the cost of government fairly among those served, and future expansion paying its own way so that existing residents who paid for community development once were not burdened for future expansion costs.

The result of these policies is a municipality which imposes no property tax (the Road and Bridge and Personal Property Replacement Tax are not taxes that are levied by the Village) has sound and modern public facilities, offers high quality services and products, has historically not relied upon general obligation debt, and one that is able to meet its operating and capital needs while at the same time reserving funds for dealing with catastrophic events should they occur. By following a set of guidelines, the Village has managed to stay on a financially healthy course and it will continue to do so if it uses caution and maintains the discipline of following the policies established.

LOOKING AHEAD

Market factors, global economic conditions, societal and generational changes, actions by regulatory agencies and executive, legislative and judicial decisions made by other governmental jurisdictions all have a direct impact on the Village. In 2007, the Village began to realize a slow down in the economy and at that point, started to make budgetary decisions in anticipation of a worsening economy. 2008 moved from a slowing economy into a recession resulting in the Village having to make budgetary reductions throughout the year.

The current recession has negatively affected some of the Village's key revenues. Although the sales tax revenues are currently holding their own, the Village is projecting a reduction in the Income Tax, Real Estate Transfer Tax, Building related revenues and Interest Income totaling approximately \$1 million. The proposed expenditure budget has been reduced to a level where staff feels is a minimum so as to offer our current services. A portion of the operating reserves will need to be utilized in order to balance the FY10 budget. Staff anticipates that it may take a couple of years for the economy to rebound and is recommending that the operating reserves be utilized to help get through this economic downturn.

As the Village moves forward, current and new financial challenges will face the community. Like other mature communities, the Village will have to deal with a leveling of the revenue base even as expenditures continue to increase. The Village will need to make decisions, some may be more difficult than others, on the services delivered and how they are ultimately funded.

CONCLUSION

Today's decisions produce tomorrow's results. The Financial Plan provides the opportunity for making well informed decisions, based on adherence to solid well thought out policies and does provide a forecast of what the results of today's decisions reasonably may be in the future. This type of planning process gives governing officials, the staff and residents the opportunity to identify those policies which need modification and the time to deal with what could be significant change.

A. General

The Village of Carol Stream has a tradition of sound municipal financial management. The multi-year Financial Plan and the Annual Budget include a set of policies to be followed in managing the financial and budgetary affairs of the Village. These policies will allow the Village to maintain its strong financial condition, as well as quality services now and in the future.

B. Revenue

1. The Village endeavors to maintain a diversified and stable revenue base to shelter it from short-term fluctuations in any one-revenue source. The revenue mix combines elastic and inelastic revenue sources to minimize the effect of an economic downturn.

Surpluses identified but not included in the annual base-operating budget are dedicated to capital, plant and equipment.

2. Through the Village's economic development program, the Village will strive to strengthen and further diversify its revenue base.
3. The Village will project revenues for the next three years and will update this projection annually. Each existing and potential revenue source will be reexamined annually or more often if needed.
4. The Village will maintain a revenue monitoring system to assist in trend analysis and revenue forecasting.
5. The Village will oppose State and/or Federal legislation that will mandate costs to units of local government without providing a new or increasing an existing revenue source to pay those costs.
6. The Village follows a "cost of service" approach which results in user fees, rates and customer charges being sufficient to cover the cost of providing the service. Each year the Village will establish user fees, rates and charges at a level related to the cost of providing the service and to adjust for the effects of inflation.
7. The Village will set fees and user charges for each enterprise fund, such as Water and Sewer, at a level that fully supports the total direct and indirect cost of the activity. Indirect costs include the cost of annual depreciation of capital assets.
8. The Village will not use one-time revenue for continuing expenses. All new and continuing expenses will be based on known and conservatively projected revenue sources. The identification of new, but one-time revenue opportunities (i.e. state and

federal grants) will be used to fund one-time expenses such as capital equipment purchases and small capital projects not involving on-going operating expenses.

C. Expenditure

1. The Village will maintain a level of expenditures which will provide for the public well being and safety of the residents of the community.
2. Expenditures will be within the confines of generated revenue.
3. The Village will maintain expenditure categories according to state statute and administrative regulation.
4. Services will parallel and adjust to the Village's inelastic revenue sources in order to maintain the highest level of service. During periods of economic upturn, long-term expansion of core services will be limited to the anticipated increase of those sources.
5. The Village will forecast its expenditures for each of the next three years and will update this forecast annually. Expenditure forecasts will be cognizant of the elastic and inelastic structure of revenues.
6. The Annual Operating Budget and Financial Plan and Capital Improvement Program should provide for adequate design, construction, maintenance, and replacement of the Village's capital plant and equipment.
7. A performance based employee compensation package consistent with sound economic policies of the Village of Carol Stream is maintained to recruit and to retain qualified employees.

D. Debt Administration

Purpose

The Debt Management Policies set forth comprehensive guidelines for the Financing of capital projects and infrastructure. It is the objective of the policies that (1) the Village obtain financing only when necessary, (2) the process for identifying the timing and amount of debt or other financing be as efficient as possible and (3) the most favorable interest and other costs be obtained.

Responsibility

The primary responsibility for developing financing recommendations rests with the Finance Director. In developing the recommendations, the Finance Director shall be assisted by the

Village Manager, Assistant Village Manager and Assistant Finance Director, the four of whom shall comprise a Debt Management Committee. The responsibilities of the committee shall be to:

- meet no less than annually to consider the need for financing and assess progress on the Capital Improvement Program;
- meet as necessary in preparation for a financing and review changes in state and federal legislation;
- review annually all debt issues to determine whether refinancing or restructuring may be in order. (The Finance Director will monitor such opportunities on an ongoing basis.);
- review annually the provisions of ordinances authorizing issuance of obligations; and,
- annually review services provided by the Financial Advisor, Bond Counsel, Paying Agent and other service providers to evaluate the extend and effectiveness of services being provided.

Annually, the Finance Director and Village Manager shall jointly prepare a written report on the status of Capital Improvement Program financing. The report shall be based in part on information collected from the Village Engineer and Public Works Director and shall include a projection of near term financing needs compared to available resources, an analysis of the impact of contemplated financings on the designated revenue source and user charges, and a financing recommendation, trends in interest rates and other factors as appropriate.

Bond Counsel Involvement

The Bond Counsel will issue an opinion as to the legality and tax-exempt status of any obligations. The Village will also seek the advice of Bond Counsel on all other types of financings and on any other questions involving federal tax or arbitrage law. Bond Counsel is also responsible for the preparation of the ordinance authorizing issuance of obligations and all of the closing documents to complete their sale and will perform other services as defined by contract approval by the Village Board.

Installment Contract

The Village may negotiate a short-term installment loan with a financial institution selected through a competitive process. The installment loan would be used to finance purchases of vehicles and/or equipment with a minimum cost of \$100,000. The item being financed must also have a minimum life of five years. The Debt Management Committee must approve and recommend the installment loan to the Village Board for approval.

Financial Advisor Involvement

The Village will seek the advice of a Financial Advisor when necessary. The Financial Advisor will advise on the structuring of obligations to be issued, inform the Village of various options, advise the Village as to how choices will impact the marketability of Village obligations and will provide other services as defined by the contract awarded by the Village Board. To ensure independence, the Financial Advisor will not bid on nor underwrite any Village debt issues on which it is advising.

Short Term Debt

General

Short-term obligations may be issued to finance projects or portions of projects for which the Village ultimately intends to issue long-term debt; i.e., it will be used to provide interim financing which will eventually be refunded with the proceeds of long-term obligations.

Short-term obligations may be backed with a tax or revenue pledge, or a pledge of other available resources.

Interim financing may be appropriate when timing of the financing is critical. Short-term obligations can often be obtained more quickly than long-term obligations and thus can be used in emergencies until long-term financing can be obtained. In addition, in some cases when the amount of financing required is relatively small, it may be cheaper for the Village to issue a small amount of short-term obligations to provide for its immediate needs than to issue a larger amount of long-term obligations to provide financing for both immediate and future needs when the carrying costs of issuing obligations which are not immediately needed are taken into account.

Line of Credit

With the approval of the Village Board, the Village may establish a tax-exempt line of credit with a financial institution selected through a competitive process. Draws shall be made on the line of credit when (1) the need for financing is so urgent that time does not permit the issuance of a long-term debt, (2) the need for financing is so small that the total cost of issuance of long-term debt including carrying costs of debt proceeds not needed immediately is significantly higher, and (3) the Debt Management Committee approves the draw. Draws will be made on the line of credit to pay for projects designated for line of credit financing by the Village Board. Only projects which will ultimately be financed with the proceeds of authorized bonds may be designated.

Long Term Debt

Long-term obligations will not be used for operating purposes and the life of the obligations will not exceed the useful life of the projects financed, but in any case no longer than 20 years.

Level or declining debt service shall be employed unless operational matters dictate otherwise, or except to achieve overall level debt service with existing bonds. The Village may choose to delay principal payments or capitalized interest during project construction.

The Village shall be mindful of the potential benefits of bank qualification and will strive to limit its annual issuance of debt to \$10 million or less when such estimated benefits are greater than the benefits of exceeding the bank qualification limit. Should subsequent changes in the law raise this limit, then the Village policy will be adjusted accordingly.

The cost of issuance of private activity bonds is usually higher than for governmental purpose bonds. Consequently, private activity bonds will be issued only when they will economically benefit the Village.

The cost of taxable debt is higher than for tax-exempt debt. However, the issuance of taxable debt is mandated in some circumstances and may allow valuable flexibility in subsequent contracts with users or managers of the improvement constructed with the bond proceeds. Therefore, the Village will usually issue obligations tax exempt, but may occasionally issue taxable obligations.

Variable Rate Debt

The Village may choose to issue bonds that pay a rate of interest that varies according to a pre-determined formula or results from a periodic remarketing of the securities, consistent with state laws and covenants of pre-existing bonds, and depending on market conditions. The Village will have no more than 15% of its outstanding general obligation bonds in variable rate form.

Revenue Bonds

The Village shall seek to finance the capital needs of its revenue producing enterprise activities through the issuance of Alternate Revenue-debt obligations. Prior to issuing Alternate Revenue-debt obligations, the Debt Management Committee will develop financial plans and projections showing the feasibility of the planned financing, required rates and charges needed to support the planned financing and the impact of the planned financing on rate payers, property owners and the other affected parties. The amount of Alternate Revenue-debt obligations issued by the Village will be limited by the feasibility of the overall financing plan as determined by the Debt Management Committee. If it is not

feasible to issue an Alternate Revenue obligation, then a revenue-secured debt obligation should be considered.

Interest Rate Swaps

Debt instruments utilizing imbedded swaps or having other less traditional characteristics may be issued, provided the Village is not unduly exposed to third party risk and that utilization of such an instrument does not precipitate an adverse rating agency reaction.

Negotiated versus Competitive Sale versus Private Placement

When feasible and economical, obligations shall be issued by competitive rather than negotiated sale. A sale may be negotiated when the issue is predominantly a refunding issue or in other non-routine situations which require more flexibility than a competitive offer allows. Whenever the option exists to offer an issue either for competition or for negotiation, analysis of the options shall be performed to aid in the decision-making process. When a sale is not competitively bid, the Village will publicly present the reasons and will participate with the Financial Advisor in the selection of the underwriter or direct purchaser.

The criteria used to select an underwriter in a competitive sale shall be the true interest cost. In a negotiated sale, the underwriter may be selected with or without a request for proposals (RFP). The criteria used to select an underwriter in a negotiated sale should include the following:

- Overall experience
- Marketing philosophy
- Capability
- Previous experience as managing a co-managing partner
- Financial statements
- Public Finance team and resources
- Underwriter's discount

When cost/beneficial, the Village may privately place its debt. Since no underwriter participates in a private placement, it may result in lower costs of issuance. Private placement is sometimes an option for small issues. The opportunity may be identified by the Financial Advisor.

Refunding

The Village shall consider refunding debt whenever an analysis indicates the potential for present value savings of approximately 3 to 5% of the principal being refunded. Depending on the time to maturity and the absolute level of interest rates of the refunding candidate this

target may change. For longer maturities the target can be higher, for shorter maturities, lower. For higher interest rates the target may be higher, for lower rates it could be lower.

Private activity bonds may be refunded in a current refunding or by using taxable debt.

Capital Leasing

Capital leasing is an option for the acquisition of a piece or package of equipment costing less than \$500,000.

Leasing shall not be considered when funds are on hand and could be made available for the acquisition unless the interest expense associated with the lease is less than the interest that can be earned by investing the funds on hand or when other factors such as budget constraints or vendor responsiveness override the economic consideration.

Whenever a lease is arranged with a private sector entity, a tax-exempt rate shall be sought. Whenever a lease is arranged with a government or other tax-exempt entity, the Village shall strive to obtain an explicitly defined taxable rate so that the lease will not be counted in the Village's total annual borrowing subject to arbitrage rebate.

The lease agreement shall permit the Village to refinance the lease at no more than reasonable cost should the Village decide to do so. A lease which can be called at will is preferable to one which can merely be accelerated.

Since the market for lease financings is relatively inefficient, the interest rate available at any one time may vary widely. Therefore, the Village shall obtain at least three competitive proposals for any major lease financing. The net present value of competitive bids shall be compared, taking into account whether payments are in advance or in arrears, and how frequently payments are made. The purchase price of equipment shall be competitively bid as well as the terms and cost of financing.

The advice of the Village's bond counsel shall be sought in any capital leasing arrangement and when federal tax forms are prepared to ensure that all federal tax laws are obeyed.

The Village may consider issuing certificates of participation to finance a very large project. Analysis will be performed because financing costs may be greater than other types of financing.

Other Types of Financing

From time to time other types of financing may become available. Examples of these options are debt pools with other entities and low-interest loans from state agencies such as the Illinois Environment Protection Agency. The Debt Management Committee will prepare a

written analysis of an option. The analysis will include consideration of the advice of the Village's and Financial Advisor.

Ratios

The total general obligation debt will be limited to \$1,000 per capita, which will be reviewed annually.

The Water and Sewer Fund total long term debt outstanding shall not exceed the amount of fund equity.

The Village will endeavor to maintain 1.5 (times) coverage for all indebtedness of the Water and Sewer Fund.

The review of these ratios will be included in the annual report by the Debt Management Committee to the Village Board.

Official Statement

The Official Statement will be prepared by the Financial Advisor with assistance from the Debt Management Committee.

Ratings

The Village will strive to maintain and/or to improve its bond rating.

When a general obligation bond is issued, the Village will receive a rating from at least two national rating agencies.

Full disclosure of operations will be made to the bond rating agencies. The Debt Management Committee, with the assistance of the Financial Advisor and, will prepare the necessary materials for and presentation to the rating agencies.

The Village shall maintain a line of communications with the rating agencies, informing them of major financial events in the Village as they occur. The Comprehensive Annual Financial Report (CAFR) shall be distributed to the rating agencies after it has been accepted by the Village Board.

The rating agencies will also be notified either by telephone or through written correspondence when the Village begins preparation for a debt issuance. After the initial

contact, a formal ratings application will be prepared and sent along with a draft of the Official Statement relating to the bond sale to the rating agencies. This application and related documentation should be sent several weeks prior to the bond sale to give the rating agencies sufficient time to perform their review.

A personal meeting with representatives of the rating agencies will be scheduled every few years or whenever a major project is initiated.

Credit Enhancement

Credit enhancements are mechanisms which guarantee principal and interest payments. They include bond insurance and a line or letter of credit. A credit enhancement, while costly, will usually bring a lower interest rate on debt and a higher rating from the rating agencies, thus lowering overall costs.

During debt issuance planning, the Financial Advisor will advise the Village whether or not a credit enhancement is cost effective under the circumstances and what type of credit enhancement, if any, should be purchased. In a negotiated sale, bids will be taken during the period prior to pricing of the sale. In a competitive sale, bond insurance may be provided by the purchases if the issue qualifies for bond insurance.

Secondary Market Disclosure

SEC 15c2-12 regulations became effective July 3, 1995. The regulation requires municipal debt issuers to provide specified financial and operating information for fiscal years beginning on January 1, 1996, or later. The information provided should mirror the information provided in an official statement at the time of a primary offering. The annual financial information is to be sent to all Nationally Recognized Municipal Information Depositories (NRMSIRs) designated by the SEC. Additionally, issuers must notify the State Information Depositories (SIDs) if one exists.

In addition to the financial and operating information any material event must be provided to all NRMSIRS, Municipal Securities Rulemaking Board (MSRB) and to the state SID's. Municipal debt issuers will be obligated to provide ongoing disclosure on the status of the following material events:

- Principal and interest payment delinquencies
- Nonpayment-related defaults
- Unscheduled draws on reserves
- Unscheduled draws on credit enhancements
- Substitution of credit or liquidity providers, or the failure to perform
- Adverse tax opinions or events affecting the tax-exempt status of the security
- Modifications to rights of security holders

- Bond calls
- Defeasances
- Matters affecting collateral
- Rating changes

The Finance Director will be designated “Compliance Officer” for disclosure requirements. Levels of reporting will include:

- Annual compliance reports to the City Council Finance and Government Committee Notification by certified mail to NRMSIRs, and SIDs of material events, with copies to the Village Board. These reports will be included in the Annual report that is submitted to the Village Board by the Debt Management Committee.
- Copies of CAFR and updated tables from the Official Statement to NRMSIRs and SIDs within six months of fiscal year end.

Arbitrage Liability Management

It is the Village’s policy to minimize the cost of arbitrage rebate and yield restriction while strictly complying with the law.

General

Federal arbitrage legislation is intended to discourage entities from issuing tax-exempt obligations unnecessarily. In compliance with the spirit of this legislation, the Village will not issue obligations except for identifiable projects with very good prospects of timely initiation. Obligations will be issued as closely in time as feasible to the time, contracts are expected to be awarded so that they will be spent quickly.

Responsibility

Because of the complexity of arbitrage rebate regulations and the severity of non-compliance penalties, the advice of Bond Counsel and other qualified experts will be sought whenever questions about arbitrage rebate regulations arise.

The Finance Director will be responsible for identifying the amount of unspent debt proceeds including interest which is on hand and for ensuring that, to the extent feasible, the oldest proceeds on hand are spent first.

If necessary, the Village will contract with an arbitrage rebate service provider to maintain a system for computing and tracking the arbitrage rebate liability. The arbitrage service provider will notify the Village within 60 days of year end of the amount of any accrued liability and will also notify the Village 60 days in advance of when a rebate of excess arbitrage earnings is due to the Internal Revenue Service.

The Village's Bond Counsel and Financial Advisor shall review in advance any arbitrage rebate payments and forms sent to the Internal Revenue Service.

The expenditure of obligation proceeds will be tracked in the financial accounting system by [type of issue]. Investments will be pooled for financial accounting purposes and may, at the discretion of the Finance Director, be pooled for investment purposes. When investments of bond proceeds are co-mingled with other investments, the Village shall adhere to the Internal Revenue Service rules on accounting allocations.

Arbitrage rebate costs shall be charged as negative interest revenue to the funds in which the related obligation proceeds were originally deposited.

Internal Interim Financing

In order to defer the issuance of obligations, when sufficient non-restricted reserve funds are on hand, consideration shall be given to appropriating them to provide interim financing for large construction contracts or parts of contracts. When the appropriations are subsequently re-financed with the proceeds of obligations or other resources, the non-restricted reserve funds shall be repaid. When expenditures are reimbursed from debt issuances, applicable state law and the Internal Revenue Service rules on reimbursements will be complied with so that the reimbursements may be considered expenditures for arbitrage purposes. Requirements are in general:

The Village shall declare its intention to reimburse any expenditure with debt proceeds before paying the expenditure via an inducement (reimbursement) resolution.

Reimbursement bonds must be issued and the reimbursement made within one year after the expenditure was made or the property financed by the expenditure was placed in service, whichever is later; and

The expenditure to be reimbursed must be a capital expenditure.

Two Year Spend-out Option

Arbitrage rebate legislation offers a safe harbor whereby obligations issued for construction will be exempt from arbitrage rebate if certain rules are adhered to and the proceeds are spent

within two years. However, if this option is elected and all the proceeds are not spent according to the prescribed schedule, penalties are imposed. The option should be considered when circumstances indicate the Village will with certainty be successful in achieving a two-year spend-out goal. Such circumstances may include, but are not limited to the following:

Obligations are issued to finance a variety of small construction projects, not large projects which might be unexpectedly delayed after the issuance. Also, project management understands the requirements and is firmly committed to achieving the spend-out goal.

Obligations are issued for a single, large high-priority project with a relatively short construction period and there is a high level of commitment to speedy completion.

When the two-year spend-out option is elected, debt will be issued for an estimated one year of expenditures to provide for unexpected delays of up to a year without incurring penalties.

The exercise of the two-year spend-out option will always be coordinated with Bond Counsel and the Financial Advisor.

Investment of Bond Proceeds

The investment of bond proceeds will be dictated by the bond indenture and state statutes.

The Finance Director and Financial Advisor will prepare the cash flow requirements for the bond proceeds and shall be used as a guide in structuring the maturity of the bond proceeds portfolio.

Debt covenants and arbitrage requirements will be designed to maintain compliance with both debt and investment policies with any arbitrage liability adjusting net investment income in the year in which the liability was incurred.

Debt service reserve funds shall be maintained and invested, as applicable, in compliance with the debt agreements.

Modification To Policies

These policies will be reviewed annually by the Debt Management Committee and minor changes may be made with the approval of the Village Manager. Significant policy changes will be presented to the Village Board for confirmation.

E. Reserve Policy

1. The Village will maintain General Corporate Fund working capital and reserves. The balance of the fund will be maintained at a level at least equal to 50% of the total General Corporate fund annual budgeted expenditures. This reserve shall be created and maintained to provide the capacity to:
 - a. offset unexpected downturns or revision in any general corporate fund revenue.
 - b. provide a sufficient cash flow for daily financial needs at all times.
 - c. offset unexpected increases in general corporate fund expenditures.
2. The Village will maintain Water and Sewer Fund working capital and reserves. The balance of the fund will be maintained at a level at least equal to 25% of the total Water and Sewer Fund annual budgeted expenditures, excluding infrastructure expenditures.
3. The Village will maintain a Water and Sewer Replacement Fund. This fund will be used for equipment replacement. The Fund will be funded at the rate of \$100,000/year.
4. If fund balances are used to support one-time capital and one-time non-operating expenditures, the funds must be specifically appropriated by the Village Board.

F. Cash Management

1. An investment policy has been adopted by the Village Board. The investment policy provides guidelines for the prudent investment of the temporary idle cash and outlines the policies for maximizing the efficiency of the cash management system. The ultimate goal is to enhance the economic status of the Village while protecting its pooled cash.
2. The cash management system is designed to accurately monitor and forecast expenditures and revenues, thus enabling the Village to invest funds to the fullest extent possible. The Village attempts to match funds to projected disbursements.
3. In order to maximize interest earnings, the Village commingles the cash of all funds excluding the Police Pension Fund. Interest revenue derived from commingled cash is allocated to the participating funds monthly based on the relative cash balance of each fund.
4. Criteria for selecting investments and the order of priority are:
 - a. Legal. The investment program must be in conformance with federal laws, state statutes, local ordinances, and internal policies and procedures. State statutes govern

the investment of public funds and provide the general framework for investment activity and fiduciary responsibilities.

- b. Safety. The safety and risk associated with an investment refers to the potential loss of principal, interest or a combination of these amounts. The Village only invests in those investments that are considered safe.
- c. Liquidity. This refers to the ability to “cash in” at any moment in time with a minimal chance of losing some portion of principal and interest. Liquidity is an important investment quality especially when the need for unexpected funds occur occasionally.
- d. Yield. Yield is the potential dollar earnings an investment can provide, and sometimes is described as the rate of return.

G. Accounting, Auditing and Financial Reporting Policies

- 1. The Village will establish and maintain a high standard of accounting practices in conformance with Generally Accepted Accounting Principals (GAAP) for governmental entities as promulgated by the Governmental Accounting Standards Board (GASB).
- 2. The accounting system will maintain records on a basis consistent with accepted standards for local government accounting (according to GASB).
- 3. Where possible, the reporting system will also provide monthly information on the total cost of specific services by type of expenditure and, if necessary, by Fund.
- 4. An independent firm of certified public accountants will perform an annual financial and compliance audit according to Generally Accepted Auditing Standards (GAAS) and will publicly issue an opinion which will be incorporated in the Comprehensive Annual Financial Report.
- 5. Annually seek the GFOA Certificate of Achievement for Excellence in Financial Reporting Program.
- 6. The Village will use the modified accrual basis of accounting for its governmental funds (general, special revenue, capital projects and debt service funds). Revenues are recognized in the accounting period which they become available and measurable. Expenditures are recognized in the accounting period in which the liability is incurred.
- 7. The Village will use accrual basis accounting for its proprietary funds (enterprise and internal service funds). Revenues are recognized in the accounting period they are earned

and become measurable. Expenses are recognized in the accounting period in which the liability is incurred.

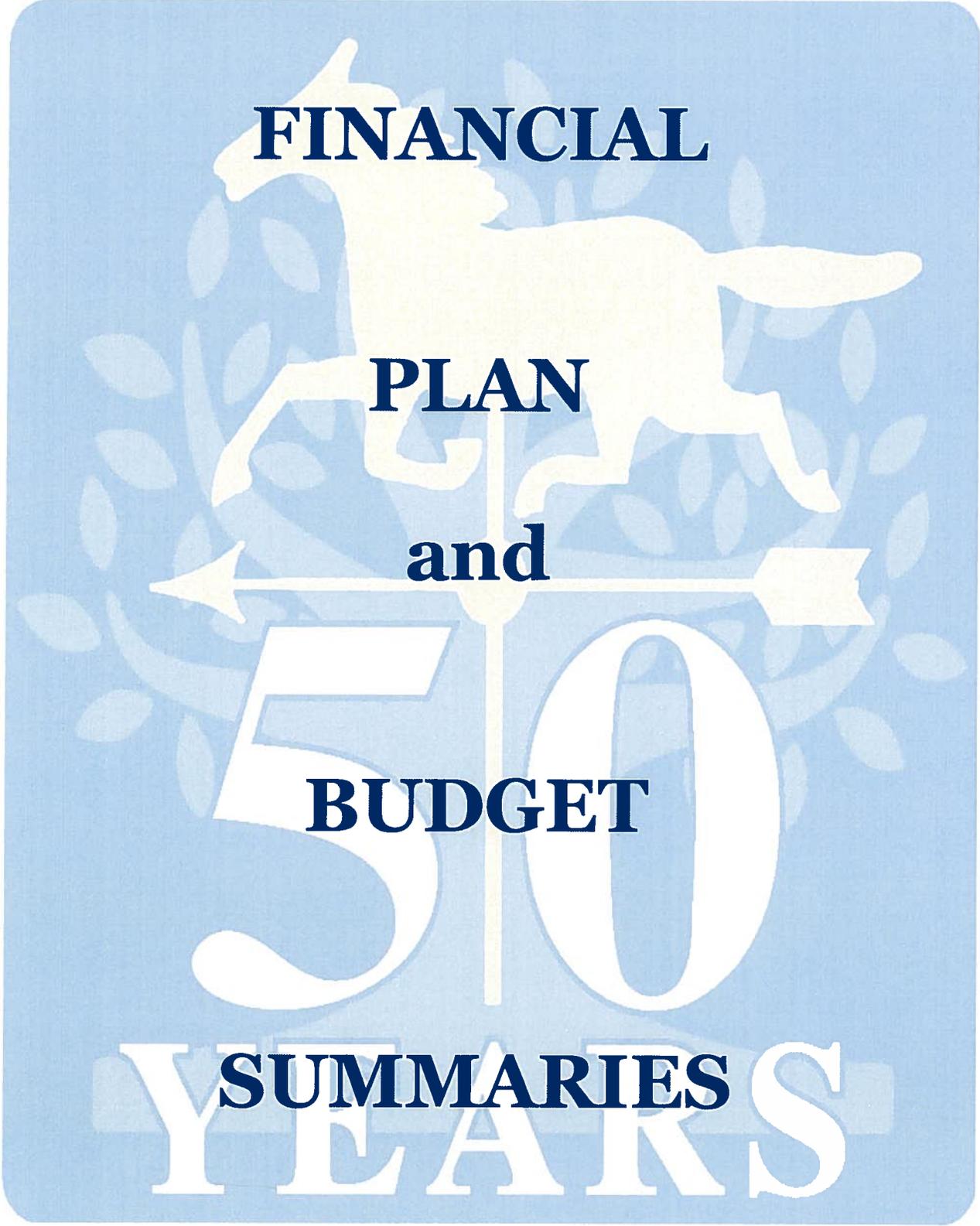
8. The Village will promote full disclosures in its annual financial statements and its bond presentations.

Village of Carol Stream

Community & Economic Development Policies

1. The Village encourages controlled growth of the community following an official Comprehensive Plan for development.
2. Firm but fair enforcement of up to date codes.
3. Actively promote Village as a location for high-end industrial and commercial enterprise, utilizing available economic incentives to encourage business to choose Carol Stream.
4. Emphasize business “retention” as an important part of economic development.
5. Encourage a mix of residential housing type to appeal to a wide range of people. Emphasis is placed on encouraging single family detached “higher end” housing as the Village has sufficient multi-family and single family attached housing stock and recently, age restricted residential development has been encouraged.
6. The Village will continually stay abreast of and pursue any potential development or redevelopment opportunities.

1. Provide essential traditional government services efficiently and well.
2. Encourage community-based approaches to identifying community needs and for fulfilling them.
3. Emphasis on preventive measures and practices rather than cures.
4. Encourage innovative organizational, administrative and operational practices and procedures.
5. Maintain a balance between services provided by Village employees and those provided by private sector, utilizing cost effectiveness and quality measures as determinants.
6. No new Village service without establishment of a specific identifiable revenue source to fund it.
7. Personnel and other resource levels are adjusted to provide present programs and levels of service as defined in keeping with the principles of the plan established by the Village Board. If necessary and feasible, staff will be added to maintain the current service levels.



FINANCIAL

PLAN

and

BUDGET

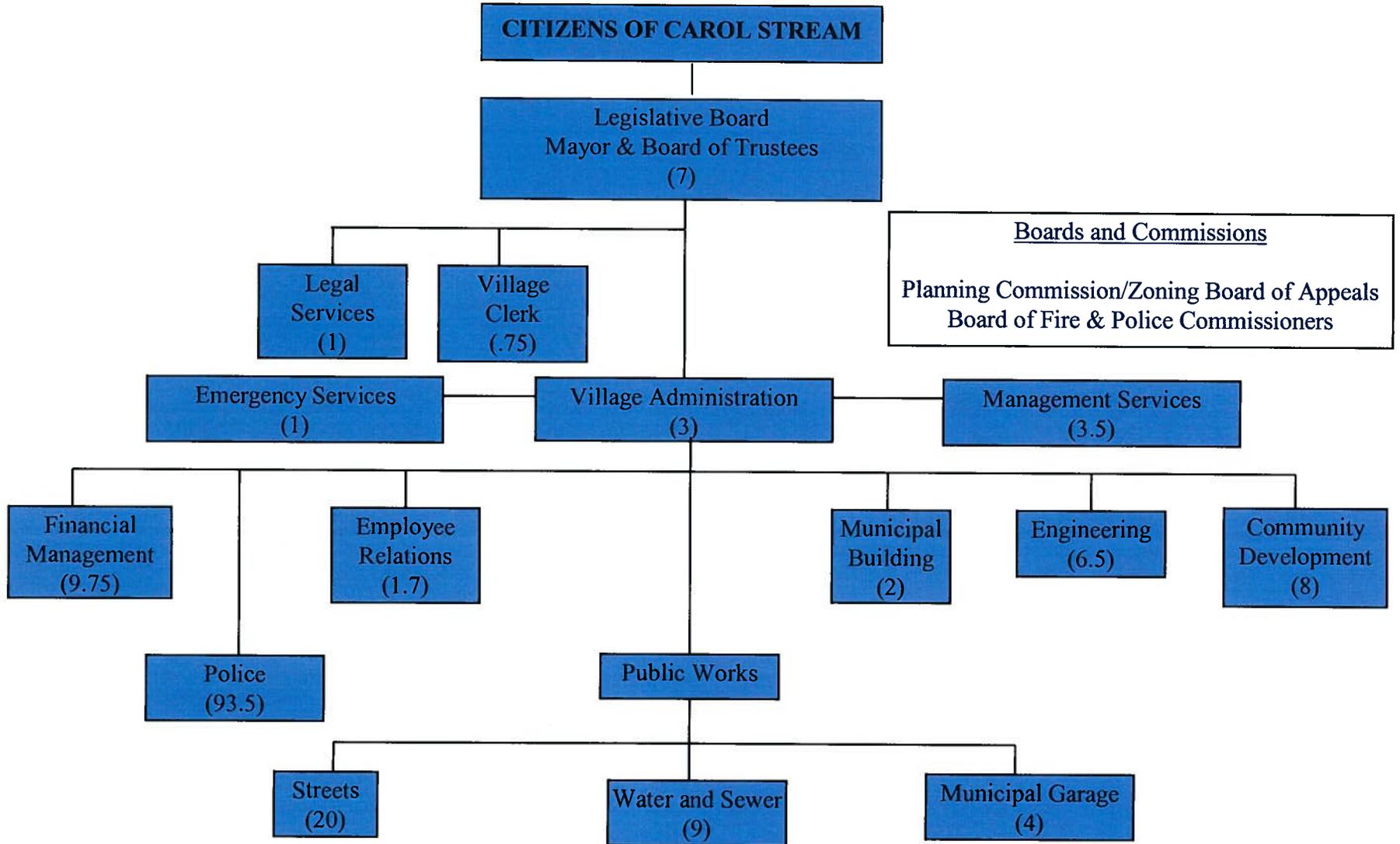
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YEARS

SUMMARIES

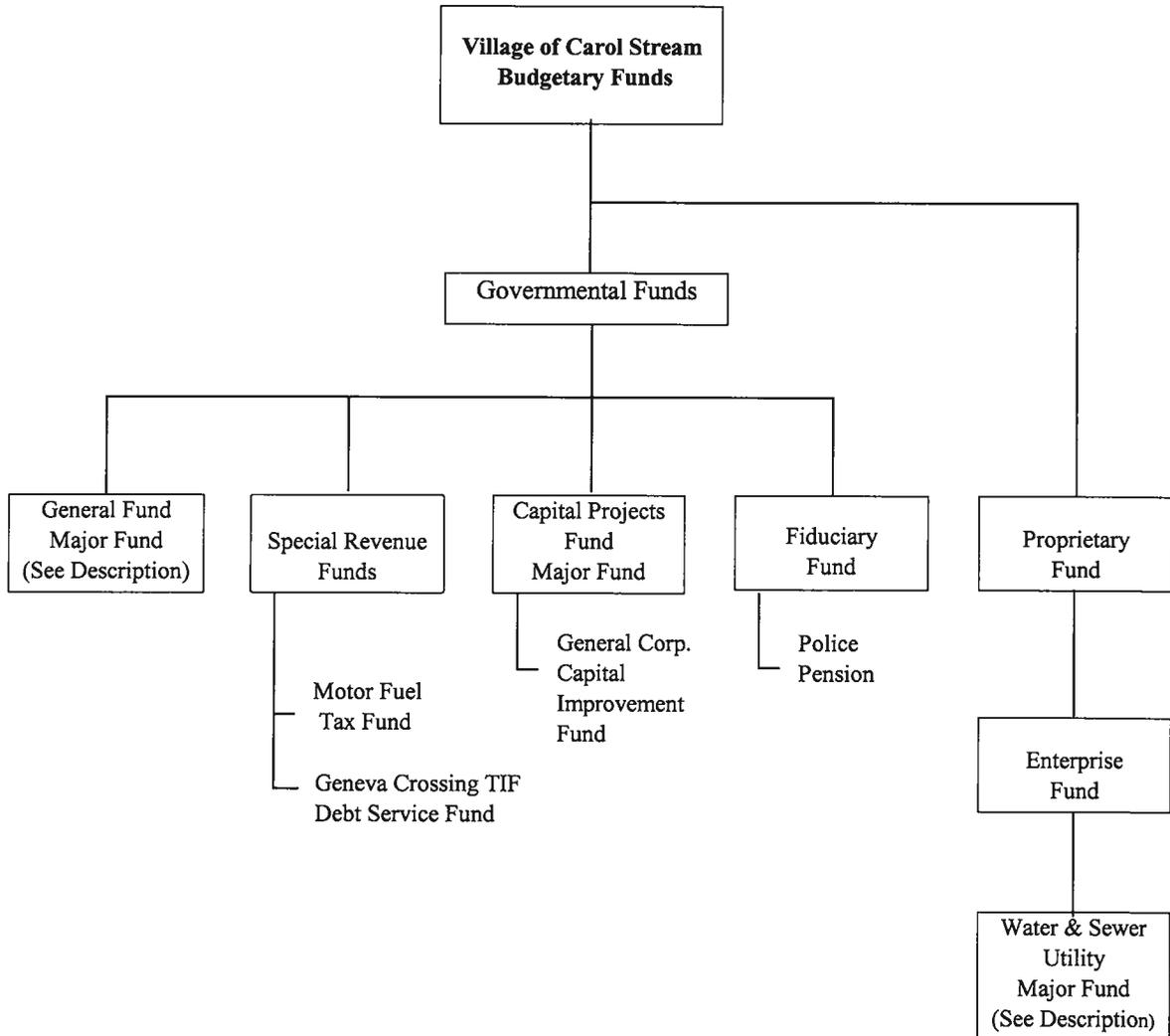
Village of Carol Stream

ORGANIZATION CHART

May 1, 2009



VILLAGE OF CAROL STREAM FUND STRUCTURE



Organizational Matrix – Department/Program Assignment by Fund

Department/Division (according to Organizational Chart)	General Corp- orate Fund (Major)	Water & Sewer Fund (Major)	Motor Fuel Tax Fund	General Corporate Capital Improvement Fund	Tax Increm- ental Financing Fund	Police Pension Fund
General Government						
Fire and Police Commission	✓					
Legislative Board	✓					
Planning Commission	✓					
Emergency Services	✓					
Legal Services	✓					
Village Clerk	✓					
Administration	✓	✓		✓		
Employee Relations	✓					
Municipal Building	✓					
Town Center	✓	✓		✓		
Financial Management						
Administration	✓	✓		✓	✓	✓
Accounting	✓	✓	✓	✓	✓	✓
Reception/Information	✓					
Engineering Services						
Administration	✓		✓	✓		
Plan Review	✓	✓				
Daily Inspections	✓	✓	✓	✓		
Design and Construction	✓	✓	✓	✓		
Traffic	✓					
Water and Sewer		✓				
Community Development						
Administration	✓					
Development Services	✓					
Code Enforcement	✓					
Current Planning	✓					
Long-Range Planning	✓					
Economic Development	✓					
Management Services						
Administration	✓					
Information Systems	✓	✓				
Police						
Administration	✓					
Special Operations Unit	✓					
Investigations	✓					
Patrol	✓					
Traffic	✓					
Records	✓					
Social Services	✓					
Streets						
Administration	✓	✓	✓	✓		
Snow and Ice Control	✓		✓			
Street Maintenance & Repair	✓		✓	✓		
Traffic Signs, Signals, Lights	✓		✓			
Building Grounds/Mowing	✓					
Stormwater Management	✓					
Parkway Tree	✓					
Municipal Garage						
Administration	✓	✓				
Vehicle Maintenance & Repair	✓	✓				
Water Reclamation Center						
Administration		✓		✓		
Daily Treatment Operations		✓				
Industrial Pretreatment		✓				
Sewer System Maint. & Repair		✓				
Finance	✓	✓				
Water Division						
Administration		✓		✓		
Distrib. System. Maint & Rpr.		✓				
Daily Inspections		✓				
Water Metering		✓				
Finance	✓	✓				

Major Fund Descriptions:

Major Governmental Funds

General Fund accounts for all general government activity not accounted for in other funds. It includes most tax revenues and such services as public safety, highways and street, engineering, planning and administration.

General Corporate Fund – Capital Improvement Program Fund accounts for capital projects relating to maintenance and replacement of existing infrastructure as well as the development of new facilities to accommodate future growth.

Major Proprietary Funds

Water and Sewer Fund accounts for the activities of the water and sewerage operations. The Village operates the sewerage treatment plant, sewerage pumping stations and collection systems and the water distribution system. Lake Michigan water is purchased through the DuPage Water Commission.

Non-Major Special Revenue Funds

Used to account for the proceeds of specific revenue sources that are legally restricted to expenditures for specific purposes. Funds include the Motor Fuel Tax and the Geneva Crossing Tax Incremental Financing Debt Service Fund.

Non-Major Fiduciary Fund

Fiduciary funds are used to account for assets held on behalf of outside parties, including other governments, or on behalf of other funds within the Village. When these assets are held under the terms of a formal trust agreement a pension fund is used. The Police Pension Trust Fund accounts for the accumulation of resources to pay pension costs to the Village's police officers.

All of the above mentioned funds are subject to appropriation.

Village of Carol Stream

ALL GOVERNMENTAL FUND TYPES AND DISCRETELY PRESENTED COMPONENT UNIT

Combined Statement of Proposed Revenue/ Expenditures & Changes in Fund Balances Year Ended April 30, 2010

Description	General	CIP	Special Revenue		Totals
			Motor Fuel Tax	Geneva Crossing	
Revenue					
Taxes	\$ 17,461,658			\$ 363,403	\$ 17,825,061
Licenses and Permits	1,155,800				1,155,800
Intergovernmental	195,900	322,000	1,536,180		2,054,080
Charges for Services	1,314,415				1,314,415
Fines and Forfeits	1,679,850				1,679,850
Interest	307,758	490,000	45,000	20,056	862,814
Miscellaneous	165,000	0			165,000
Total Revenue	22,280,381	812,000	1,581,180	383,459	25,057,020
Expenditures					
Current					
General Government	6,145,854	0			6,145,854
Public Safety	13,711,722	0			13,711,722
Highways and Streets	3,669,415	4,945,000	1,552,595		10,167,010
Culture and Recreation					
Debt Service					
Principal Retirement				205,000	205,000
Interest and Fiscal Charges				165,373	165,373
Miscellaneous				5,000	5,000
Total Expenditures	23,526,991	4,945,000	1,552,595	375,373	30,399,959
Excess (Deficiency) of Revenue Over Expenditures	(1,246,610)	(4,133,000)	28,585	8,086	(5,342,939)
Other Financing Sources (Uses)					
Operating Transfers In		0		211,647	211,647
Operating Transfers (Out)	(211,647)				(211,647)
GO Debt		0			0
Installment Loan	72,567				72,567
Excess (Deficiency) of Revenue and Other Financing Sources Over Expenditures and Other Financing Uses	(1,385,690)	(4,133,000)	28,585	219,733	(5,270,372)
Fund Balances					
May 1 - Projected	20,455,936	19,915,025	1,731,868	1,327,320	43,430,149
April 30 - Projected	\$ 19,070,246	\$ 15,782,025	\$ 1,760,453	\$ 1,547,053	\$ 38,159,777

Village of Carol Stream

ALL GOVERNMENTAL FUND TYPES AND DISCRETELY PRESENTED COMPONENT UNIT

Combined Statement of Proposed Revenue/ Expenditures & Changes in Fund Balances Year Ended April 30, 2011

Description	General	CIP	Special Revenue		Totals
			Motor Fuel Tax	Geneva Crossing	
Revenue					
Taxes	\$ 17,929,322			\$ 370,671	\$ 18,299,993
Licenses and Permits	1,175,900				1,175,900
Intergovernmental	93,900	1,594,000	1,182,440		2,870,340
Charges for Services	1,352,232				1,352,232
Fines and Forfeits	1,567,600				1,567,600
Interest	384,697	465,000	46,000	22,923	918,620
Miscellaneous	205,000				205,000
Total Revenue	22,708,651	2,059,000	1,228,440	393,594	26,389,685
Expenditures					
Current					
General Government	6,611,839	200,000			6,811,839
Public Safety	14,195,162				14,195,162
Highways and Streets	3,784,968	5,261,000	1,718,389		10,764,357
Culture and Recreation					
Debt Service					
Principal Retirement				210,000	210,000
Interest and Fiscal Charges				156,660	156,660
Miscellaneous				5,000	5,000
Total Expenditures	24,591,969	5,461,000	1,718,389	371,660	32,143,018
Excess (Deficiency) of Revenue Over Expenditures	(1,883,318)	(3,402,000)	(489,949)	21,934	(5,753,333)
Other Financing Sources (Uses)					
Operating Transfers In		0		222,186	222,186
Operating Transfers (Out)	(222,186)				(222,186)
Installment Loan	154,149				154,149
Excess (Deficiency) of Revenue and Other Financing Sources Over Expenditures and Other Financing Uses	(1,951,355)	(3,402,000)	(489,949)	244,120	(5,599,184)
Fund Balances					
May 1 - Projected	19,070,246	15,782,025	1,760,453	1,547,053	38,159,777
April 30 - Projected	\$ 17,118,891	\$ 12,380,025	\$ 1,270,504	\$ 1,791,173	\$ 32,560,593

Village of Carol Stream

ALL GOVERNMENTAL FUND TYPES AND DISCRETELY PRESENTED COMPONENT UNIT

Combined Statement of Proposed Revenue/ Expenditures & Changes in Fund Balances Year Ended April 30, 2012

Description	General	CIP	Special Revenue		Totals
			Motor Fuel Tax	Geneva Crossing	
Revenue					
Taxes	\$ 18,402,785			\$ 378,084	\$ 18,780,869
Licenses and Permits	1,236,000				1,236,000
Intergovernmental	93,900	2,738,000	1,188,352		4,020,252
Charges for Services	1,423,281				1,423,281
Fines and Forfeits	1,557,600				1,557,600
Interest	384,697	370,000	32,000	25,789	812,486
Miscellaneous	190,000				190,000
Total Revenue	23,288,263	3,108,000	1,220,352	403,873	28,020,488
Expenditures					
Current					
General Government	6,953,027	0			6,953,027
Public Safety	15,144,710				15,144,710
Highways and Streets	4,175,022	9,371,000	1,876,621		15,422,643
Culture and Recreation					
Debt Service					
Principal Retirement				220,000	220,000
Interest and Fiscal Charges				147,735	147,735
Miscellaneous				5,000	5,000
Total Expenditures	26,272,759	9,371,000	1,876,621	372,735	37,893,115
Excess (Deficiency) of Revenue Over Expenditures	(2,984,496)	(6,263,000)	(656,269)	31,138	(9,872,627)
Other Financing Sources (Uses)					
Operating Transfers In		0		203,132	203,132
Operating Transfers (Out)	(203,132)				(203,132)
Installment Loan	250,355				250,355
Excess (Deficiency) of Revenue and Other Financing Sources Over Expenditures and Other Financing Uses	(2,937,273)	(6,263,000)	(656,269)	234,270	(9,622,272)
Fund Balances					
May 1 - Projected	17,118,891	12,380,025	1,270,504	1,791,173	32,560,593
April 30 - Projected	\$ 14,181,618	\$ 6,117,025	\$ 614,235	\$ 2,025,443	\$ 22,938,321

Village of Carol Stream

ALL PROPRIETARY AND
FIDUCIARY FUND TYPES

Combined Statement of Proposed Revenue/Expenses
& Changes in Retained Earnings/Fund Balances
Year Ended April 30, 2010

Description	Proprietary		Fiduciary	Totals
	Water & Sewer		Police Pension	
Revenue				
Charges for Services	\$ 7,072,589			7,072,589
Contributions			1,489,688	1,489,688
Installment Contract Proceeds	0		0	0
Interest	353,330		1,436,775	1,790,105
Total Revenue	7,425,919		2,926,463	10,352,382
Expenses				
Operations	10,217,751			10,217,751
Benefits and Refunds			1,390,240	1,390,240
Capital Expansion				
Debt Service				
Principal Retirement Interest and Fiscal Charges				
Total Expenses	10,217,751		1,390,240	11,607,991
Net Income (Loss)	(2,791,832)		1,536,223	(1,255,609)
Retained Earnings/ Fund Balances				
May 1 - Projected	54,204,237		23,546,661	77,750,898
April 30 - Projected	\$ 51,412,405		\$ 25,082,884	\$ 76,495,289

Village of Carol Stream

ALL PROPRIETARY AND
FIDUCIARY FUND TYPES

Combined Statement of Proposed Revenues/Expenses
& Changes in Retained Earnings/Fund Balances
Year Ended April 30, 2011

Description	Proprietary		Fiduciary		Totals
		Water & Sewer		Police Pension	
Revenue					
Charges for Services	\$	7,934,733			7,934,733
Contributions				1,916,801	1,916,801
Installment Contract Proceeds		366,500		0	366,500
Interest		315,164		1,720,035	2,035,199
Total Revenue		8,616,397		3,636,836	12,253,233
Expenses					
Operations		7,903,879			7,903,879
Benefits and Refunds				1,590,289	1,590,289
Capital Expansion					
Debt Service					
Principal Retirement					
Interest and					
Fiscal Charges					
Total Expenses		7,903,879		1,590,289	9,494,168
Net Income (Loss)		712,518		2,046,547	2,759,065
Retained Earnings/ Fund Balances					
May 1 - Projected		51,412,405		25,082,884	76,495,289
April 30 - Projected	\$	52,124,923	\$	27,129,431	\$ 79,254,354

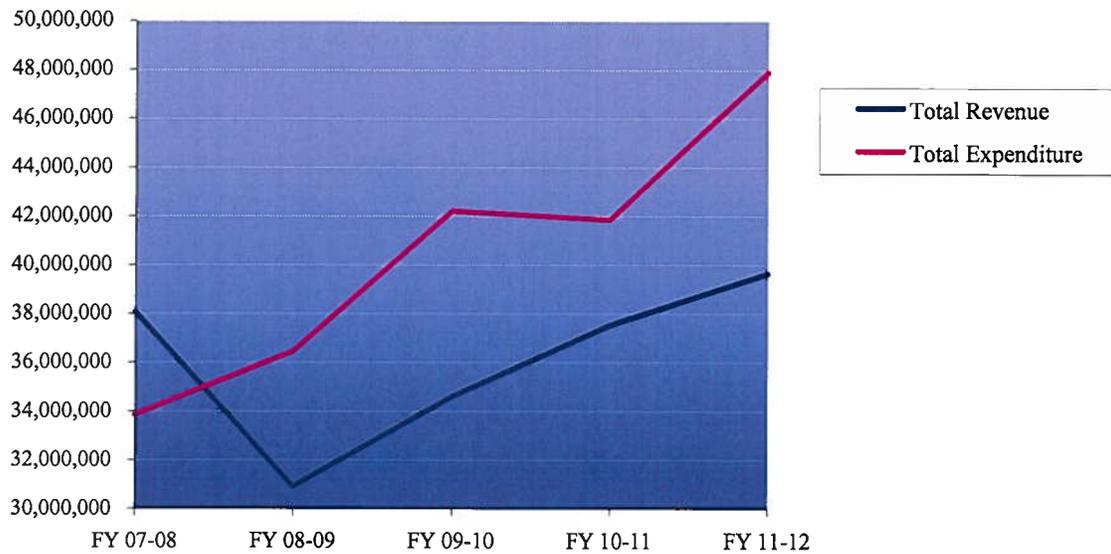
Village of Carol Stream

ALL PROPRIETARY AND
FIDUCIARY FUND TYPES

Combined Statement of Proposed Revenues/Expenses
& Changes in Retained Earnings/Fund Balances
Year Ended April 30, 2012

Description	Proprietary		Fiduciary		Totals
	Water & Sewer		Police Pension		
Revenue					
Charges for Services	\$ 8,078,795				8,078,795
Contributions			2,032,255		2,032,255
Installment Contract Proceeds	68,000				68,000
Interest	353,164		2,136,969		2,490,133
Total Revenue	8,499,959		4,169,224		12,669,183
Expenses					
Operations	8,039,435				8,039,435
Benefits and Refunds			1,761,195		1,761,195
Capital Expansion					
Debt Service					
Principal Retirement					
Interest and					
Fiscal Charges					
Total Expenses	8,039,435		1,761,195		9,800,630
Net Income (Loss)	460,524		2,408,029		2,868,553
Retained Earnings/ Fund Balances					
May 1 - Projected	52,124,923		27,129,431		79,254,354
April 30 - Projected	\$ 52,585,447		\$ 29,537,460		\$ 82,122,907

**TOTAL REVENUE vs. TOTAL EXPENDITURES
2008 - 2012**



A portion (\$1.3m) of the "gap" between the revenues and expenditures in FY10 is the projected deficit in the General Corporate Fund. Deficits are also projected in FY11 and FY12.

The main reason for the large "gap" between the revenues and the expenditures is that the General Corporate Capital Improvement Fund is funded out of reserves.

Village of Carol Stream

Comparison of Revenues

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Revenues FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
General Corporate Fund						
Operating Revenue						
Property Taxes						
Road & Bridge (Cty.Shared)	\$ 221,419	\$ 224,400	\$ 224,400	\$ 229,600	\$ 235,340	\$ 241,224
Pers. Prop. Replace.Tax	97,475	102,000	82,850	82,000	82,000	82,000
Other Taxes						
Sales Tax (State Shared)	6,245,374	6,345,031	6,461,666	6,156,474	6,399,400	6,651,976
Utility Tax	3,919,538	3,744,589	3,869,457	3,869,457	3,869,457	3,869,457
Income Tax (State Shared)	3,750,883	3,776,413	3,784,560	3,686,789	3,779,597	3,855,189
Amusement Tax	11,738	13,000	12,000	12,000	12,000	12,000
Home Rule Sales Tax	2,197,467	2,246,269	2,103,635	2,209,716	2,296,437	2,386,594
Real Estate Transfer Tax	878,712	754,500	636,496	300,000	330,000	363,000
Natural Gas Use Tax	624,844	585,000	603,506	600,000	600,000	600,000
Hotel Tax Receipts	352,449	376,651	315,622	315,622	325,091	341,345
Licenses and Permits						
Business/Scavenger Lic.	26,789	28,500	26,800	26,000	26,000	26,000
Dog License	1,837	2,000	1,900	1,900	1,900	1,900
Vehicle License	407,099	399,050	440,000	440,000	440,000	440,000
Liquor License	60,150	55,850	59,100	59,100	59,100	59,100
Vending Machine License	10,608	9,500	9,500	9,500	9,500	9,500
Game Room Fees	2,488	2,500	2,500	2,500	2,500	2,500
Building Permits	673,930	672,400	322,400	292,500	347,600	442,700
Review Fees - Bldg. Permits	0	0	55,000	100,000	65,000	30,000
Tobacco License	4,400	4,300	4,300	4,300	4,300	4,300
Residential Rental License	0	0	0	220,000	220,000	220,000
Charges for Services						
Re-inspection Fees	3,150	3,000	2,500	2,500	2,500	2,500
Legal & Planning Fees	116,638	75,000	23,082	35,000	50,000	60,000
Annexation Fees	0	0	0	0	0	0
Liquor Investigation Fees	4,675	3,500	3,500	3,500	3,500	3,500
Cable Franchise Fees	439,376	336,250	390,328	397,765	405,668	413,293
Service Fee - Developers	85,060	73,800	5,000	820	22,960	46,740
Sale of Trees	0	0	0	0	0	0
Host Benefit & Recyc.Fee	33,915	31,000	31,000	35,616	0	0
Public Hearing Fees	22,600	17,000	17,000	16,000	15,000	14,000
Passport Fees	17,265	20,000	10,000	9,000	9,000	9,000
Reim. Fee Based/DuMeg	304,207	296,480	266,242	272,131	277,062	283,462
Engr. Review Fees-SMA	40,815	23,100	50,000	36,200	43,000	49,000
Reimb. - Police Schools	8,134	15,000	13,500	11,000	11,000	11,000
Reimb. - School Dist/Park	266,905	260,090	260,090	275,369	283,247	291,516
Municipal Service Charge	138,532	117,110	117,110	124,807	126,979	129,082
Gas Sales Reim D93/Ducom/PK	66,793	77,683	83,000	89,107	96,716	104,588
Police Reports	5,455	5,600	5,600	5,600	5,600	5,600
Fines and Forfeits						
Circuit Court - Tickets/Fines	625,425	560,000	623,000	625,000	625,000	625,000
Court DUI Fines	370,180	500,000	285,000	300,000	300,000	300,000
Court Fines - Vehicles	64,530	0	50,000	50,000	50,000	50,000

Village of Carol Stream

Comparison of Revenues

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Revenues FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
DUI Tech	26,896	50,000	5,600	5,600	5,600	5,600
False Alarms	15,150	8,500	14,000	13,000	12,000	12,000
Ordinance Forfeits	64,718	65,000	125,000	105,000	95,000	85,000
Vehicle Forfeiture	0	75,000	75,000	75,000	75,000	75,000
ATLE Fines	0	285,450	78,750	506,250	405,000	405,000
Interest Income						
Interest Income - Operating	1,038,274	526,886	446,886	307,758	384,697	384,697
Other Operating						
Miscellaneous Revenue	445,192	150,000	150,000	165,000	205,000	190,000
Total Operating Revenue	23,691,085	22,917,402	22,146,880	22,084,481	22,614,751	23,194,363
Non-Operating Revenue						
Miscellaneous Revenue	1,075,055	0	0	0	0	0
Developer Contributions	0	0	0	0	0	0
Intergovernmental Grants	134,157	92,700	129,695	195,900	93,900	93,900
Residual Equity Transfer	0	0	0	0	0	0
Total Non-Operating Revenue	1,209,212	92,700	129,695	195,900	93,900	93,900
Other Funding Sources						
Reappro. of Fund Balance	0	3,702,251	3,702,251	1,385,690	76,175	130,175
Installment Loan Proceeds	0	257,000	0	72,567	154,149	250,355
Total Other Funding Sources	0	3,959,251	3,702,251	1,458,257	230,324	380,530
Total General Corp. Fund	24,900,297	26,969,353	25,978,826	23,738,638	22,938,975	23,668,793
Water & Sewer Fund						
Operating Revenue						
Water Billing	3,802,954	3,990,000	4,010,383	4,222,754	4,738,944	4,758,802
Water Penalties	37,750	39,900	46,119	48,562	54,498	54,726
Sewer Billing	2,480,173	2,656,584	2,692,831	2,558,405	2,796,103	2,807,820
Sewer Penalties	27,421	26,566	30,968	29,422	32,155	32,290
Meter Sales	38,014	25,010	15,000	275	7,700	15,675
Miscellaneous Revenue	127,284	3,600	12,000	4,500	4,500	4,500
Shut-off Notices/Admin. Fee	39,949	30,000	45,000	45,000	40,000	40,000
Wheaton Sanitary Serv. Charge	3,043	6,000	6,000	6,000	6,000	6,000
Interest Income-operating	0	80,157	31,330	31,330	39,164	39,164
Total Operating Revenue	6,556,588	6,857,817	6,889,631	6,946,248	7,719,064	7,758,977
Non-Operating Revenue						
Rental Income	100,618	74,422	188,705	154,300	160,473	166,892
Connection Fees - Water	25,800	20,500	6,000	250	7,000	14,250
Connection Fees - Sewer	46,850	32,800	9,600	525	14,700	29,925
Expansion Fee	293,423	212,790	30,000	2,596	72,660	147,915
Interest Income	724,716	385,000	270,000	322,000	276,000	314,000
Miscellaneous Revenue	882,197	0	0	0	0	0
Developer Contribution	543,185	0	0	0	0	0
Total Non-Operating Revenue	2,616,789	725,512	504,305	479,671	530,833	672,982

Village of Carol Stream

Comparison of Revenues

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Revenues FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Other Funding Sources						
Reappropriation of Fund Balance	0	2,869,464	2,869,464	3,519,000	155,000	430,500
Installment Loan Proceeds	0	0	0	0	366,500	68,000
Total Other Funding Sources	0	2,869,464	2,869,464	3,519,000	521,500	498,500
Total Water & Sewer Fund	9,173,377	10,452,793	10,263,400	10,944,919	8,771,397	8,930,459
Motor Fuel Tax Fund						
Intergovernment Allotments	1,167,536	1,177,000	1,169,180	1,169,180	1,182,440	1,188,352
Interest Income	82,284	35,000	28,000	45,000	46,000	32,000
Grants	0	674,000	674,000	367,000	0	0
Subtotal	1,249,820	1,886,000	1,871,180	1,581,180	1,228,440	1,220,352
Reappro. of Fund Balance	0	66,522	0	0	489,949	656,269
Total Motor Fuel Tax Fund	1,249,820	1,952,522	1,871,180	1,581,180	1,718,389	1,876,621
Police Pension Fund						
Employer Contribution	729,957	729,199	729,199	955,957	1,352,744	1,437,291
Employee Contribution	492,108	534,160	482,595	533,731	564,057	594,964
Miscellaneous Revenue	82	0	0	0	0	0
Interest Income	1,007,730	1,722,500	(2,146,500)	1,436,775	1,720,035	2,136,969
Subtotal	2,229,877	2,985,859	(934,706)	2,926,463	3,636,836	4,169,224
Reappro. of Fund Balance	0	0	0	0	0	0
Total Police Pension Fund	2,229,877	2,985,859	(934,706)	2,926,463	3,636,836	4,169,224
Public Library *						
Revenue	0	0	0	0	0	0
Total Public Library	0	0	0	0	0	0
TIF Debt Service Fund						
Geneva Crossing						
Incremental Taxes	323,232	332,399	382,529	363,403	370,671	378,084
Interest Income	42,790	37,500	15,000	20,056	22,923	25,789
Subtotal	366,022	369,899	397,529	383,459	393,594	403,873
Transfer fr. Gen. Corp. Fund	173,572	185,563	215,563	211,647	222,186	203,132
Total TIF Debt Service Fund- Geneva Crossing	539,594	555,462	613,092	595,106	615,780	607,005
General Corporate Fund - CIP						
Grants/Contributions	0	0	75,000	322,000	1,594,000	2,738,000
Interest Income	877,249	560,000	560,000	490,000	465,000	370,000
Subtotal	877,249	560,000	635,000	812,000	2,059,000	3,108,000
Transfer fr. Gen. Corp. Fund	1,750,000	1,750,000	3,500,000	0	0	0
Reappro. of Fund Balance	0	5,400,477	0	4,133,000	3,402,000	6,263,000
Total GCF-CIP Fund	2,627,249	7,710,477	4,135,000	4,945,000	5,461,000	9,371,000
Total Fund Revenue	\$ 40,720,214	\$ 50,626,466	\$ 41,926,792	\$ 44,731,306	\$ 43,142,377	\$ 48,623,102

* The Library does not prepare a 3-year plan.

Village of Carol Stream

Comparison of Expenditures/Expenses

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures/ Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
General Corporate Fund						
Legislative, Boards, Administration						
Fire & Police Commission	\$ 28,976	\$ 65,005	\$ 55,400	\$ 27,095	\$ 41,620	\$ 55,895
Legislative Board	150,971	175,070	157,429	159,087	153,606	159,331
Planning Commission & Zoning Board of Appeals	7,887	11,291	10,444	11,947	12,186	12,431
Legal Services	236,515	299,000	299,000	368,000	386,500	386,500
Administration	477,546	502,827	492,378	525,446	546,454	572,333
Subtotal	901,895	1,053,193	1,014,651	1,091,575	1,140,366	1,186,490
Support Services						
Village Clerk	67,779	73,902	71,777	76,096	80,893	86,621
Employee Relations	217,287	280,368	280,430	263,345	270,261	283,569
Financial Management	926,919	846,170	846,170	862,413	913,888	943,370
Management Services	553,941	799,991	688,012	932,285	1,070,730	1,092,888
Subtotal	1,765,926	2,000,431	1,886,389	2,134,139	2,335,772	2,406,448
Engineering Services						
Engineering Services	951,982	1,082,595	1,008,414	916,312	970,994	1,041,785
Community Development						
Community Development	892,183	1,051,145	868,710	1,133,027	1,267,366	1,419,305
Public Safety						
Emergency Services	49,281	163,284	149,848	136,986	133,339	138,090
Police	11,387,611	13,186,154	11,925,369	13,574,736	14,061,823	15,006,620
Subtotal	11,436,892	13,349,438	12,075,217	13,711,722	14,195,162	15,144,710
Public Works						
Streets	2,970,635	3,648,903	3,259,552	3,669,415	3,784,968	4,175,022
Municipal Building	391,300	524,636	438,528	402,871	421,697	417,624
Municipal Garage	63,176	0	0	0	0	0
Transfers and Agreements	2,235,867	3,810,139	3,810,653	298,095	309,498	291,317
Town Center Events	269,182	448,873	368,347	381,482	388,332	393,190
Subtotal	5,930,160	8,432,551	7,877,080	4,751,863	4,904,495	5,277,153
Total General Corporate Fund	21,879,038	26,969,353	24,730,461	23,738,638	24,814,155	26,475,891

Village of Carol Stream

Comparison of Expenditures/Expenses

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures/ Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Water and Sewer Fund						
Wastewater Collection & Treatment Center	3,157,589	3,167,089	2,964,697	3,266,567	3,129,468	3,261,061
Water/Sewer	3,986,097	5,968,503	3,351,384	6,951,184	4,774,411	4,778,374
Total Water and Sewer Fund	7,143,686	9,135,592	6,316,081	10,217,751	7,903,879	8,039,435
Motor Fuel Tax Fund	2,550,516	1,348,196	1,380,850	1,552,595	1,718,389	1,876,621
Police Pension Fund	1,018,912	1,207,794	1,061,664	1,390,240	1,590,289	1,761,195
Public Library *	0	0	0	0	0	0
TIF Debt Service Fund - Geneva Crossing	370,778	404,173	373,173	375,373	371,660	372,735
GCF - CIP	892,228	7,710,477	2,605,000	4,945,000	5,461,000	9,371,000
Total Expenditures/Expenses	\$ 33,855,158	\$ 46,775,585	\$ 36,467,229	\$ 42,219,597	\$ 41,859,372	\$ 47,896,877

* The Library does not prepare a 3-year plan.

Village of Carol Stream

FINANCIAL PLAN - 2009-2010
COMBINED OPERATING FUNDS

**Summary of Accounts
(GCF, W&S, and Garage)**

Acct No.	Account	Total	Percent of Total Budget	Fire Pol Comm 451	Legis Board 452	Plan Comm 453	Emer. Services 456	Legal Services 457	Village Clerk 458	Admin. 459	Employee Relations 460	Financial Mgmt. 461	Engin. Services 462	Com. Devel. 464	Mgmt. Services 465	Police Department 466	Streets 467	Munici. Building 468	Munic. Garage 469	Town Center 475	WC&TC 410	Water & Sewer 420
Salaries & Wages																						
51102	Personal Services	12,333,300	36.64%	3,000	23,400	4,677	80,973		56,814	403,698	157,896	583,363	574,685	503,353	292,125	7,044,114	1,223,202	140,220	326,897	34,013	138,489	742,181
51105	Crossing Guards	94,478	0.28%													94,478						
51106	Seasonal Help	47,874	0.14%										5,994				32,760					9,120
51107	Court Time	142,500	0.42%													142,000		500				
51109	Overtime	852,931	2.53%						500	200		3,000	4,000	3,500	1,730	598,899	122,095		2,899	60,000	4,155	51,953
51111	Group Insurance	1,801,068	5.35%				13,203			43,953	13,760	68,520	69,663	64,680	33,246	1,061,573	201,025	20,622	41,664		23,217	145,942
51112	IMRF	708,300	2.10%			272	7,984		5,395	39,645	15,569	57,837	57,058	49,976	28,974	166,486	132,647	13,875	32,518	8,284	13,772	78,008
51113	FICA	965,908	2.87%	230	1,790	358	6,194		4,385	24,222	11,045	42,416	43,266	36,754	22,480	552,519	104,703	10,765	25,229	7,192	10,912	61,448
51114	Workers Comp	476,859	1.42%				292		197	1,453	442	1,634	13,636	8,593	818	327,810	74,229	9,283	9,756	1,993	2,126	24,597
51115	Unemploy. Comp	7,500	0.02%								7,500											
51116	GCF Tr Pol. Pen.	955,957	2.84%													955,957						
SUBTOTALS		18,386,675	54.63%	3,230	25,190	5,307	108,646	0	67,291	513,171	206,212	756,970	768,302	666,856	379,373	10,943,836	1,890,661	195,265	438,963	111,482	192,671	1,113,249
Contractual Services																						
52212	Auto Maint. & Rpr.	600,827	1.79%																			
52215	Ins. Deductibles	100,000	0.30%										17,701	4,671	2,732	338,217	179,415	5,633	1,000		14,982	36,476
52219	TC Maintenance	27,000	0.08%																			10,000
52221	Utility Bill Process.	120,996	0.36%															27,000				
52222	Meetings	32,090	0.10%		23,000	200	160		180	1,600	150	1,710	40	400	350	3,900	200				60,498	60,498
52223	Training	177,555	0.53%	1,800		400	8,760		100	2,900	2,000	7,600	4,085	5,350	4,600	131,910	6,500	100	100		100	100
52224	Vehicle Insur.	109,373	0.32%										5,020	4,014	1,006	45,149	36,126	2,002	2,002		1,006	13,048
52225	Employment Phys.	2,250	0.01%								2,250											
52226	Office Equip. Maint.	25,870	0.08%						150	150		2,025	1,000	1,300	2,500	18,445	300					
52227	Radio Maint.	5,150	0.02%										100			4,450	500		100			
52228	Personnel Hiring	25,500	0.08%	21,000							4,500											
52229	Postage	80,026	0.24%													35,000					22,513	22,513
52230	Telephone	117,078	0.35%				750				780	1,380	5,780	1,400	41,600	35,478	2,300	672		4,080	22,858	
52231	Copy Expense	35,500	0.11%													35,000	300				100	100
52232	Records Storage	1,060	0.00%									400	200	150			110				100	100
52233	Recording Fees	1,300	0.00%						1,300												100	100
52234	Dues & Subscrip.	98,699	0.29%	400	56,000	640	320		175	3,800	600	2,263	1,805	1,600	2,225	22,877	3,573		1,785		156	480
52235	Prosecution	35,000	0.10%					35,000														
52236	Mgmt. Physicals	5,400	0.02%													5,400						
52237	Auditing	22,818	0.07%		15,972																3,423	3,423
52238	Legal Fees	250,500	0.74%	500				250,000														
52239	Range	5,500	0.02%													5,500						
52240	Pub. Notices/Info	27,100	0.08%	0	22,000	3,000	250		1,750												100	
52241	Court Recorder Fees	2,400	0.01%	0		2,400																
52242	Employee Recognit.	23,810	0.07%								23,810											
52243	Paging	3,150	0.01%											75		2,075	650					350
52244	Maint. & Repair	507,040	1.51%				3,400										105,000	70,450	3,000		134,000	191,190
52245	Gen. Communication	558,208	1.66%													558,208						
52246	Economic Develop.	2,500	0.01%											2,500								
52247	Data Processing	3,600	0.01%													3,600						
52248	Electricity	98,700	0.29%														11,000	1,500			13,500	72,700

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Village of Carol Stream

FINANCIAL PLAN - 2009-2010 COMBINED OPERATING FUNDS

Summary of Accounts (GCF, W&S, and Garage)

Acct No.	Account	Total	Percent of Total Budget	Fire Pol Comm 451	Legis. Board 452	Plan Comm 453	Emer. Services 456	Legal Services 457	Village Clerk 458	Admin. 459	Employee Relations 460	Financial Mgmt. 461	Engin. Services 462	Com. Devel. 464	Mgmt. Services 465	Police Department 466	Streets 467	Munici. Building 468	Munic. Garage 469	Town Center 475	WC&TC 410	Water & Sewer 420
52249	Animal Control	5,900	0.02%													5,900						
52250	Dial-A-Ride Cab Srv.	5,500	0.02%		5,500																	
52253	Consultant	258,700	0.77%						4,000													
52254	Actuarial	1,900	0.01%									1,900	36,200	195,000	23,500							
52255	Software Maint.	74,770	0.22%									37,650	5,730	2,200	24,000	4,790	400					
52256	Banking Services	9,035	0.03%									9,035										
52257	GIS System	40,400	0.12%										2,700	2,700	35,000							
52258	Comm Appear.Prog.	550	0.00%		550																	
52260	Weed Mowing	3,000	0.01%											3,000								
52261	Liability Insur.	69,000	0.21%												24,150						22,425	22,425
52262	OMI Contract	1,579,126	4.69%																		1,579,126	
52263	Property Insurance	69,000	0.21%												21,804						26,151	21,045
52264	Equipment Rental	14,605	0.04%														12,900	250	955			500
52265	Hauling	5,250	0.02%														5,250					
52266	Snow Removal	240,000	0.71%														240,000					
52267	Uniform Cleaning	6,316	0.02%														1,900		3,166			1,250
52268	Tree Maint.	130,350	0.39%														130,350					
52269	Mosquito Abatemt.	40,835	0.12%														40,835					
52270	Str.Light Knockdown	0	0.00%																			
52271	Street Light Maint.	22,000	0.07%														22,000					
52272	Prop Maint-NPDES	158,070	0.47%										46,000				79,350				31,360	1,360
52273	Employee Services	20,465	0.06%								20,465											
52274	Comm. Serv. Prog.	9,400	0.03%		9,400																	
52276	Janitorial Service	53,300	0.16%																			
52277	Gas & Water	18,100	0.05%														8,300	45,000				
52279	Lab Services	29,550	0.09%														5,000	10,500			1,200	1,400
52280	Munic. Serv. Charge	124,807	0.37%																		7,050	22,500
52282	Meter Maint.	11,000	0.03%																		62,404	62,403
52283	DuPage Water Com.	2,215,838	6.58%																			11,000
52284	Equipment Maint.	5,200	0.02%																			2,215,838
52285	Sister Cities	0	0.00%																4,000			1,200
52286	Street Maint.	243,000	0.72%														243,000					
52287	Summer in the Center	185,000	0.55%																			
52288	Concert Series	27,500	0.08%																		185,000	
52289	Octoberfest	6,800	0.02%																		27,500	
52290	Multi-Cultural Event	7,500	0.02%																		6,800	
52291	Misc. Events Act.	40,000	0.12%																		7,500	
52297	Sewer System Maint.	60,000	0.18%																		40,000	
52298	Atle Service Fee	197,035	0.59%																			60,000
52310	Legal Adjudication	3,600	0.01%																			
52311	Pros.Code Enforc.	0	0.00%																			
52312	Prosecution DUI	83,000	1.56%					83,000														
52316	Overhead Sewer	25,000	0.47%																			
52318	Rental Insp. Prog	200,000	0.59%											25,000								
														200,000								
	SUBTOTALS	9,406,402	27.95%	23,700	132,422	6,640	13,640	368,000	7,655	8,450	54,555	63,963	126,361	449,360	343,467	1,386,644	1,135,149	163,107	16,108	266,800	2,044,774	2,795,607

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Village of Carol Stream

FINANCIAL PLAN - 2009-2010 COMBINED OPERATING FUNDS

Summary of Accounts (GCF, W&S, and Garage)

Acct No.	Account	Total	Percent of Total Budget	Fire Pol Comm 451	Legis. Board 452	Plan Comm 453	Emer. Services 456	Legal Services 457	Village Clerk 458	Admin. 459	Employee Relations 460	Financial Mgmt. 461	Engin. Services 462	Com. Devel. 464	Mgmt. Services 465	Police Department 466	Streets 467	Municip. Building 468	Munic. Garage 469	Town Center 475	WC&TC 410	Water & Sewer 420
Commodities																						
53302	Bricks	2,700	0.01%																	2,700		
53313	Auto Gas & Oil	354,791	1.05%									1,415	6,994	3,711	1,096	212,291	98,362	1,849	1,146		2,219	25,708
53314	Office Supplies	31,590	0.09%				1,000		600													700
53315	Printed Materials	48,325	0.14%	100	125				350		140	24,235	1,750	4,000	1,100	18,000	1,400		200			200
53316	Tools	7,100	0.02%										270	4,000	3,500						300	200
53317	Oper. Supplies	149,160	0.44%				8,200					2,780	2,130		5,250	59,800	3,000		2,600		2,200	1,500
53318	Refer. Materials	5,745	0.02%							100	125	200	470	1,000		3,500	36,000		3,300			29,500
53319	Maint. Supplies	19,800	0.06%																			
53320	Janitorial Supplies	6,000	0.02%														4,800	15,000				
53321	Ammunition	16,700	0.05%															6,000				
53322	Emerg. Equipment	6,720	0.02%													16,700						
53323	Weapons	0	0.00%													6,720						
53324	Uniforms	110,409	0.33%		750		200			200	38	100	1,040	500	300	94,091	7,020	650	1,200			4,320
53325	Comm. Relations	30,805	0.09%													30,805						
53326	Prisoner Care	875	0.00%													875						
53330	Invest. Fund	12,350	0.04%													12,350						
53332	Sewer Sys. Supplies	5,000	0.01%																		5,000	
53333	Meters	150,000	0.45%																			150,000
53341	Gas - Consumed	440,408	1.31%																			
53343	Oil Parts Consumed	70,000	0.21%																			70,000
53344	Street Signs	9,000	0.03%														9,000					
53350	Small Equipment	38,870	0.12%						200		675	950	495	600	500	27,850	2,900	500	1,200	500	500	2,000
53353	Outsourcing Serv.	25,000	0.07%																			
53354	Parts Purchased	70,000	0.21%																			70,000
53355	Parts Purch. Contr.	(70,000)	-0.21%																			(70,000)
53356	Gas Purchased	440,408	1.31%																			440,408
53357	Gas Purch. Contr.	(440,408)	-1.31%																			(440,408)
53358	Alloc. to Other Dept.	(1,002,630)	-2.98%																			(1,002,630)
53379	Recycling Contain.	2,500	0.01%												2,500							
53380	Vending Mach Sup	250	0.00%												250							
53381	TC Maint & Supplies	12,000	0.04%																			12,000
SUBTOTALS		553,468	1.64%	165	1,475	0	9,400	0	1,150	825	1,278	31,030	13,149	13,811	14,496	497,637	162,982	35,999	(457,276)	3,200	10,219	213,928
Capital Outlay																						
54411	Office Equipment	6,500	0.02%									6,500										
54412	Other Equipment	195,285	0.58%												61,249	50,275	73,056	8,500	2,205			
54413	Computer Equip.	86,250	0.26%							3,000	1,300	3,950	8,500	3,000	6,700	55,300	3,600					900
54415	Vehicles	500,600	1.49%													170,600	330,000					
54417	Radios	477,144	1.42%				5,300									470,444	1,400					
54422	Installment Loans	72,567	0.22%														72,567					
54480	Construction	3,290,000	9.77%																			
56490	Loan Principal	295,345	0.88%																			295,345
56491	Loan Interest	146,058	0.43%																			146,058
57499	Contingency	142,000	0.42%												127,000							7,500
58702	Trans to Replace.	100,000	0.30%																			70,000
SUBTOTALS		5,311,749	15.78%	0	0	0	5,300	0	0	3,000	1,300	10,450	8,500	3,000	194,949	746,619	480,623	8,500	2,205	0	1,018,903	2,828,400
TOTALS		33,658,294	100.00%	27,095	159,087	11,947	136,986	368,000	76,096	525,446	263,345	862,413	916,312	1,133,027	932,285	13,574,736	3,669,415	402,871	0	381,482	3,266,367	6,951,184

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Village of Carol Stream

2010-2012 Personnel Schedule

	Authorized 2007-08	Authorized 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
I. Administration					
a. Village Manager	1	1	1	1	1
b. Assistant Village Manager	1	1	1	1	1
c. Executive Secretary	1	1	1	1	1
	<u>3</u>	<u>3</u>	<u>3</u>	<u>3</u>	<u>3</u>
II. Emergency Management					
d. Emergency Management Coord.	1	1	1	1	1
	<u>1</u>	<u>1</u>	<u>1</u>	<u>1</u>	<u>1</u>
III. Management Services					
a. Asst. to the Village Mgr.	1	1	1	1	1
b. Secretary	.5	.5	.5	.5	.5
c. Interdepartmental Aide	.5	.5	0	0	0
d. Information Systems Coord.	1	1	1	1	1
e. Information System Technician	0	1	1	1	1
	<u>3</u>	<u>4</u>	<u>3.5</u>	<u>3.5</u>	<u>3.5</u>
IV. Employee Relations					
a. Employee Relations Director	1	1	1	1	1
b. Employee Relations Clerk	.7	.7	.7	.7	.7
	<u>1.7</u>	<u>1.7</u>	<u>1.7</u>	<u>1.7</u>	<u>1.7</u>
V. Financial Management					
a. Finance Director	1	1	1	1	1
b. Assistant Finance Director	1	1	1	1	1
c. Accountant	1	1	1	1	1
d. Accounts Clerk	6	6	6	6	6
e. Administrative Secretary	.75	.75	.75	.75	.75
	<u>9.75</u>	<u>9.75</u>	<u>9.75</u>	<u>9.75</u>	<u>9.75</u>
VI. Community Development					
a. Community Development Dir.	1	1	1	1	1
b. Assistant Community Develop. Dir.	0	1	1	1	1
c. Village Planner	1	0	0	0	0
d. Chief Code Enforcement Officer	1	0	0	0	0
e. Code Enforcement Officer	3	0	0	0	0
f. Code Professional I	0	1	1	1	1
g. Code Professional II	0	2	2	2	2
h. Building & Zoning Professional	0	1	1	1	1
i. Administrative Secretary	1	0	0	0	0
j. Permit Systems Coordinator	0	1	1	1	1
k. Secretary	1	1	1	1	1
	<u>8</u>	<u>8</u>	<u>8</u>	<u>8</u>	<u>8</u>

Village of Carol Stream

2010-2012 Personnel Schedule

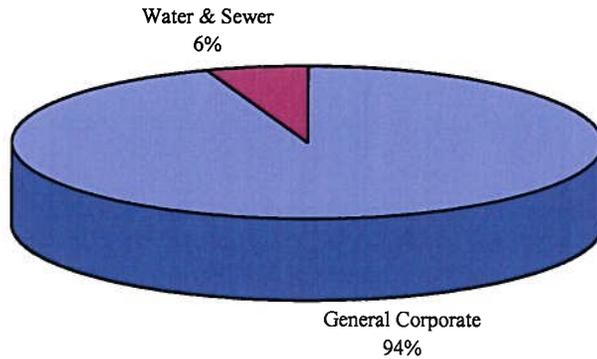
	Authorized 2007-08	Authorized 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
VII. Engineering Services					
a. Director of Engineering Services	1	1	1	1	1
b. Assistant Village Engineer	1	1	1	1	1
c. Staff Engineer	1	1	0	0	0
d. Engineering Inspector	3	3	3	3	3
e. Administrative Secretary	1	1	1	1	1
f. Secretary	.5	.5	.5	.5	.5
	<u>7.5</u>	<u>7.5</u>	<u>6.5</u>	<u>6.5</u>	<u>6.5</u>
VIII. Public Works-Streets Division					
a. Director of Public Works	1	1	1	1	1
b. Assistant Public Works Director	0	0	1	1	1
c. Public Works Program Coordinator	1	1	0	0	0
d. Administrative Secretary	1	1	1	1	1
e. Street Superintendent	1	1	1	1	1
f. Public Works Employee I	3	3	3	3	3
g. Public Works Employee II	10	10	10	10	10
h. Public Works Employee III	2	2	2	2	2
i. Clerk-Public Works	1	1	1	1	1
	<u>20</u>	<u>20</u>	<u>20</u>	<u>20</u>	<u>20</u>
IX. Public Works-Water/Sewer Division					
a. Water/Sewer Supervisor	1	1	1	1	1
b. Water/Sewer Employee I	2	2	2	2	2
c. Water/Sewer Employee II	4	4	4	4	4
d. Water/Sewer Employee III	1	2	2	2	2
	<u>8</u>	<u>9</u>	<u>9</u>	<u>9</u>	<u>9</u>
X. Public Works - Municipal Garage Division					
a. Municipal Garage Supervisor	1	1	1	1	1
b. Garage Mechanic	3	2	2	2	2
c. Mechanic's Helper	0	1	1	1	1
	<u>4</u>	<u>4</u>	<u>4</u>	<u>4</u>	<u>4</u>
XI. Police Department					
a. Chief of Police	1	1	1	1	1
b. Deputy Chief	1	1	1	1	1
c. Lieutenant	2	2	2	2	2
d. Social Worker	2	2	2	2	2
e. Social Services Supervisor	1	1	1	1	1
f. Sergeant	9	9	9	9	9
g. Police Officer	55	57	53	53	53
h. Community Service Tech.	8	8	8	8	8
i. Evidence /Property Custodian	1	1	1	1	1
j. Administrative Secretary	1	1	1	1	1
k. Secretary	1	1	1	1	1
l. Records Supervisor	1	1	1	1	1

Village of Carol Stream

2010-2012 Personnel Schedule

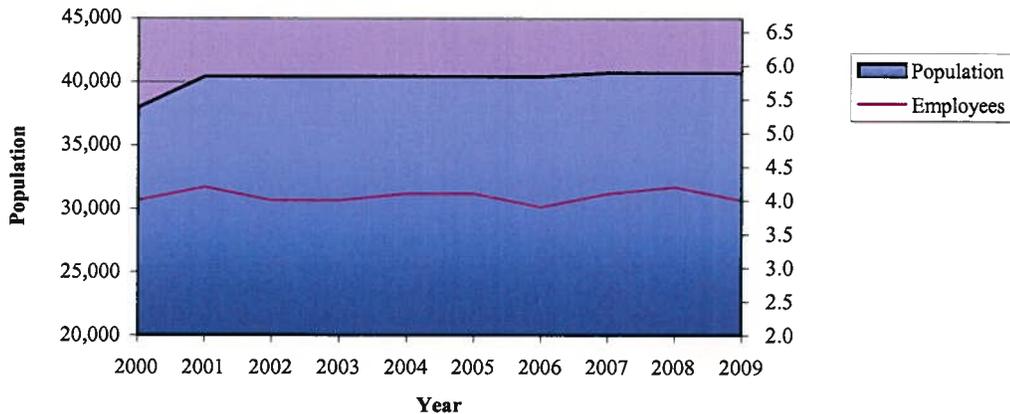
	Authorized 2007-08	Authorized 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
m. Assistant Records Supervisor	1	1	1	1	1
n. Records Clerk	7	7	7	7	7
o. Clerk/Typist	1	1	1	1	1
p. Crime Analyst	1	1	1	1	1
q. Court Liaison	.5	.5	.5	.5	.5
r. Training Coordinator/ Budget Analyst	1	1	1	1	1
s. Crime Prevention Specialist	1	1	0	0	0
t. Investigative Aide	1	2	1	1	1
	<u>96.5</u>	<u>99.5</u>	<u>93.5</u>	<u>93.5</u>	<u>93.5</u>
XII. Municipal Building					
a. Municipal Bldg. & Grounds Maint. Supervisor	1	1	1	1	1
b. Municipal Bldg. & Grounds Maint. Employee	1	1	1	1	1
	<u>2</u>	<u>2</u>	<u>2</u>	<u>2</u>	<u>2</u>
XIII. Village Clerk's Office					
a. Deputy Village Clerk	.75	.75	.75	.75	.75
TOTAL - REGULAR EMPLOYEES (Full-Time Equivalent)					
	<u>165.2</u>	<u>170.2</u>	<u>162.7</u>	<u>162.7</u>	<u>162.7</u>
XIV. Seasonal & Miscellaneous					
Temporary Help					
a. Streets Division-Summer	6	6	6	6	6
b. Water/Sewer Division-Summer	2	2	2	2	2
c. Administration	1	1	1	1	1
d. Meter Readers (1/2 W/S + 1/2 WRC)	.25	.25	.25	.25	.25
e. Eng. Aide-Eng. Services	1	1	1	1	1
f. Police Patrol-Crossing Guards	22	22	0	0	0
TOTAL-OTHER THAN FULL TIME	<u>32.25</u>	<u>32.25</u>	<u>10.25</u>	<u>10.25</u>	<u>10.25</u>
(Full-Time Equivalent)	16.13	16.13	5.13	5.13	5.13
TOTAL EMPLOYEE COUNT	<u>197.45</u>	<u>202.45</u>	<u>172.95</u>	<u>172.95</u>	<u>172.95</u>
TOTAL EMPLOYEE COUNT (Full-Time Equivalent)	<u>181.33</u>	<u>186.33</u>	<u>167.83</u>	<u>167.83</u>	<u>167.83</u>

**PERSONNEL BY FUND
2010**



Due to the current economic climate, 7.5 positions were eliminated from the three-year plan. The positions that were eliminated included 4 police officers, a crime prevention specialist, an investigative aid, the staff engineer and the interdepartmental aide (.5). These positions will be re-evaluated once the economy turns around.

**AUTHORIZED
STAFFING LEVELS
Employees per 1,000 Residents**



Through the use of private sector alternatives whenever practical, the Village has been able to maintain a resident population ratio of 4.2 to 1,000. As a result of the necessary staffing reductions, the ratio has decreased to 4.0 to 1,000.

A. Common Assumptions

1. Interest Earning Rate for all fund reserves.

- a. Fiscal 2009-10: 2.00%
- b. Fiscal 2010-11: 2.50%
- c. Fiscal 2011-12: 2.50%

2. Number of Building Permits.

- a. Fiscal 2009-10:
 - 0 Single Family Detached
 - 0 Single Family Attached
 - 1 Industrial/Commercial
 - 1700 Accessory/Miscellaneous
 - 75 Industrial/Commercial Remodeling/Build-out
- b. Fiscal 2010-11:
 - 1 Single Family Detached
 - 25 Single Family Attached
 - 2 Industrial/Commercial
 - 1700 Accessory/Miscellaneous
 - 75 Industrial/Commercial Remodeling/Build-out
- c. Fiscal 2011-12:
 - 2 Single Family Detached
 - 50 Single Family Attached
 - 5 Industrial/Commercial
 - 1700 Accessory/Miscellaneous
 - 75 Industrial/Commercial Remodeling/Build-out

B. General Corporate Fund

1. Sales Tax (State Shared)

Assumes that the State of Illinois will continue remitting 1% of State Sales Tax to local communities on point of sale basis.

- a. Fiscal 2009-10: Due to a one-time tax payment, projected FY09 receipts are 1.5% over the budgeted amount. As the economy continues to slow down, sales tax growth has also slowed down. The projection includes an increase of 3.0% which basically only reflects inflation.
- b. Fiscal 2010-11: 4.0% (2% Growth, 2% Inflation) increase over 2009-10.
- c. Fiscal 2011-12: 4% (2% Growth, 2 Inflation) increase over 2010-11.

2. Home Rule Sales Tax

- a. Home rule sales tax revenues are projected at 35.5% of the regular sales tax for all three years.

3. Utility/Telecommunication Tax:

51.8% of the utility tax receipts are from telecommunications and 48.2% from electrical use.

- a. Fiscal 2009-10: Receipts from electric and telecommunications are based on usage. Usage for both utilities has leveled off so revenues for each have been projected to be flat for all three years.

b. Fiscal 2010-11: See Fiscal 2009-10

c. Fiscal 2011-12: See Fiscal 2009-10

4. Natural Gas Use Tax:

In FY04, the Village Board repealed the utility tax on natural gas in favor of a natural gas use tax. In order to implement this tax, the Village exercised its home-rule authority. Based on usage information received from NICOR, the Village felt that the most equitable way to tax the use of natural gas was by implementing the Natural Gas Use Tax. The tax rate is 2.5 cents per therm.

- a. Fiscal 2009-10: Revenues are based on usage and usage will fluctuate based on the weather. At this point these revenues have appeared to somewhat level off. Usage and revenues are projected to be flat for all three years.

b. Fiscal 2010-11: See Fiscal 2009-10

c. Fiscal 2011-12: See Fiscal 2009-10

5. State Income Tax (State Shared):

- a. Fiscal 2009-10: The projected shared rate is \$90.50, a 6.4% decrease from FY09. The population based on a 2006 Special Census is 40,738.

- b. Fiscal 2010-11: The projected shared rate is \$91.41 per capita. The estimated population based on the 2010 Census is 41,350.

- c. Fiscal 2011-12: The projected shared rate is \$93.24 per capita. The estimated population based on the 2010 Census is 41,350.

6. Real Estate Transfer Tax:

Given the severity of the economy, especially within the housing industry, the sale of pre-existing homes have declined dramatically.

- a. Fiscal 2009-10: Estimated value of residential sales subject to the tax is \$80,000,000 and the estimated value of industrial sales is \$20,000,000, a decrease in projected revenue from FY09 of 60%.
- b. Fiscal 2010-11: It is anticipated that the recovery to the housing market will be slow therefore a conservative growth estimate of 10% was projected.
- c. Fiscal 2011-12: It is anticipated that the recovery to the housing market will be slow therefore a conservative growth estimate of 10% was projected.

7. Building Fees:

Based on the current building fee schedule.

8. Interest Income: See Common Assumptions.

C. Water and Sewer Fund:

1. Customer Charge:

a. Water

(1) The Village purchases its water from the DuPage Water Commission (DWC) and the DWC purchases water from the City of Chicago. In a move to balance its budget, the City of Chicago voted to raise the water rates charged to the DWC in FY09 by .20, FY10 by .23 and FY11 by .25. The DWC will be passing these rate increases onto its members and therefore, it will be necessary for the Village to also pass these increases onto our customers.

(2) The water rate will be \$3.48/1,000 gallons starting on May 1, 2009 and \$3.73/1,000 gallons on May 1, 2010.

- (a) Fiscal 2009-10: Projecting 1,213,435,000 gallons billed.
- (b) Fiscal 2010-11: Projecting 1,270,494,000 gallons billed.
- (c) Fiscal 2011-12: Projecting 1,275,818,000 gallons billed.

b. Sewer

(1) A rate increase will be needed in FY11. An \$.11/1,000 gallons increase bringing the rate to \$2.62/1,000 gallons will take effect on May 1, 2010. Staff will continually monitor the sewer rate annually.

(a) Fiscal 2009-10: Projecting 1,019,285,400 gallons billed.

(b) Fiscal 2010-11: Projecting 1,067,214,960 gallons billed.

(c) Fiscal 2011-12: Projecting 1,071,687,120 gallons billed.

(2) Connection Fees: No fee increase. See Common Assumptions for number of building permits.

(3) Interest Income. See Common Assumptions.

(4) Water and Sewer Expansion Fee: No fee increase.

D. Motor Fuel Tax Fund (State Shared):

1. Allotments

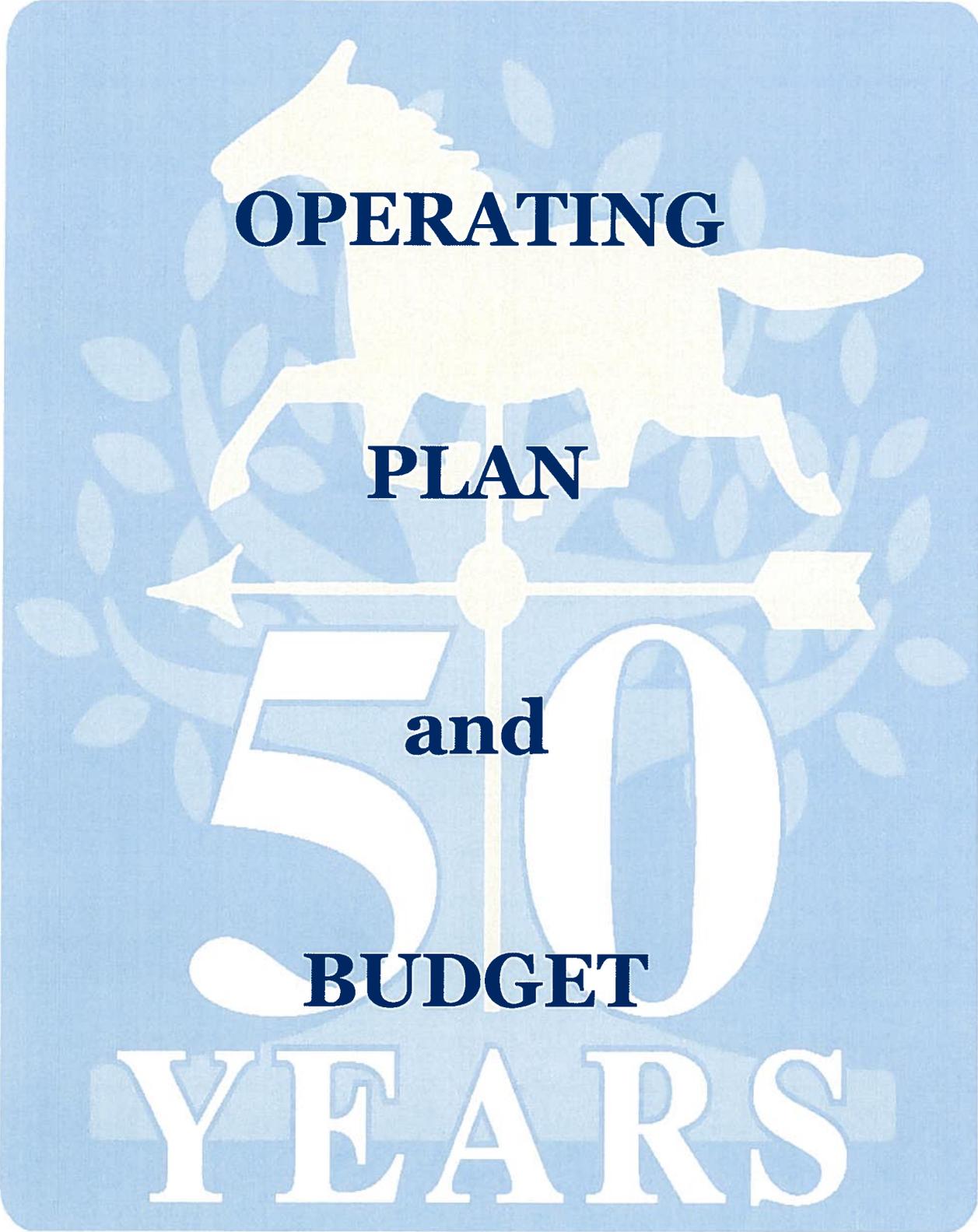
a. Fiscal 2009-10: The estimated per capita rate is \$28.70. The population based on a 2006 Special Census is 40,738.

b. Fiscal 2010-11: The estimated shared rate is \$28.60 per capita. The estimated population based on the 2010 Census is 41,350.

c. Fiscal 2011-12: The estimated shared rate is \$28.74 per capita. The estimated population based on the 2010 Census is 41,350.

2. Interest Income. See Common Assumptions.

1. A performance based employee compensation package consistent with sound economic policies of the Village of Carol Stream to recruit and maintain qualified employees.
2. Personnel levels and other resources are adjusted to provide programs and levels of service as defined in the Financial Plan. Performance measures have been included for the major departments
3. There are no new Village programs or new contributions to other agency programs without a new specific funding source.



OPERATING

PLAN

and

BUDGET

YEARS

INTRODUCTION

Village services are characterized as either governmental or proprietary. The former are “traditional” government services which are directed toward maintaining and enhancing the health, safety and welfare of the community. Police protection, planning and zoning, building code enforcement, licensing and snow and ice control are examples of governmental services. These services are primarily funded by generally applied taxes or fees for service and are accounted for in the General Corporate Fund.

Proprietary or enterprise activities, such as a utility operation, are supported by user charges with the customer paying directly for the commodity used. The Village of Carol Stream operates a combined water and water reclamation utility. Charges collected and costs of operation are accounted for in the Water and Sewer Operation and Maintenance Fund.

OVERVIEW

The 2010-12 Financial Plan is based on the vision, purpose and values statement, as well as the fiscal, community and economic development and service policies of the Village established over time by the Village Board. Revenues and expenditures have been estimated utilizing the assumptions provided in the Financial Plan and Budget Summaries section. The Village follows a “cost of service” approach which results in user fees, rates and customer charges being sufficient to cover the cost of providing the service. The Village is currently having the building permit fees reviewed and it is anticipated that the fees will be modified after May 1, to recognize the costs associated with the service provided. Water and sewer customer charges are reflective of the operation of the utilities.

General Corporate Fund revenues reflect the current decline, in the economy. Building related revenues are projected to be \$380,000 lower than was projected last year, the real estate transfer tax is projected to be \$455,000 lower and receipts from the hotel tax are projected to be \$83,000 lower, all as a result of the current economic condition. With the implementation of the first red-light camera and the new rental inspection program, revenues are projected to be 3.7% higher than the estimated revenues for FY09 but 4% lower than projected one year ago for FY10.

In fiscal year 2003, the Village implemented a .5% home-rule sales tax along with a 2.5¢/therm tax on natural gas usage (the utility tax on natural gas was repealed). The revenues from the home-rule sales tax have come in at about 35.5% of the regular sales tax revenues. Since the revenues from the use tax on natural gas are based on consumption, weather becomes a major factor. It appears that, after four years, these revenues have leveled off.

Wherever cost effective, private companies are used to supplement Village resources to provide services. Some examples of “privatization” include: water reclamation center management, refuse collection, major street light repair, snow plowing, engineering testing, major building maintenance, legal services, road construction, certain auto and truck maintenance and repair services and landscape maintenance on Gary and North Avenues, Lies and Kuhn Roads and the

Town Center. Consultants will augment the Village staff as needed to provide specialized reviews of engineering plans required for compliance with stormwater regulations and to provide major infrastructure construction design and inspection services.

The continued emphasis on the appearance of Village entrances and traffic corridors results in significant funds being expended for the upkeep of the Town Center, the Gary and North Avenue corridors landscaping and Village buildings. Resources are identified to meet stringent federal standards for stream and river water quality and to address space needs at the Village Hall.

LOOKING AHEAD

Of course, the state and national economic conditions have the most impact on our revenue sources. The State of Illinois is still experiencing severe budget problems and the Village will need to continually monitor the activity of the State Legislature to assure that Village revenues are not reduced or diverted as a solution to the State's budget problem. It is projected that operating reserves (fund balance) in the amount of \$858,029 will be utilized in order to balance FY10. Large deficits are projected for both FY11 and FY12. The projected deficit in the second and third years will require the combination of new or enhanced revenue sources with operating program reductions. Existing capital reserves will be used to finance the infrastructure maintenance and capital additions resulting in reducing the balance available for future capital improvement projects. Prior to the reserves being completely utilized, a designated revenue source will need to be identified and implemented so as to fund the CIP. If a designated revenue source is not identified and implemented, capital projects would need to be severely reduced and/or eliminated. Water and Sewer customer charges and connection fees are set at levels to cover operation maintenance and replacement costs and to generate a modest surplus for identified future system improvements. Recommendations to increase the water and sewer rates have been included.

Continued emphasis on up-to-date efficient approaches to service and the discipline to not venture into service areas not traditionally provided by the Village without new revenues, will have to be maintained. Legislative activities at the State and Federal levels, aimed at reducing existing Village revenue sources or imposing new unfunded and mandated programs, will have to be more vigorously resisted and fought than ever before. A careful watch will have to be kept on the tax treatment of "e-commerce" taking place at the state and federal level.

The main advantage of utilizing a multi-year financial plan is that it gives us a look into the future. If problems are identified, working towards solving the problems can begin now. The revenues were projected based on today's economic condition and when the economy improves, so should some of our key revenues. The plan, as proposed, includes projected deficits in all three years. Staff has broken the projected deficits down into two categories, economic and structural. It has been determined that approximately \$800,000/year of the projected deficits are economic in nature, with the balance being a structural problem. Staff and the Village Board have been working and will continue to work on addressing the projected structural problem.

GENERAL CORPORATE FUND

A. Revenue

1. **Total Operating Revenues** of \$22,222,498 are at the same level as FY09 estimated operating revenues and 5.6% lower than the FY10 operating revenues that were projected last year.
 - a. 53.3% of operating revenue comes from State shared sources: Sales Tax, Income Tax and the Telecommunication Tax. State shared revenues, for the most part, reflect the economy. The State and National economic climate and the condition of local businesses are reflected in State shared sales and income taxes. The General Corporate Fund reflects a significant downturn in the economy. These revenues are projected to be at the same level as the estimated FY09 receipts due to the addition of new revenues for the rental property inspection program and a full year of revenue from the red light camera program.
 - b. 33.3% of operating revenue comes from local sources, such as the utility tax on electricity, home-rule sales tax, natural gas use tax, the real estate transfer tax, fines and forfeits, building permit fees, and vehicle licenses. The home-rule sales tax revenues during FY09 came in lower than was anticipated and the projection for FY10 is that they will come in at about 35.5% of the regular sales tax revenues.
 - c. Revenues are reflective of the current down economy. Deficits are projected for all three years. The projected deficits in FY11 and FY12 are of the magnitude that tough decisions will need to be made. Decisions that pertain to both new/enhanced revenue sources and/or reductions in programs will be necessary.

B. Expenditures

1. **Total Operating Expenditures** of \$23,738,638 are 1.2% higher than the FY09 budget and 4.2% less than the FY10 projection made a year ago. This budget includes the elimination of 9.5 positions, the addition of the rental property inspection program which will be contracted out and a full year of the red light camera program.
 - a. The personnel related costs of Salaries and Wages, which include pension and group health and life insurance, represent 71.5% of the proposed FY10 General Corporate Fund Operating Expenditure budget. An increase of 4.25% for the Service Employees International Union which represents the Public Works Streets, Water and Sewer and Garage divisions has been included representing the last year of their four-year contract. The contract with the Fraternal Order of Police Labor Council expires on April 30, 2009 and negotiations are ongoing at this point. At this point, the

amount of the general wage adjustment, if any, has not been determined for the police union and non-union employees so a contingency has been included in the budget. The Village's contributions to the Illinois Municipal Retirement Fund (IMRF) and the Police Pension Fund (PPF) are 9.86% and 12.45% respectively of the salary and wages paid to the covered employees. Additionally, the Village contributes 7.65% of all salaries and wages to Social Security and Medicare. In FY10, a 5% increase in the PPO, 7% increase in the HMO and 3% increase in the dental premiums have been budgeted.

- b. Regular full-time personnel positions are proposed to be 162.7 a decrease of 7.5 from the current authorized level.

WATER AND SEWER OPERATION & MAINTENANCE FUND

A. Revenue

1. **Total Operating Revenues** of \$6,946,248 are .8% higher than the FY09 estimated revenue and 4.3% less than the FY10 revenue projection of last year. Although operating revenues are projected to be higher than FY09 because of a \$.23/1,000 gallon water rate increase, overall consumption has been on a decline so the projected total gallons of water sold has been reduced by 1.5%. The water rate increase is a direct pass-thru of the rate increase by the City of Chicago to the DuPage Water Commission.
2. **Non-Operating Revenue** consisting primarily of interest income, expansion and connection fees is \$479,671, a decrease of 33.9% primarily due to significant reductions in building related fees.

B. Expenditures

1. **Total Operating Expenditures** of \$6,698,751 are 9.6% more than the FY09 expenditures and 1.2% lower than the FY10 expenditures projected last year primarily due to a reduction in the amount of water that will be purchased.
 - a. The personnel related costs of Salaries and Wages, which include Illinois Municipal Retirement Fund pension and group health and life insurance, represent 19.5% of the Water and Sewer Operating Expenditure Budget. Insurance premium increases are the same as identified in the General Corporate Fund as are the contributions to IMRF and Social Security.

Regular full-time personnel positions are proposed to remain at 9, the current authorized level.

- b. The FY10 budget includes the principal and interest payment of \$441,403 which is due on the \$6.8m IEPA loan for the Water Reclamation Center and the loan by the DuPage Water Commission for water mains on Judith and Riviera.
- c. The FY10 budget includes \$3,519,000 for capital projects of which \$2,790,000 is for the extension of a water main into the unincorporated area, southwest of the Village and \$500,000 is for the replacement of the Evergreen Sanitary Lift Station.

RESERVE ACCOUNTS/FUND BALANCE

The Village's Operating Funds have cash reserves (Fund Balances) accumulated over the years. These reserves are earmarked for specific purposes as follows:

Operations

50% of the annual General Corporate Fund operating expenditure budget is earmarked as a cushion against a deterioration or elimination of revenue sources or unanticipated major expenditures. Since the Village's main sources for general revenue are directly affected by the economy, subject to modification by the Illinois General Assembly, U. S. Congress and the courts, and/or are potentially subject to the loss of a major payer due to relocation, shifting of payment recordation, business conditions and accident or natural disaster, this reserve provides funds for continuing the provision of basic traditional services.

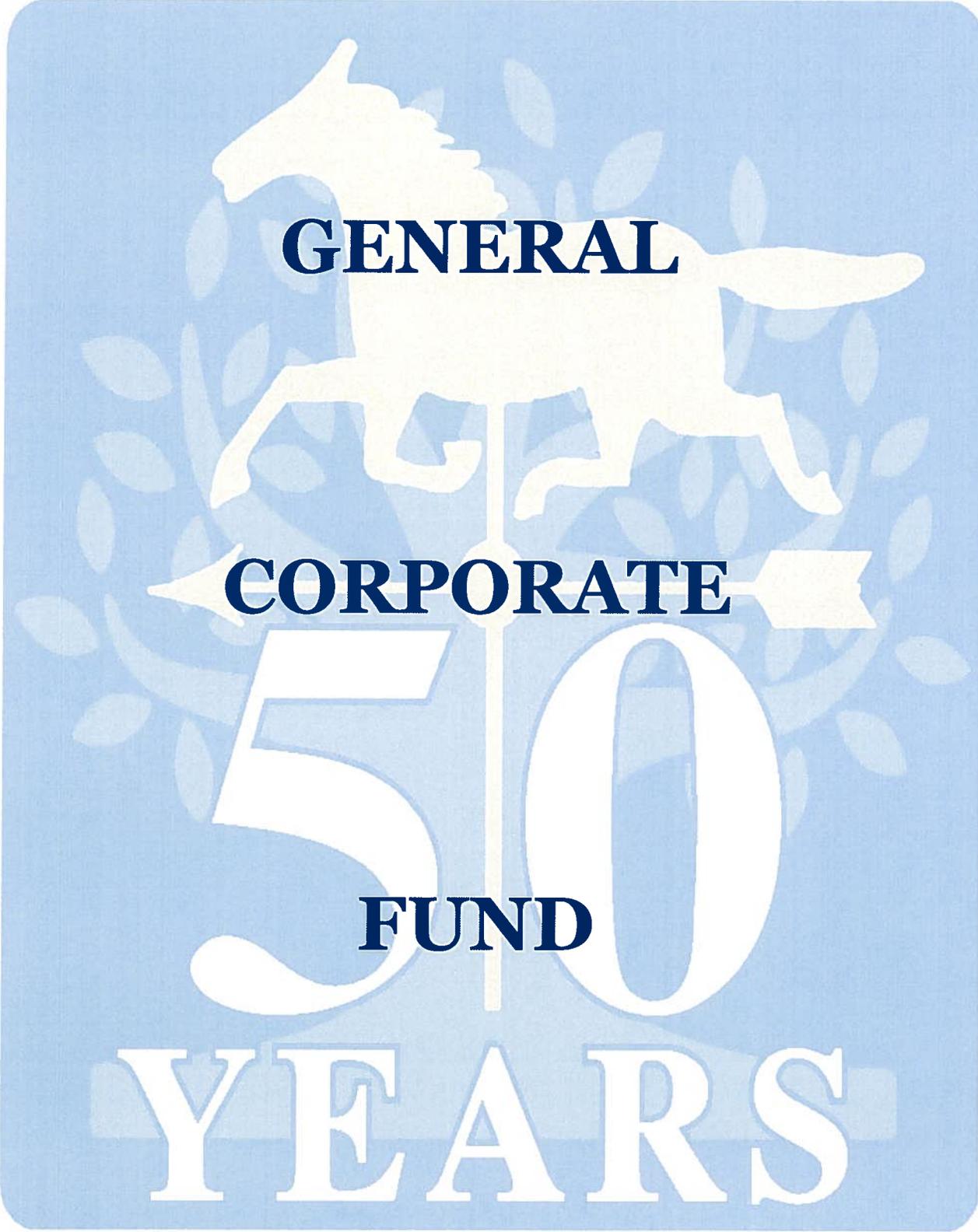
Any balance remaining after the reserve requirement has been met, is transferred to the General Corporate Fund – Capital Improvement Fund.

As a result of the current economic times, the Village has decided to use \$858,029 of the operating reserve to balance the FY10 budget. When possible, the Village will re-establish its operating reserve so that it is equal to 50% of the General Corporate Fund operating budget.

25% of the total Water and Sewer Fund annual budgeted expenditures, excluding capital, are earmarked for unanticipated major expenditures or an unexpected downturn in the revenues.

Capital

The balance of the Water and Sewer Operation and Maintenance Fund Reserves remaining after the operations allocation is available for reappropriation for specific capital improvement projects to mitigate against the Village going into debt.



GENERAL

CORPORATE

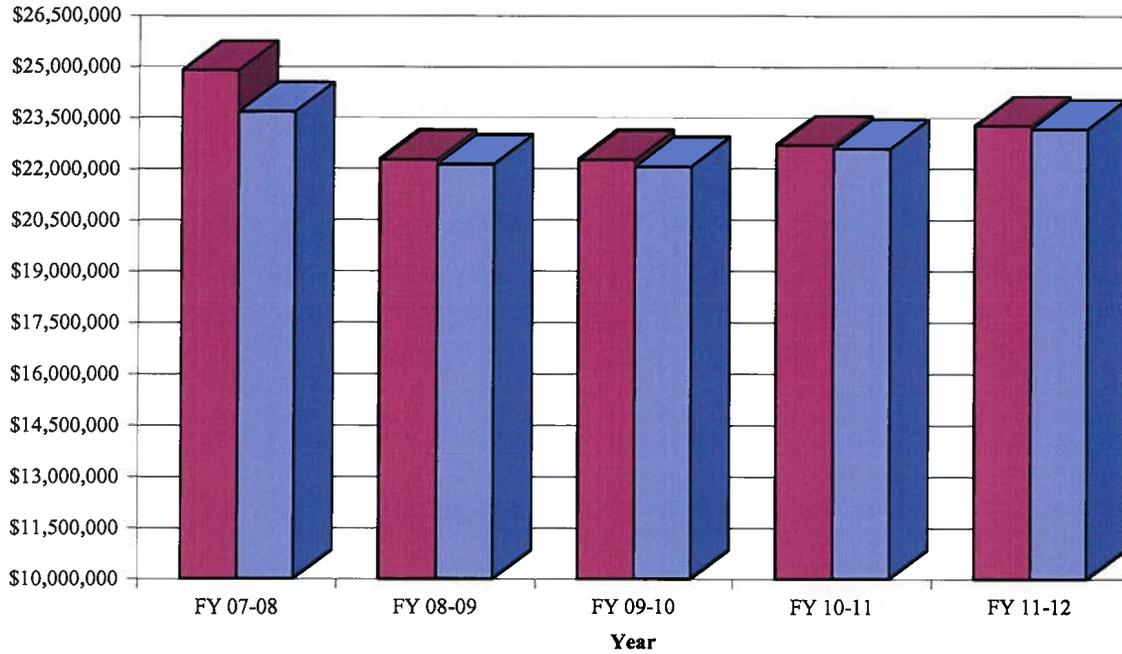
FUND

50

YEARS

**GENERAL CORPORATE FUND
OPERATING REVENUES vs. TOTAL REVENUES
2008 - 2012**

■ Total Revenue
■ Operating Revenue

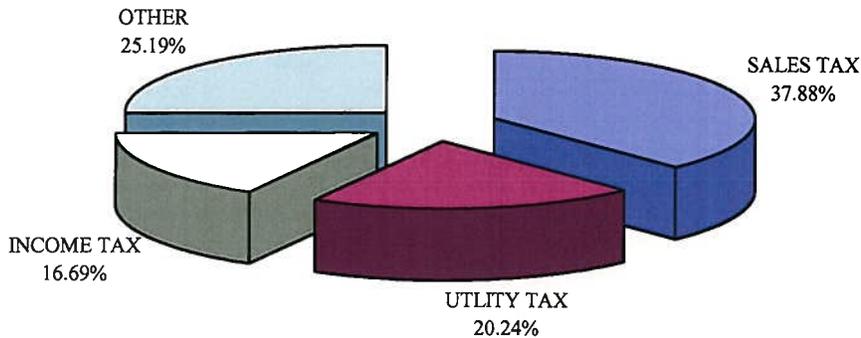


	Est.				
	FY 07-08	FY 08-09	FY 09-10	FY 10-11	FY 11-12
Total Operating Revenue	\$ 23,691,085	\$ 22,146,880	\$ 22,084,481	\$ 22,614,751	\$ 23,194,363
Total Non-Operating Revenue	1,209,212	129,695	195,900	93,900	93,900
Total Revenue	\$ 24,900,297	\$ 22,276,575	\$ 22,280,381	\$ 22,708,651	\$ 23,288,263

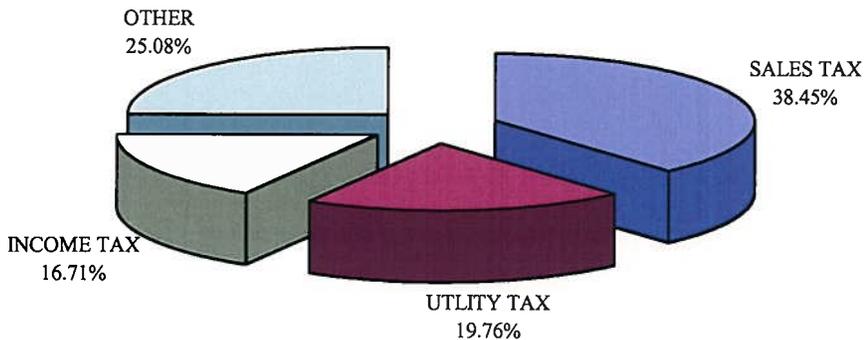
As reflected by the graph above, the Village receives less than .8% of its revenues from non-operating sources.

**GENERAL CORPORATE FUND
KEY OPERATING REVENUES
2010-12**

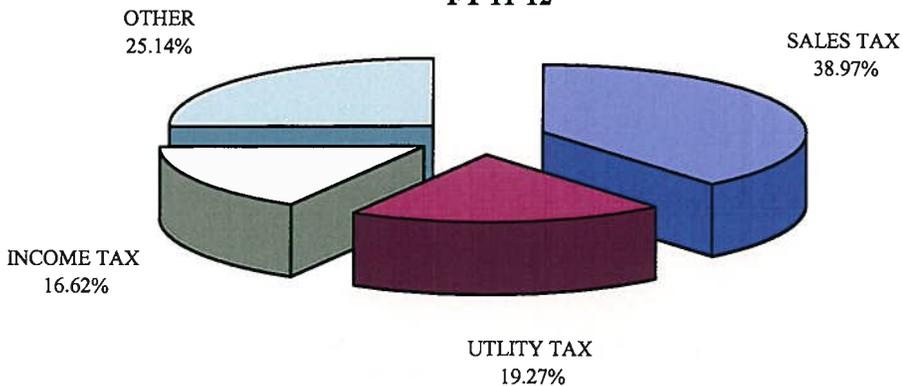
FY 09-10



FY 10-11



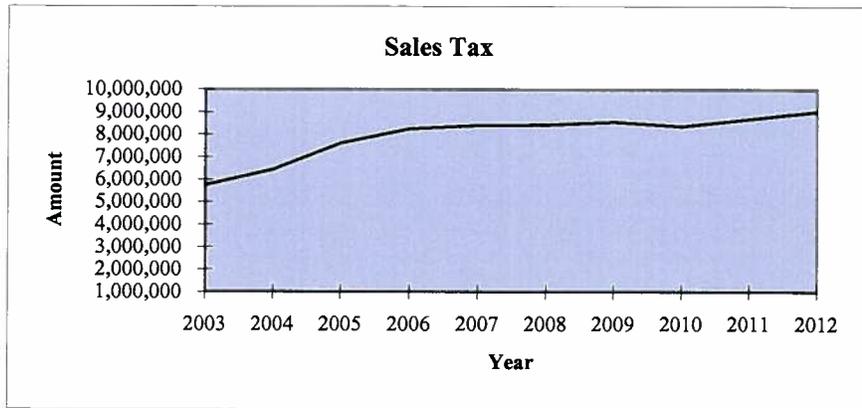
FY 11-12



This graph shows the importance of the Village's three main revenue sources. Since revenues from the state shared income and sales taxes, and local utility tax, represent approximately 74.8% of the General Corporate Fund operating revenues, it is important that they be continually monitored and protected from any legislative changes.

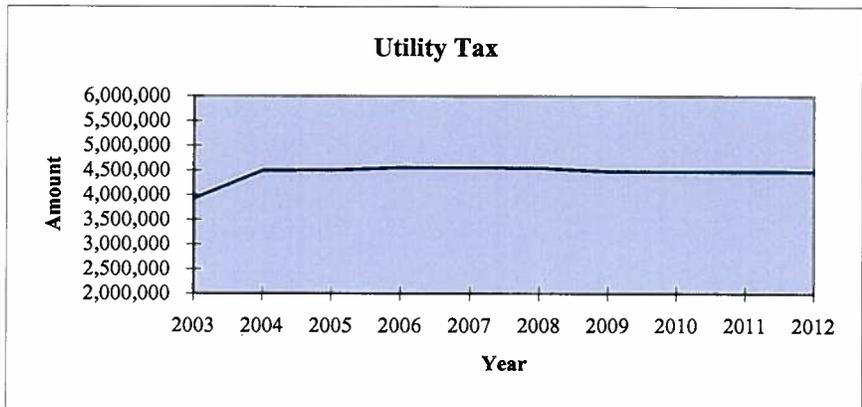
GENERAL CORPORATE FUND KEY REVENUES

<u>YEAR</u>	<u>AMOUNT</u>
2003	5,754,009
2004	6,442,924
2005	7,624,713
2006	8,257,109
2007	8,418,809
2008	8,442,841
2009 (Est.)	8,565,301
2010 (Prop.)	8,366,190
2011 (Proj.)	8,695,837
2012 (Proj.)	9,038,570



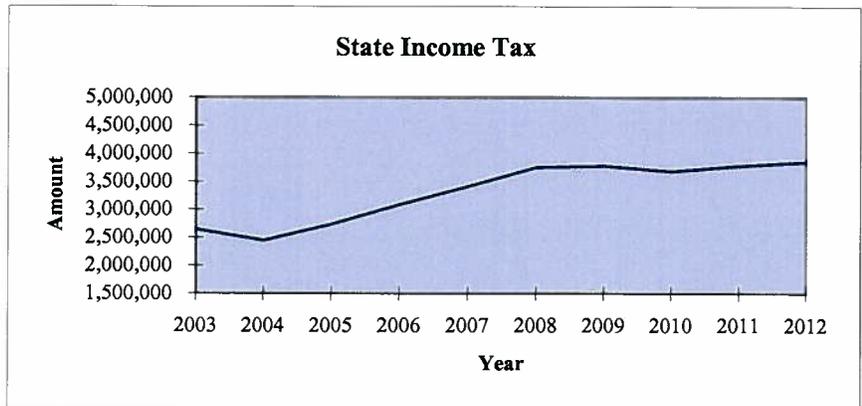
As a result of a one-time tax payment, revenues in FY09 are projected to come in 1.5% higher than FY08. The projected sales tax revenues for the next three years reflect the current economic downturn.

<u>YEAR</u>	<u>AMOUNT</u>
2003	3,925,146
2004	4,487,359
2005	4,496,741
2006	4,548,252
2007	4,548,252
2008	4,544,382
2009 (Est.)	4,472,963
2010 (Prop.)	4,469,457
2011 (Proj.)	4,469,457
2012 (Proj.)	4,469,457



These revenues are based on consumption which is projected to be flat.

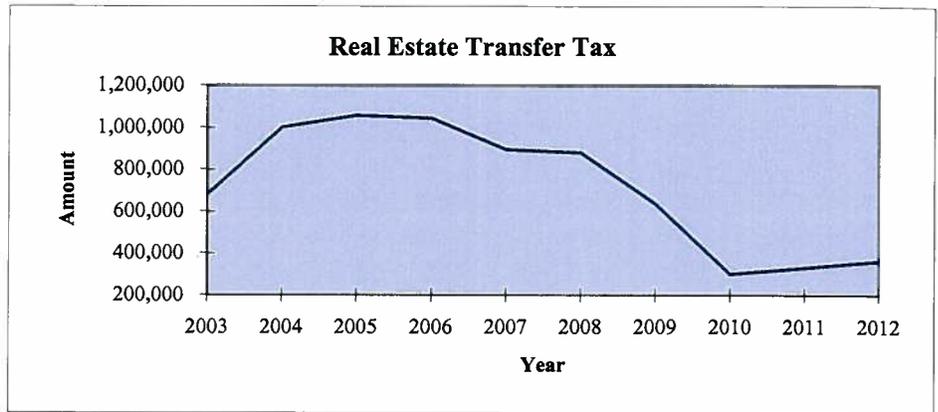
<u>YEAR</u>	<u>AMOUNT</u>
2003	2,642,220
2004	2,443,909
2005	2,737,638
2006	3,089,954
2007	3,414,293
2008	3,750,883
2009 (Est.)	3,784,560
2010 (Prop.)	3,686,789
2011 (Proj.)	3,779,597
2012 (Proj.)	3,855,189



Revenues are allocated on a per capita basis. As a result of the economic downturn, the per capita rate is projected to decrease by \$2.00.

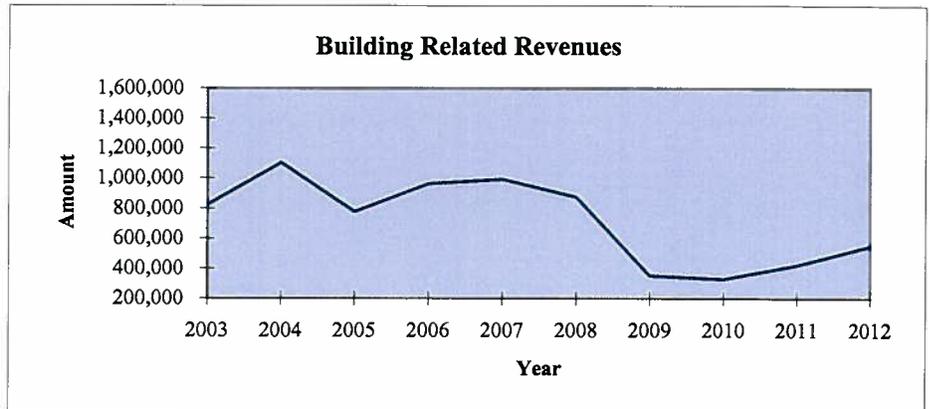
**GENERAL CORPORATE FUND
KEY REVENUES**

<u>YEAR</u>	<u>AMOUNT</u>
2003	682,025
2004	1,000,493
2005	1,056,623
2006	1,043,745
2007	894,401
2008	878,712
2009 (Est.)	636,496
2010 (Prop.)	300,000
2011 (Proj.)	330,000
2012 (Proj.)	363,000



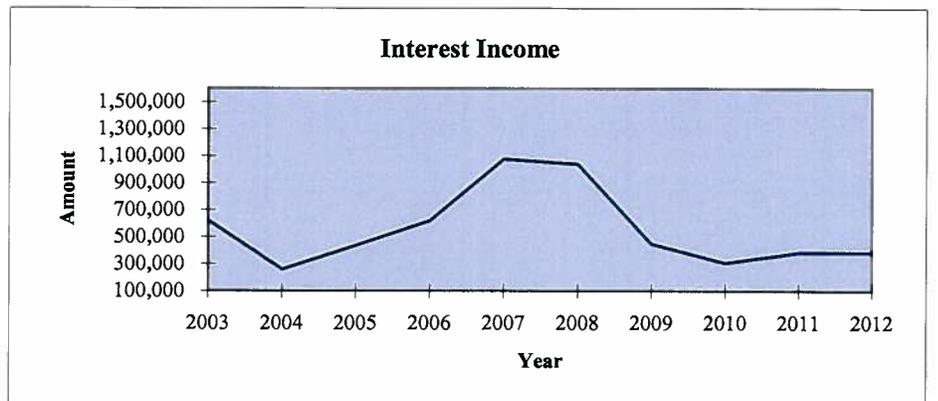
Sales of pre-existing homes have declined dramatically as a result of the economic downturn. Based on the current economy, revenues are projected to decline even more then level off.

<u>YEAR</u>	<u>AMOUNT</u>
2003	823,801
2004	1,104,418
2005	778,684
2006	965,391
2007	994,972
2008	875,628
2009 (Est.)	350,482
2010 (Prop.)	328,320
2011 (Proj.)	420,560
2012 (Proj.)	549,440



Includes: Building permit fees, legal and planning, annexation fees and developer service fees. Minimal construction is projected to occur during the next three years.

<u>YEAR</u>	<u>AMOUNT</u>
2003	620,596
2004	260,904
2005	435,126
2006	619,797
2007	1,076,004
2008	1,038,274
2009 (Est.)	446,886
2010 (Prop.)	307,758
2011 (Proj.)	384,697
2012 (Proj.)	384,697



Current interest rates are at an all time low. A 2% rate of interest was used for projection purposes. Starting in FY06, the non-operating reserves, and the interest earned on those reserves, were moved to the General Corporate Fund Capital Improvement Fund. This revenue represents the interest income that is earned on operating cash and reserves.

Village of Carol Stream

GENERAL CORPORATE FUND

Revenues/Expenditures & Changes in Fund Balance

Summary

Description	Actual FY 06-07	Actual FY 07-08	Estimated FY 08-09	Proposed FY 09-10	Projected FY 10-11	Projected FY 11-12
Beginning Balance, May 1	\$ 19,437,972	\$ 19,888,563	\$ 22,909,822	\$ 20,455,936	\$ 18,997,679	\$ 16,892,175
Prior Period Adjustment	133,269	0	0	0	0	0
Revenue/Source						
Taxes	17,741,901	18,299,899	18,094,192	17,461,658	17,929,322	18,402,785
Licenses and Permits	1,158,955	1,187,301	921,500	1,155,800	1,175,900	1,236,000
Intergovernmental Grants	125,803	134,157	129,695	195,900	93,900	93,900
Charges for Services	1,754,083	1,553,520	1,277,952	1,314,415	1,352,232	1,423,281
Fines and Forfeits	917,400	1,166,899	1,256,350	1,679,850	1,567,600	1,557,600
Interest Income	1,076,004	1,038,274	446,886	307,758	384,697	384,697
Miscellaneous	647,254	1,520,247	150,000	165,000	205,000	190,000
Total Revenue/Source	23,421,400	24,900,297	22,276,575	22,280,381	22,708,651	23,288,263
Expenditures/Uses						
Fire and Police Commission	24,315	28,976	55,400	27,095	41,620	55,895
Legislative Board	283,318	150,971	157,429	159,087	153,606	159,331
Planning Commission & Zoning Board of Appeals	10,512	7,887	10,444	11,947	12,186	12,431
Emergency Services	20,859	49,281	149,848	136,986	133,339	138,090
Legal Services	408,440	236,515	299,000	368,000	386,500	386,500
Village Clerk	63,831	67,779	71,777	76,096	80,893	86,621
Administration	448,730	477,546	492,378	525,446	546,454	572,333
Employee Relations	182,924	217,287	280,430	263,345	270,261	283,569
Financial Management	723,296	926,919	846,170	862,413	913,888	943,370
Engineering Services	919,140	951,982	1,008,414	916,312	970,994	1,041,785
Comm. Development	806,411	892,183	868,710	1,133,027	1,267,366	1,419,305
Management Services	477,284	553,941	688,012	932,285	1,070,730	1,092,888
Police	10,722,645	11,387,611	11,925,369	13,574,736	14,061,823	15,006,620
Public Works - Streets	2,630,674	2,970,635	3,259,552	3,669,415	3,784,968	4,175,022
Municipal Building	544,731	391,300	438,528	402,871	421,697	417,624
Municipal Garage	51,754	63,176	0	0	0	0
Transfers and Agreements	4,541,771	2,235,867	3,810,653	298,095	309,498	291,317
Town Center Events	243,443	269,182	368,347	381,482	388,332	393,190
Total Expenditures/Uses	23,104,078	21,879,038	24,730,461	23,738,638	24,814,155	26,475,891
Ending Balance, April 30:						
Reserved for Public Safety	196,916	168,158	0	0	0	0
Reserved for Prepaid Items	390,559	386,519	0	0	0	0
Reserved for Specific Purpose	136,411	141,880	0	0	0	0
Reserved for Inventory	160,262	158,156	0	0	0	0
Reserved for Loans Receivable	78,372	74,407	0	0	0	0
Unreserved-Dsgnd.-Stormwater	358,000	358,000	0	0	0	0
Unreserved-Dsgnd.-Tree Repl.	0	2,250,000	0	0	0	0
Unreserved	18,568,043	19,372,702	20,455,936	18,997,679	16,892,175	13,704,547
Totals	\$ 19,888,563	\$ 22,909,822	\$ 20,455,936	\$ 18,997,679	\$ 16,892,175	\$ 13,704,547

Village of Carol Stream

GENERAL CORPORATE FUND

Revenues

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Revenue FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Property Taxes						
Road and Bridge(County Shared) \$	221,419	\$ 224,400	\$ 224,400	\$ 229,600	\$ 235,340	\$ 241,224
Pers. Prop. Replacement Tax	97,475	102,000	82,850	82,000	82,000	82,000
Other Taxes						
Sales Tax (State Shared)	6,245,374	6,345,031	6,461,666	6,156,474	6,399,400	6,651,976
Utility Tax	3,919,538	3,744,589	3,869,457	3,869,457	3,869,457	3,869,457
Income Tax (State Shared)	3,750,883	3,776,413	3,784,560	3,686,789	3,779,597	3,855,189
Amusement Tax	11,738	13,000	12,000	12,000	12,000	12,000
Home Rule Sales Tax	2,197,467	2,246,269	2,103,635	2,209,716	2,296,437	2,386,594
Real Estate Transfer Tax	878,712	754,500	636,496	300,000	330,000	363,000
Natural Gas Use Tax	624,844	585,000	603,506	600,000	600,000	600,000
Hotel Tax Receipts	352,449	376,651	315,622	315,622	325,091	341,345
Total Taxes	\$ 18,299,899	\$ 18,167,853	\$ 18,094,192	\$ 17,461,658	\$ 17,929,322	\$ 18,402,785
Licenses and Permits						
Business/Misc. License	26,789	28,500	26,800	26,000	26,000	26,000
Dog License	1,837	2,000	1,900	1,900	1,900	1,900
Vehicle License	407,099	399,050	440,000	440,000	440,000	440,000
Liquor License	60,150	55,850	59,100	59,100	59,100	59,100
Vending Machine License	10,608	9,500	9,500	9,500	9,500	9,500
Game Room Fees	2,488	2,500	2,500	2,500	2,500	2,500
Building Permits	673,930	672,400	322,400	292,500	347,600	442,700
Review Fees - Building Permits	0	0	55,000	100,000	65,000	30,000
Tobacco License	4,400	4,300	4,300	4,300	4,300	4,300
Residential Rental License	0	0	0	220,000	220,000	220,000
Total Licenses and Permits	\$ 1,187,301	\$ 1,174,100	\$ 921,500	\$ 1,155,800	\$ 1,175,900	\$ 1,236,000
Charges for Services						
Re-inspection Fees	3,150	3,000	2,500	2,500	2,500	2,500
Legal & Planning Fees	116,638	75,000	23,082	35,000	50,000	60,000
Liquor Investigation Fees	4,675	3,500	3,500	3,500	3,500	3,500
Cable Franchise Fees	439,376	336,250	390,328	397,765	405,668	413,293
Service Fee - Developers	85,060	73,800	5,000	820	22,960	46,740
Host Benefit & Recycling Fee	33,915	31,000	31,000	35,616	0	0
Public Hearing Fees	22,600	17,000	17,000	16,000	15,000	14,000
Passport Fees	17,265	20,000	10,000	9,000	9,000	9,000
Engineering Review Fees-SMA	40,815	23,100	50,000	36,200	43,000	49,000
Reimb. - Police Schools	8,134	15,000	13,500	11,000	11,000	11,000
Reimb. - School Districts/Park	266,905	260,090	260,090	275,369	283,247	291,516
Municipal Service Charge	138,532	117,110	117,110	124,807	126,979	129,082
Gas Sales Reim D93/Ducom/PK	66,793	77,683	83,000	89,107	96,716	104,588
Police Reports	5,455	5,600	5,600	5,600	5,600	5,600
Reim Fee Based/DuMeg	304,207	296,480	266,242	272,131	277,062	283,462
Total Charges for Services	\$ 1,553,520	\$ 1,354,613	\$ 1,277,952	\$ 1,314,415	\$ 1,352,232	\$ 1,423,281

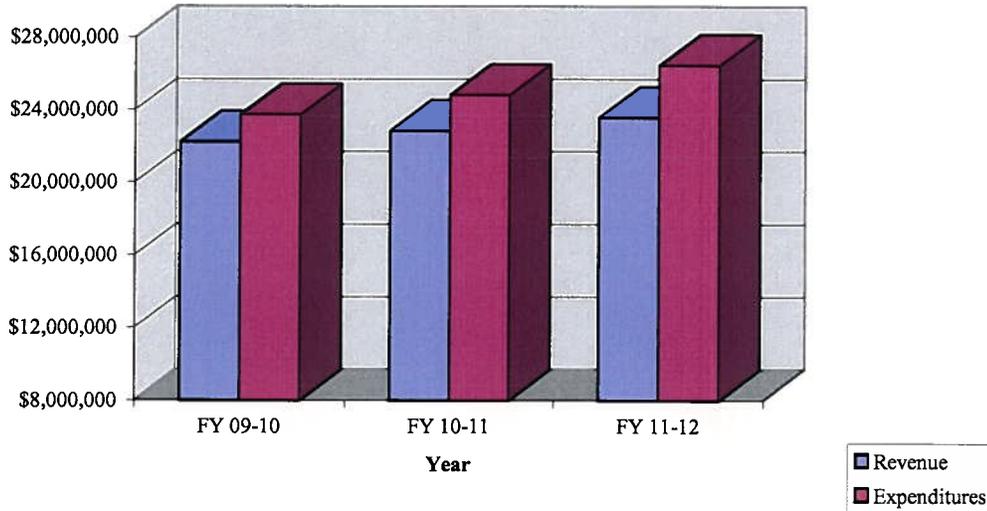
Village of Carol Stream

GENERAL CORPORATE FUND

Revenues

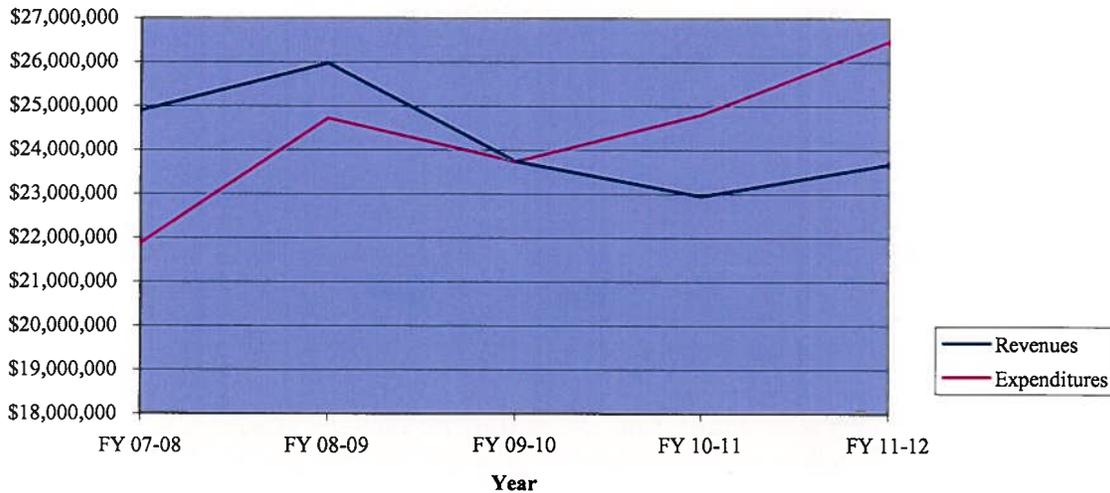
Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Revenue FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Fines and Forfeits						
Circuit Court - Tickets/Fines	625,425	560,000	623,000	625,000	625,000	625,000
Ordinance Forfeits	64,718	65,000	125,000	105,000	95,000	85,000
DUI Tech Funds	26,896	50,000	5,600	5,600	5,600	5,600
Court DUI Fines	370,180	500,000	285,000	300,000	300,000	300,000
Court Fines - Vehicles	64,530	0	50,000	50,000	50,000	50,000
False Alarms	15,150	8,500	14,000	13,000	12,000	12,000
Vehicle Forfeiture	0	75,000	75,000	75,000	75,000	75,000
ATLE Fines	0	285,450	78,750	506,250	405,000	405,000
Total Fines and Forfeits	\$ 1,166,899	\$ 1,543,950	\$ 1,256,350	\$ 1,679,850	\$ 1,567,600	\$ 1,557,600
Interest Income						
Interest Income - Operating	1,038,274	526,886	446,886	307,758	384,697	384,697
Other Operating						
Miscellaneous Revenue	355,361	60,000	60,000	75,000	115,000	100,000
Donations & Contributions	20,823	20,000	20,000	20,000	20,000	20,000
Fees and Admissions	69,008	70,000	70,000	70,000	70,000	70,000
Total Other Operating	445,192	150,000	150,000	165,000	205,000	190,000
Total Operating Revenue	23,691,085	22,917,402	22,146,880	22,084,481	22,614,751	23,194,363
Non-Operating Revenue						
Miscellaneous Revenue	1,075,055	0	0	0	0	0
Developer Contributions	0	0	0	0	0	0
Intergovernmental Grants	134,157	92,700	129,695	195,900	93,900	93,900
Residual Equity Transfer	0	0	0	0	0	0
Total Non-Operating Revenue	1,209,212	92,700	129,695	195,900	93,900	93,900
Other Funding Sources						
Reappropriation of Fund Balance	0	3,702,251	3,702,251	1,385,690	76,175	130,175
Installment Loan Proceeds	0	257,000	0	72,567	154,149	250,355
Total Other Funding Sources	0	3,959,251	3,702,251	1,458,257	230,324	380,530
Total Revenue	\$ 24,900,297	\$ 26,969,353	\$ 25,978,826	\$ 23,738,638	\$ 22,938,975	\$ 23,668,793

**GENERAL CORPORATE FUND
OPERATING REVENUES vs. OPERATING EXPENDITURES
2010 - 2012**



This graph shows that an operating deficit is projected for all three years. FY10 was balanced using fund balance but new revenue sources along with operational cut backs will need to be identified in order to balance FY11 and FY12.

**GENERAL CORPORATE FUND
TOTAL REVENUES vs. TOTAL EXPENDITURES
2008 - 2012**



This graph is a comparison of total (operating and non-operating) revenues and expenditures in the General Corporate Fund. Budget deficits are projected in all three years. Fund balance was used to balance FY10. New revenue sources along with operational cut backs will be needed to balance FY11 and FY12.

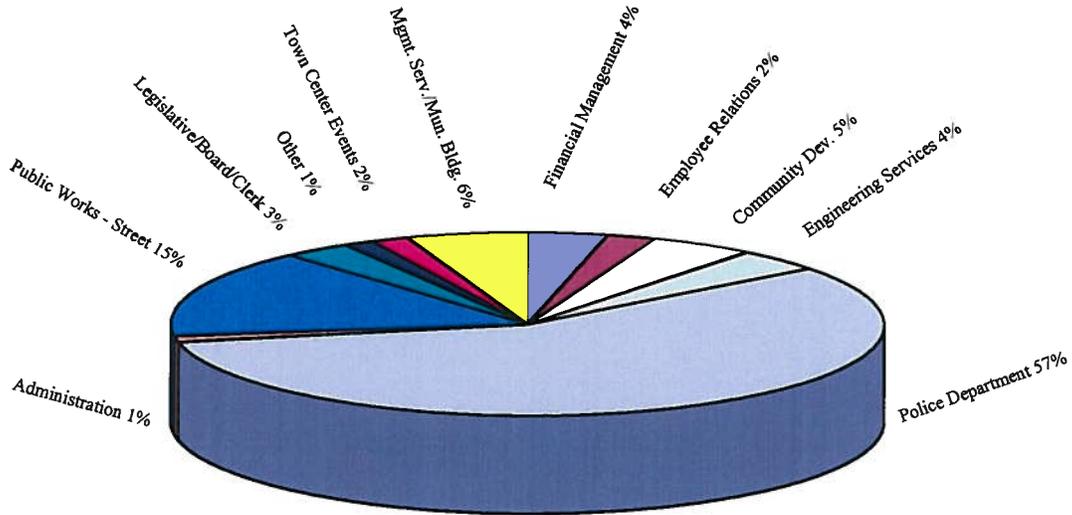
Village of Carol Stream

GENERAL CORPORATE FUND

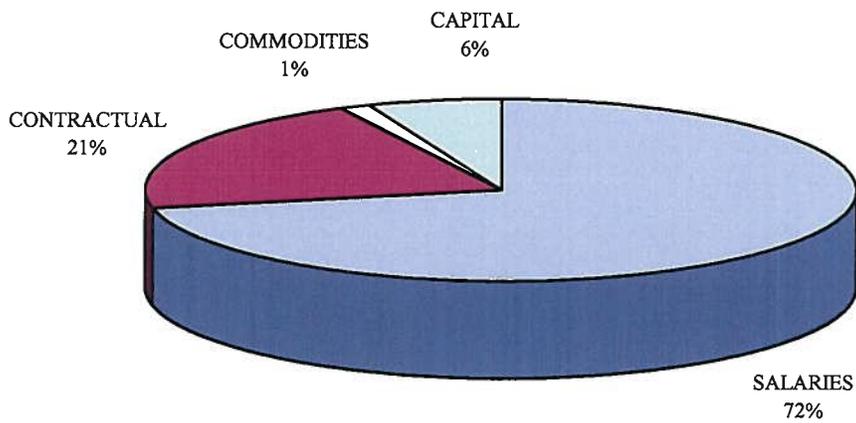
Revenues - Expenditures

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Revenue						
Operating Revenue	\$ 23,691,085	\$ 22,917,402	\$ 22,146,880	\$ 22,084,481	\$ 22,614,751	\$ 23,194,363
Non-Operating Revenue	1,209,212	92,700	129,695	195,900	93,900	93,900
Subtotal	24,900,297	23,010,102	22,276,575	22,280,381	22,708,651	23,288,263
Other Funding Sources	0	3,959,251	3,702,251	1,458,257	230,324	380,530
Total Available	\$ 24,900,297	\$ 26,969,353	\$ 25,978,826	\$ 23,738,638	\$ 22,938,975	\$ 23,668,793
Expenditures						
Fire & Police Commission	\$ 28,976	\$ 65,005	\$ 55,400	\$ 27,095	\$ 41,620	\$ 55,895
Legislative Board	150,971	175,070	157,429	159,087	153,606	159,331
Planning Commission & Zoning Board of Appeals	7,887	11,291	10,444	11,947	12,186	12,431
Emergency Services	49,281	163,284	149,848	136,986	133,339	138,090
Legal Services	236,515	299,000	299,000	368,000	386,500	386,500
Village Clerk	67,779	73,902	71,777	76,096	80,893	86,621
Administration	477,546	502,827	492,378	525,446	546,454	572,333
Employee Relations	217,287	280,368	280,430	263,345	270,261	283,569
Financial Management	926,919	846,170	846,170	862,413	913,888	943,370
Engineering Services	951,982	1,082,595	1,008,414	916,312	970,994	1,041,785
Comm. Development	892,183	1,051,145	868,710	1,133,027	1,267,366	1,419,305
Management Services	553,941	799,991	688,012	932,285	1,070,730	1,092,888
Police	11,387,611	13,186,154	11,925,369	13,574,736	14,061,823	15,006,620
Streets	2,970,635	3,648,903	3,259,552	3,669,415	3,784,968	4,175,022
Municipal Building	391,300	524,636	438,528	402,871	421,697	417,624
Municipal Garage	63,176	0	0	0	0	0
Transfers and Agreements	2,235,867	3,810,139	3,810,653	298,095	309,498	291,317
Town Center Events	269,182	448,873	368,347	381,482	388,332	393,190
Totals	\$ 21,879,038	\$ 26,969,353	\$ 24,730,461	\$ 23,738,638	\$ 24,814,155	\$ 26,475,891
Net Income (Loss)	\$ 3,021,259	\$ 0	\$ 1,248,365	\$ 0	\$ (1,875,180)	\$ (2,807,098)

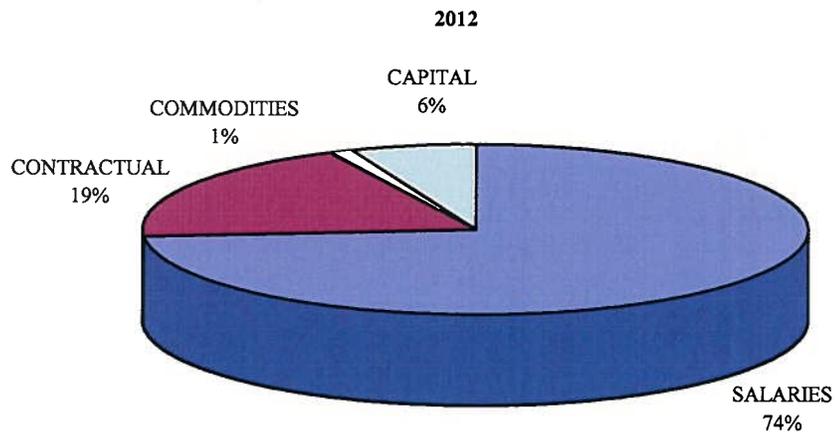
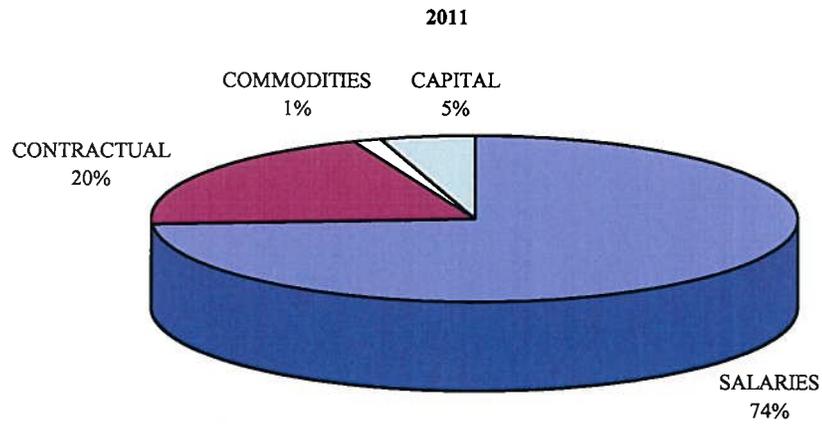
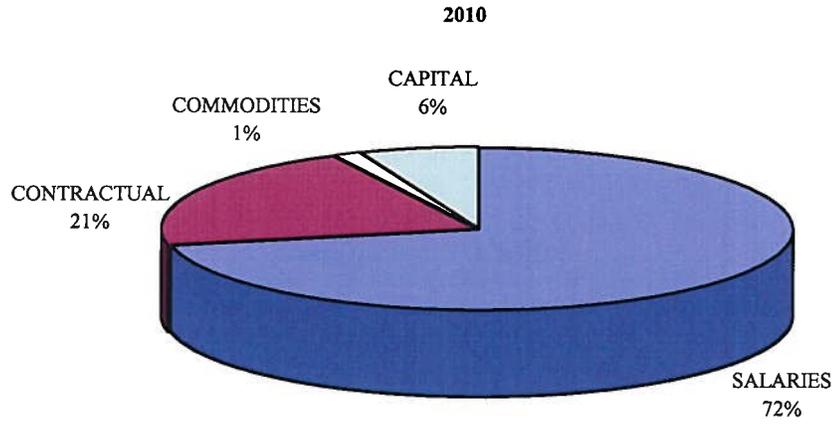
**GENERAL CORPORATE FUND
EXPENDITURES BY DEPARTMENT
FY 2009-10**



**GENERAL CORPORATE FUND
EXPENDITURE BY CLASS
FY 2009-10**



**GENERAL CORPORATE FUND
EXPENDITURES BY CLASS
2009 - 2011**



Village of Carol Stream

GENERAL CORPORATE FUND

Budget Comparison

Department	Salaries & Wages			Contractual Services			Commodities			Capital Outlay			Totals		
	2010	2011	2012	2010	2011	2012	2010	2011	2012	2010	2011	2012	2010	2011	2012
Fire & Police Commission	\$ 3,230	\$ 3,230	\$ 3,230	\$ 23,700	\$ 38,225	\$ 52,500	\$ 165	\$ 165	\$ 165	\$ 0	\$ 0	\$ 0	\$ 27,095	\$ 41,620	\$ 55,895
Legislative Board	25,190	25,190	25,190	132,422	124,741	130,466	1,475	3,675	3,675	0	0	0	159,087	153,606	159,331
Plan Comm. & Zoning															
Board of Appeals	5,307	5,546	5,791	6,640	6,640	6,640	0	0	0	0	0	0	11,947	12,186	12,431
Emergency Services	108,646	114,459	120,250	13,640	11,980	11,140	9,400	6,900	6,700	5,300	0	0	136,986	133,339	138,090
Legal Services	0	0	0	368,000	386,500	386,500	0	0	0	0	0	0	368,000	386,500	386,500
Village Clerk	67,291	71,988	76,791	7,655	7,730	7,755	1,150	1,175	1,175	0	0	900	76,096	80,893	86,621
Administration	513,171	537,054	559,783	8,450	8,575	8,700	825	825	850	3,000	0	3,000	525,446	546,454	572,333
Employee Relations	206,212	219,583	233,041	54,555	46,645	48,440	1,278	1,133	1,188	1,300	2,900	900	263,345	270,261	283,569
Financial Management	756,970	799,155	838,872	63,963	69,158	66,728	31,030	33,075	34,170	10,450	12,500	3,600	862,413	913,888	943,370
Engineering Services	768,302	803,544	838,255	126,361	128,915	148,288	13,149	14,335	14,642	8,500	24,200	40,600	916,312	970,994	1,041,785
Comm. Development	666,856	819,420	969,547	449,360	415,409	417,782	13,811	13,937	14,276	3,000	18,600	17,700	1,133,027	1,267,366	1,419,305
Management Services	379,373	402,452	425,762	343,467	342,517	351,847	14,496	15,512	15,930	194,949	310,249	299,349	932,285	1,070,730	1,092,888
Police	10,849,358	11,772,882	12,483,126	1,481,122	1,518,126	1,581,179	497,637	518,575	548,650	746,619	252,240	393,665	13,574,736	14,061,823	15,006,620
Public Works-Streets	1,890,661	1,983,092	2,075,377	1,135,149	1,090,363	1,113,584	162,982	171,058	180,006	480,623	540,455	806,055	3,669,415	3,784,968	4,175,022
Municipal Building	195,265	206,386	217,665	163,107	170,399	154,829	35,999	36,412	36,630	8,500	8,500	8,500	402,871	421,697	417,624
Municipal Garage	438,963	459,633	479,513	16,108	16,404	16,507	(457,276)	(481,737)	(507,020)	2,205	5,700	11,000	0	0	0
Transfers & Agreements	0	0	0	298,095	309,498	291,317	0	0	0	0	0	0	298,095	309,498	291,317
Town Center Events	111,482	116,532	121,390	266,800	268,500	268,500	3,200	3,300	3,300	0	0	0	381,482	388,332	393,190
Totals	\$ 16,986,277	\$ 18,340,146	\$ 19,473,583	\$ 4,958,594	\$ 4,960,325	\$ 5,062,702	\$ 329,321	\$ 338,340	\$ 354,337	\$ 1,464,446	\$ 1,175,344	\$ 1,585,269	\$ 23,738,638	\$ 24,814,155	\$ 26,475,891



**GENERAL
CORPORATE**



FUND

**50
DEPARTMENTAL**

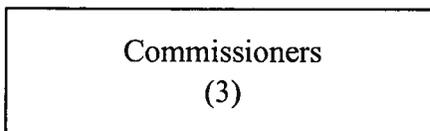
BUDGETS

YEARS

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Commissioners	3	3	3	3	3

ORGANIZATIONAL CHART



Note: Staff support for the Fire & Police Commission is provided by the Employee Relations Department

NARRATIVE

The Board of Fire and Police Commissioners consist of three members appointed by the Mayor with consent of the Village Board to serve three-year terms of office. Primary responsibilities of the Board include recruitment and selection of entry-level Police Officers, as well as promotional appointments to the ranks of Sergeant and Lieutenant, subsequent to rules defined by Illinois State Statute. In addition, the Board of Fire and Police Commissioners can conduct hearings on disciplinary matters up to and including termination at the election of the Officer, in lieu of arbitration. Funds for Police Officer recruitment, examination and selection, promotional testing, and discipline, are included in this budget.

2009 ACCOMPLISHMENTS

1. With 439 original applicants, conducted entry-level testing for the position of Police Officer, resulting in a final eligibility list of 54 applicants.
2. Conducted promotional testing for the positions of Sergeant and Lieutenant.
3. Hired two (2) new Police Officers to fill vacated positions throughout the year.

2010 OBJECTIVES

1. Evaluate process of entry-level Police Officer testing procedures in an effort to increase number of qualified candidates and success rate. Make change recommendations as appropriate, implementing authorized procedures in the creation of new hiring lists effective in FY11.
2. Remain active in the Illinois Fire and Police Commissioners Association by attending and instructing at the IFPCA seminars.
3. Monitor legal changes for compliance with the Village's Fire and Police Commission Rules and Regulations. Request legal review as appropriate.

GENERAL CORPORATE FUND

FIRE AND POLICE COMMISSION

Summary

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-08	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$ 65,005	\$ 55,400	\$ 27,095	\$ 41,620	\$ 55,895
Totals	\$ 28,976	\$ 65,005	\$ 55,400	\$ 27,095	\$ 41,620	\$ 55,895

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

GENERAL CORPORATE FUND

FIRE AND POLICE COMMISSION

Administration Detail

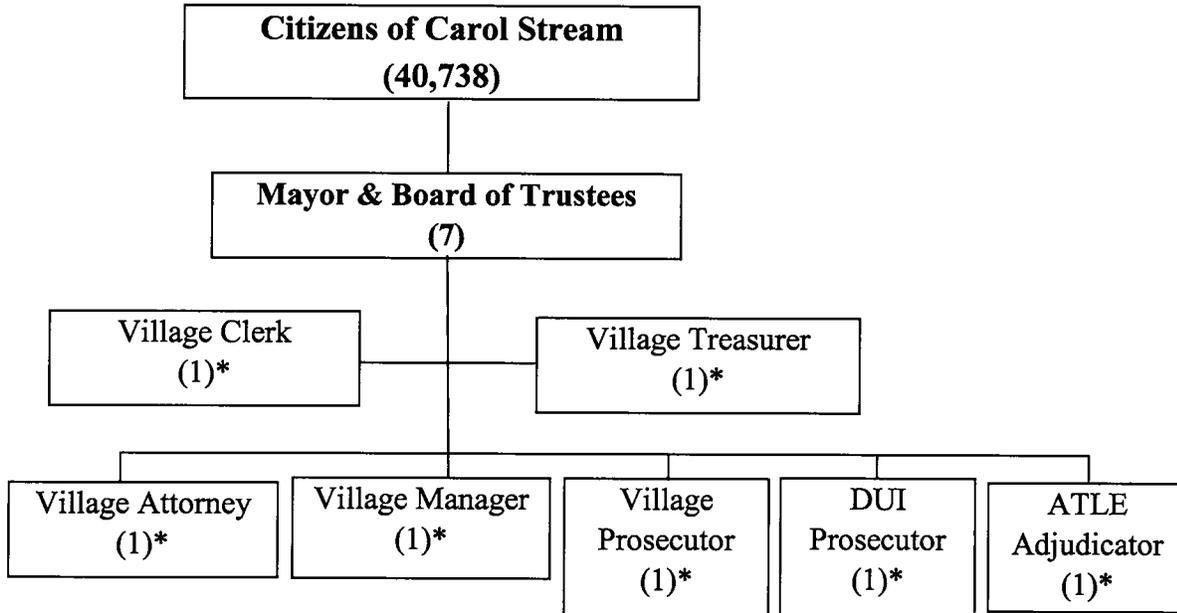
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 3,000	\$ 3,000	\$ 3,000	\$ 3,000	\$ 3,000
51113 FICA	0	230	230	230	230	230
Subtotal	0	3,230	3,230	3,230	3,230	3,230
Contractual Services						
52223 Training	0	2,500	1,790	1,800	1,825	1,850
52228 Personnel Hiring	0	57,000	49,000	21,000	35,000	49,000
52234 Dues & Subscriptions	0	400	375	400	400	400
52238 Legal Fees	0	1,000	940	500	1,000	1,250
52240 Public Notices/Information	0	100	0	0	0	0
52241 Court Recording Fees	0	575	0	0	0	0
Subtotal	0	61,575	52,105	23,700	38,225	52,500
Commodities						
53314 Office Supplies	0	100	65	65	65	65
53315 Printed Materials	0	100	0	100	100	100
Subtotal	0	200	65	165	165	165
Totals	\$ 0	\$ 65,005	\$ 55,400	\$ 27,095	\$ 41,620	\$ 55,895

PERSONNEL SCHEDULE

	Authorized 2008	Budget 2009	Proposed 2010	Projected 2011	Projected 2012
Board Members	7	7	7	7	7

ORGANIZATIONAL CHART



* These positions are included elsewhere in the budget but are shown here to illustrate that they provide direct support to the Legislative Board. The Village Attorney and Prosecutors are independent contractors. The Village Attorney, Village Prosecutor and DUI Prosecutor are included in the Legal budget. The Automated Traffic Light Enforcement (ATLE) Adjudicator is included in the Police Department budget.

NARRATIVE

The Legislative Board is comprised of the Mayor and six Trustees elected at-large. The Village Board makes policy decisions necessary to maintain and enhance the health, safety and welfare of citizens, businesses and visitors to Carol Stream. These decisions include, but are not limited to: financial development and service policies, matters of annexation, tax impact, financial planning, letting of contracts, approval and acceptance of subdivision improvements, establishment of and variations in housing, subdivision, building, drainage, zoning and traffic codes and establishment of license fees and other charges.

2009 ACCOMPLISHMENTS

1. Adopted 63 action plans to attain long and short term goals and objectives outlined in Resolution No. 2318 A Resolution Adopting Statements of Goals, Direction and Guidance for Village Government Decisions. Thirty goals will have been completed by April 30, 2009 and 14 goals were removed from the list of priorities.
2. Directed staff to work with State legislators and the Illinois Municipal League on key issues regarding proposed streamlined sales tax legislation. Directed staff to pursue lost sales tax revenues being diverted to another municipality resulting in the re-establishment of a revenue source. (Village Board Goal #8a)
3. Approved contract to install Automated Traffic Law Enforcement Systems at high red light violation intersections on North Avenue reducing the need for uniformed traffic enforcement police personnel at these locations. Automated enforcement was implemented at one intersection by January 1, 2009 (Village Board Goals #8b & #12b)
4. Implemented cost-effective environmental sustainability measures to enhance health and safety, reduce energy and fossil fuel consumption, conserve water, reduce hazardous and solid waste and reduce air pollution emissions. Hosted an electronics recycling and document shredding event at the Ross Ferraro Town Center and a household hazardous waste drop off event at the Thomas R. Vinson Water Reclamation Center. (Village Board Goal #11)
5. Implemented organization-wide sustainability initiatives. Carol Stream designated DuPage County's first municipal Earth Flag recipient by DuPage County and School and Community Assistance for Recycling & Composting Education (SCARCE). (Village Board Goal #11)
6. Was designated one of 12 communities to participate in ComEd Community Energy Challenge to assist in developing and implementing a community-wide energy efficiency program. (Village Board Goal #11)

2010 OBJECTIVES

1. Plan and support activities as part of the Village's 50th anniversary celebration throughout 2009. (Village Board Goal #17)
2. Research sustainable revenue sources for the General Corporate Fund Capital Improvement Program and operating budgets. (Village Board Goal #8a)
3. Aggressively pursue legislative and other means to protect current and future Village revenue sources.

EXPENDITURE

Classification	(1) Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Administration		\$175,070	\$157,429	\$159,087	\$153,606	\$159,331
Totals	\$150,971	\$175,070	\$157,429	\$159,087	\$153,606	\$159,331

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

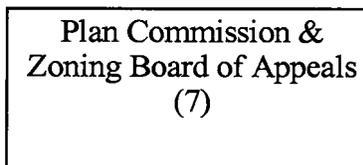
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 23,400	\$ 23,400	\$ 23,400	\$ 23,400	\$ 23,400
51113 FICA	0	1,790	1,790	1,790	1,790	1,790
Subtotal	0	25,190	25,190	25,190	25,190	25,190
Contractual Services						
52222 Meetings	0	22,000	22,000	23,000	22,000	26,000
52234 Dues & Subscriptions	0	61,000	51,000	56,000	57,680	59,410
52237 Auditing	0	15,365	15,365	15,972	16,611	17,606
52240 Public Notices/Information	0	28,352	27,000	22,000	13,000	12,000
52250 Dial-A-Ride	0	5,500	3,800	5,500	5,500	5,500
52258 Community Appear. Prog.	0	550	648	550	550	550
52274 Community Service Prog.	0	10,000	8,410	9,400	9,400	9,400
Subtotal	0	142,767	128,223	132,422	124,741	130,466
Commodities						
53314 Office Supplies	0	600	300	600	600	600
53315 Printed Materials	0	3,600	1,000	125	125	125
53317 Office Supplies	0	2,148	2,148	0	2,200	2,200
53324 Uniforms	0	765	568	750	750	750
	0	7,113	4,016	1,475	3,675	3,675
Totals	\$ 0	\$ 175,070	\$ 157,429	\$ 159,087	\$ 153,606	\$ 159,331

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Commissioners	7	7	7	7	7

ORGANIZATIONAL CHART



Note: Staff support to the Plan Commission is provided by the Community Development Department's Planning & Zoning Division and the Deputy Village Clerk.

NARRATIVE

The Combined Plan Commission/Zoning Board of Appeals (PC/ZBA) is comprised of seven members appointed by the Mayor, with the concurrence of the Board of Trustees, for five-year terms. The PC/ZBA conducts public hearings on all rezoning requests, special use permits, planned unit development plans, and Zoning Code, Fence Code and Sign Code variation requests, as specified in the Illinois Compiled State Statutes and the Carol Stream Municipal Code. Public hearings are also held on Zoning Code text amendments and other matters referred by the Village Board. Plan Commission recommendations on all matters are then forwarded to the Village Board for their consideration. The PC/ZBA meets on the second and fourth Monday of each month.

2009 ACCOMPLISHMENTS

1. The PC/ZBA held 17 meetings and handled 42 cases during the 2008 calendar year, as compared with 47, 40 and 35 cases in the years 2005, 2006 and 2007, respectively.

2010 OBJECTIVES

1. Design and conduct a training event focused on the practice of processing planning and zoning cases.
2. Review and provide input and recommendations on text amendments to the Zoning Code and Sign Code as brought forward by staff.
3. Familiarize the Plan Commissioners with the purposes, principles and procedures for developing a comprehensive plan, in anticipation of the project to prepare and adopt a comprehensive plan by 2011.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$11,291	\$10,444	\$11,947	\$12,186	\$12,431
Totals	\$7,887	\$11,291	\$10,444	\$11,947	\$12,186	\$12,431

(1) The Village has historically budgeted on a line item basis. This budget is the second year that the Village has moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

GENERAL CORPORATE FUND

**PLAN COMMISSION &
ZONING BOARD OF APPEALS
Administration Detail**

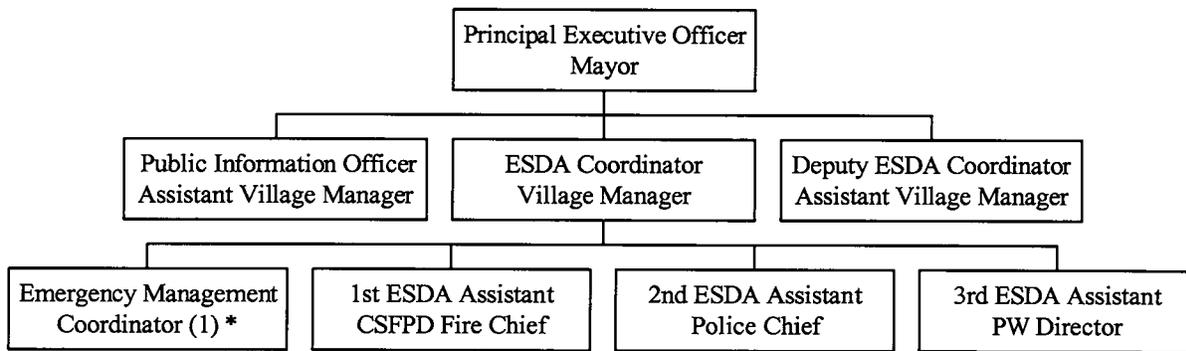
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 4,471	\$ 3,215	\$ 4,677	\$ 4,871	\$ 5,078
51112 IMRF	0	258	200	272	302	324
51113 FICA	0	342	250	358	373	389
Subtotal	0	5,071	3,665	5,307	5,546	5,791
Contractual Services						
52222 Meetings	0	200	115	200	200	200
52223 Training	0	400	0	400	400	400
52234 Dues & Subscriptions	0	620	634	640	640	640
52240 Public Notices/Information	0	3,000	2,960	3,000	3,000	3,000
52241 Court Recorder	0	2,000	3,070	2,400	2,400	2,400
Subtotal	0	6,220	6,779	6,640	6,640	6,640
Totals	\$ 0	\$ 11,291	\$ 10,444	\$ 11,947	\$ 12,186	\$ 12,431

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Full Time	1	1	1	1	1

ORGANIZATIONAL CHART



* Emergency Management Coordinator is a new position in 2007 that will staff the ESDA function. All other ESDA positions are budgeted in other departments. The 1st ESDA Assistant is included in the Carol Stream Fire Protection District budget, which is a separate governmental agency.

NARRATIVE

Under the direction of the Village Manager, who serves as the Emergency Services and Disaster Preparedness Agency Coordinator, disaster preparedness and response is provided through the Carol Stream Police and Public Works Departments, the Carol Stream Fire Protection District as well as the DuPage County Office of Emergency Management and Homeland Security. This budget contains funds to maintain six emergency outdoor early warning sirens as well as the ongoing costs of emergency telephone and radio communications. Funds needed to enhance the emergency operating centers, response vehicle and to provide upgraded emergency communications capabilities are also included in this budget.

2009 ACCOMPLISHMENTS

1. Updated the Village's Emergency Services and Disaster Plan in 2008, which was approved by DuPage County. (Village Board Goal #31)
2. Responded to Village flooding incidents in September and December requiring multi-agency response and recovery efforts. Received \$54,000 in Federal public assistance. This incident served as the Village's 2008 full-scale exercise.
3. All emergency responders received required National Incident Management Systems (NIMS) training and the Village met all requirements for NIMS compliance. Integrated NIMS compliant emergency planning into major community events.
4. Updated the Village's Emergency Management Ordinance.
5. Identified a Strategic National Stockpile Point of Distribution (POD) site to serve Carol Stream and surrounding communities. Coordinated the site planning process with DuPage County and other municipalities. (Village Board Goal #31)
6. Adopted a new county-wide emergency management mutual aid agreement.
7. Provided basic severe weather-spotter training to fire, police, public works, park district, and school district personnel.

2010 OBJECTIVES

1. Investigate the feasibility and benefits of relocating the primary Village Emergency Operating Center to Fire Station #1
2. Facilitate joint incident command training and exercises for Police, Fire, and Public Works command staff.
3. Facilitate Emergency Operations Center training and exercises for Village Crisis Management Team and staff.
4. Design and retrofit a new Emergency Response Command Vehicle in cooperation with the Carol Stream Fire Protection District
5. Begin Continuity of Operations planning (COOP) for all Village departments to ensure there are minimal/no lapses in command authority and operations.

EXPENDITURE

Classification	(1) Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Administration		\$163,284	\$149,848	\$136,986	\$133,339	\$138,090
Totals	\$49,281	\$163,284	\$149,848	\$136,986	\$133,339	\$138,090

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 83,661	\$ 75,000	\$ 80,973	\$ 84,907	\$ 89,032
51111 Group Insurance	0	12,709	11,665	13,203	14,082	15,023
51112 IMRF	0	7,956	7,198	7,984	8,703	9,126
51113 FICA	0	6,400	5,415	6,194	6,495	6,811
51114 Workers Comp.	0	310	310	292	272	258
Subtotal	0	111,036	99,588	108,646	114,459	120,250
Contractual Services						
52222 Meetings	0	200	20	160	160	180
52223 Training	0	1,200	285	8,760	7,000	6,040
52230 Telephone	0	6,600	3,000	750	750	750
52234 Dues & Subscriptions	0	1,923	325	320	320	320
52240 Public Notices/Info	0	250	0	250	250	250
52244 Maintenance and Repair	0	3,500	3,300	3,400	3,500	3,600
Subtotal	0	13,673	6,930	13,640	11,980	11,140
Commodities						
53314 Office Supplies	0	500	150	1,000	300	300
53317 Operating Supplies	0	200	12,605	8,200	6,200	6,200
53324 Uniforms	0	200	0	200	200	200
53350 Small Equipment	0	300	0	0	200	0
Subtotal	0	1,200	12,755	9,400	6,900	6,700
Capital Outlay						
54411 Office Equipment	0	800	0	0	0	0
54412 Other Equipment	0	36,575	30,575	0	0	0
54417 Radios	0	0	0	5,300	0	0
Subtotal	0	37,375	30,575	5,300	0	0
Totals	\$ 0	\$ 163,284	\$ 149,848	\$ 136,986	\$ 133,339	\$ 138,090

NARRATIVE

Legal Services includes advice on matters before the Village Board, negotiations with developers and labor organizations, advice to the Village staff and prosecution for the defense of Village interests. These duties are divided among the Village Attorney, the Village Prosecutor and the Prosecutor for Driving Under the Influence (DUI) arrests who are engaged on an independent contractual basis. Any attorney fees concerning Board of Fire and Police Commission matters are also included in this budget. The amounts budgeted reflect increases over the previous year amounts, due in part to an increase in fees and collective bargaining activity. This budget reflects full contract negotiations with the Fraternal Order of Police (FOP) Union, Patrol Officers in 2009 and Service Employees International Union (SEIU), Street and Garage Divisions in 2010. The cost to administratively adjudicate disputes regarding red light violations is included in the Police Department Budget. The cost to negotiate a contract with the police Sergeants is included in this budget should their petition to form a union be certified by the Illinois State Labor Relations Board.

2009 ACCOMPLISHMENTS

1. Prosecuted 491 DUI cases from May 1, 2007 through February 25, 2008 (22% increase over previous year). Four hundreds eight of these cases were prosecuted using the Village DUI prosecutor and 83 were felony cases prosecuted through the DuPage County State’s Attorneys Office. The DUI conviction rate was 93% in 2008.

2010 OBJECTIVES

1. Continue to actively prosecute DUI cases.
2. Prepare for and conduct timely negotiations with the FOP in 2009.
3. Respond to the unionization petition by the police Sergeants.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$ 299,000	\$299,000	\$368,000	\$386,500	\$386,500
Totals	\$236,515	\$299,000	\$299,000	\$368,000	\$386,500	\$386,500

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

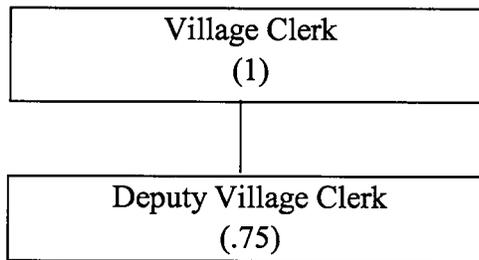
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Contractual Services						
52235 Legal Fees-Prosecution	\$ 0	32,000	32,000	35,000	37,000	37,000
52238 Legal Fees	0	185,000	185,000	250,000	265,000	265,000
52312 Prosecution -DUI	0	82,000	82,000	83,000	84,500	84,500
Totals	\$ 0	\$ 299,000	\$ 299,000	\$ 368,000	\$ 386,500	\$ 386,500

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Village Clerk	1	1	1	1	1
Part-time (FTE)	.75	.75	.75	.75	.75

ORGANIZATIONAL CHART



NARRATIVE

The elected Village Clerk attends meetings of the Village Board and Plan Commission, keeps records of its proceedings, publishes and attests to all resolutions and ordinances passed by the Board and seals and attests to all contracts of the Village, as well as all licenses, permits and other documents. The Village Clerk is also responsible for keeping the official minutes of all other Village Boards and Commissions. The Clerk keeps official papers, the custody of which is not given specifically to any other particular officer within the Village.

The Clerk is in charge of all Village election responsibilities not assumed by the DuPage County Board of Elections. The Village Clerk's Office also processes applications for US passports and provides notary services.

Although the Village Clerk does not hold regular office hours, an appointed Deputy Village Clerk performs the necessary day-to-day functions of the Office under the direction of the Village Clerk.

2009 ACCOMPLISHMENTS

1. Maintained up-to-date Village Board and Plan Commission meeting minutes and supplements to the Municipal Code and recorded and filed official documents in a timely manner. Completed, executed and/or recorded 73 Ordinances and 87 Resolutions.
2. Processed 457 passport applications (36% decrease over previous year) and 92 Freedom of Information Act requests (54% decrease over previous year) at the Municipal Center.

2010 OBJECTIVES

1. Provide Village Board and Plan Commission meeting minutes to the respective Boards and Commissions at prior to their next regularly scheduled meeting. Complete supplements to the Municipal Code and record and fill official documents within 30-days of their passage.
2. Digitally archive all Village ordinances, resolutions and minutes from 2007, 2008 and 2009.

EXPENDITURE

Classification	(1) Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Administration		\$73,902	\$71,777	\$76,096	\$80,893	\$86,621
Totals	\$67,779	\$73,902	\$71,777	\$76,096	\$80,893	\$86,621

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

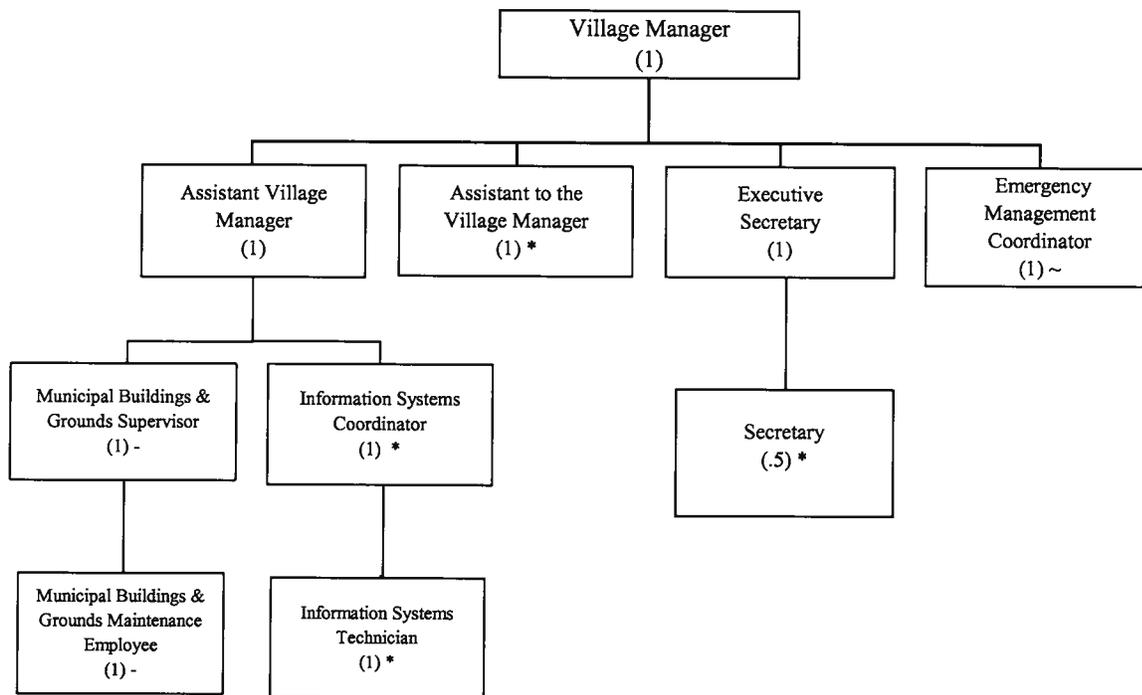
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 52,759	\$ 52,759	\$ 56,814	\$ 60,625	\$ 64,704
51109 Overtime	0	550	350	500	500	500
51112 IMRF	0	4,822	4,822	5,395	5,999	6,417
51113 FICA	0	4,078	4,078	4,385	4,676	4,988
51114 Workers Comp.	0	188	188	197	188	182
Subtotal	0	62,397	62,197	67,291	71,988	76,791
Contractual Services						
52222 Meetings	0	180	150	180	180	180
52223 Training	0	100	0	100	100	100
52226 Office Equip. Maintenance	0	150	0	150	150	150
52233 Recording Fees	0	1,300	1,000	1,300	1,300	1,300
52234 Dues & Subscriptions	0	200	165	175	200	225
52240 Public Notices/Information	0	2,000	1,700	1,750	1,800	1,800
52253 Consultant	0	4,000	4,000	4,000	4,000	4,000
Subtotal	0	7,930	7,015	7,655	7,730	7,755
Commodities						
53314 Office Supplies	0	675	250	600	650	650
53315 Printed Materials	0	350	70	350	325	325
53350 Small Equipment	0	350	45	200	200	200
Subtotal	0	1,375	365	1,150	1,175	1,175
Capital Outlay						
54413 Computer Equipment	0	2,200	2,200	0	0	900
Subtotal	0	2,200	2,200	0	0	900
Totals	\$ 0	\$ 73,902	\$ 71,777	\$ 76,096	\$ 80,893	\$ 86,621

PERSONNEL SCHEDULE

	Authorized 2008	Budget 2009	Proposed 2010	Projected 2011	Projected 2012
Full Time	3	3	3	3	3

ORGANIZATIONAL CHART



* Positions included in the Management Services Department budget but under the supervision of Administration Department.

- The Municipal Buildings and Grounds Maintenance Supervisor and Employee fall under the supervision of the Administration Department but are included in the Municipal Building budget for accounting purposes.

~ Position included in the Emergency Services and Disaster Agency budget but under the supervision of the Administration Department.

NARRATIVE

The Village Manager is responsible for administering programs and policies established by the Village Board. It is the Village Manager's responsibility to direct and coordinate the operations of the Village departments and to inform the Village Board on Village affairs, including existing conditions and future requirements. All departments, except Village Clerk and Legal, are under the administrative direction and supervision of the Village Manager.

2009 ACCOMPLISHMENTS

1. Oversaw implementation of action plans for implementation of short and long-range goals adopted by the Village Board on January 22, 2008.
2. Pursued lost sales tax revenues being diverted to another municipality resulting in the re-establishment of a revenue source to Carol Stream.
3. Implemented organization-wide sustainability initiatives. Distributed 7,150 Compact Florescent Bulbs (CFL) to event-goers, under-privileged residents and local institutions in Carol Stream. (Village Board Goal #11)

2010 OBJECTIVES

1. Conduct a Village Board strategic visioning retreat in 2009, which will allow elected officials to establish long and short-range goals for the Village.
2. Vigilantly protect existing Village revenue sources and encourage expansion of revenue generating businesses in the Village.
3. Work to develop a natural gas franchise agreement to ensure that all municipalities are treated consistently by NICOR including monetary compensation for use of public rights-of-way, customer service standards, gas leak response and repair, maintenance of gas utility facilities and equipment and promotion and support of green technologies.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$502,827	\$492,378	\$525,446	\$546,454	\$572,333
Totals	\$477,546	\$502,827	\$492,378	\$525,446	\$546,454	\$572,333

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

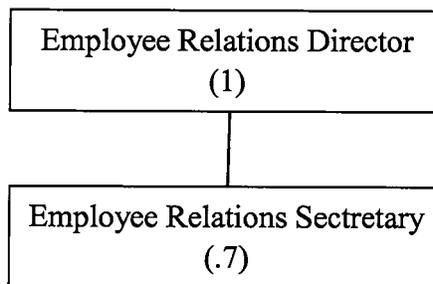
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 383,155	\$ 383,155	\$ 403,698	\$ 420,571	\$ 437,587
51106 Seasonal Help	0	4,810	0	0	0	0
51109 Overtime	0	600	0	200	200	200
51111 Group Insurance	0	42,068	42,068	43,953	46,740	49,720
51112 IMRF	0	36,324	36,324	39,645	42,935	44,689
51113 FICA	0	23,104	23,104	24,222	25,262	26,318
51114 Workers Comp.	0	1,431	1,431	1,453	1,346	1,269
Subtotal	0	491,492	486,082	513,171	537,054	559,783
Contractual Services						
52222 Meetings	0	1,560	650	1,600	1,625	1,650
52223 Training	0	3,600	703	2,900	2,900	3,000
52226 Office Equip. Maintenance	0	150	0	150	150	150
52234 Dues & Subscriptions	0	4,300	3,600	3,800	3,900	3,900
52236 Management Physicals	0	800	400	0	0	0
Subtotal	0	10,410	5,353	8,450	8,575	8,700
Commodities						
53314 Office Supplies	0	525	500	525	525	550
53318 Reference Materials	0	200	0	100	100	100
53324 Uniforms	0	200	124	200	200	200
Subtotal	0	925	624	825	825	850
Capital Outlay						
54413 Computer Equipment	0	0	319	3,000	0	3,000
Subtotal	0	0	319	3,000	0	3,000
Totals	\$ 0	\$ 502,827	\$ 492,378	\$ 525,446	\$ 546,454	\$ 572,333

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Full Time	1	1	1	1	1
Part-Time (FTE)	.7	.7	.7	.7	.7

ORGANIZATIONAL CHART



NARRATIVE

The Employee Relations Department provides support services to all operating departments within the Village. Primary responsibilities of the Department include recruitment, selection, orientation and retention of employees, as well as the administration of compensation and benefits programs. In addition, Employee Relations is responsible for research and coordination of general training and development initiatives, providing assistance in collective bargaining matters and monitoring the Village's personnel policies and practices to ensure compliance with labor and employment laws. Assistance is also provided to the Board of Fire and Police Commissioners in the recruitment, promotion and employment of sworn police personnel.

2009 ACCOMPLISHMENTS

1. Recruited and hired employees to fill 9 positions, as well as 16 part-time seasonal vacancies.
2. Coordinated agreement to outsource crossing guard services resulting in increased safety through adequate substitutes to cover crossing vacancies, increased staff availability within the Police department and a reduction of over \$15,000 per year in unreimbursed costs.
3. Implemented transition to single source prescription drug vendor for both the HMO and PPO programs in an effort to reduce overall healthcare costs.
4. Organized mandatory training for all employees on the topics of customer service as well as on-line cultural diversity training for supervisors.
5. Updated employee recognition reward program, changing from annual to quarterly awards and real time service acknowledgement.
6. Completed the conversion of all employee personnel files to digital format.
7. Provided a variety of new employee service activities including an “understanding your pension benefits” workshop, a “maintaining composure during uncertain economic times” workshop, and a bring your child to work event.
8. Assisted the Board of Fire and Police Commissioners with the evaluation of the promotional testing process, followed by the establishment of a new Sergeant and Lieutenant Eligibility lists, which will remain in effect for a three (3) year period.

2010 OBJECTIVES

1. Based on the recommendation of the Mercer Group, implement changes to the Village’s performance management and incentive system allowing for external economic adjustment as well as internal fairness.
2. Utilizing the newly created Village wide Intranet application, create an Employee Relations Help Desk service to provide assistance to employees 24 hours per day.
3. Establish an on-line employment application process through our Human Resources Information Systems (Munis) technology allowing immediate job applicant submission, response and tracking.
4. Evaluate the Village’s drug and alcohol use policies for continued legal compliance and improvement.
5. Assess the feasibility of a safety incentive program in an effort to reduce work-related injuries. If appropriate, recommend implementation by January 1, 2010.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$280,368	\$280,430	\$263,345	\$270,261	\$283,569
Totals	\$217,287	\$280,368	\$280,430	\$263,345	\$270,261	\$283,569

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

GENERAL CORPORATE FUND

EMPLOYEE RELATIONS

Administration Detail

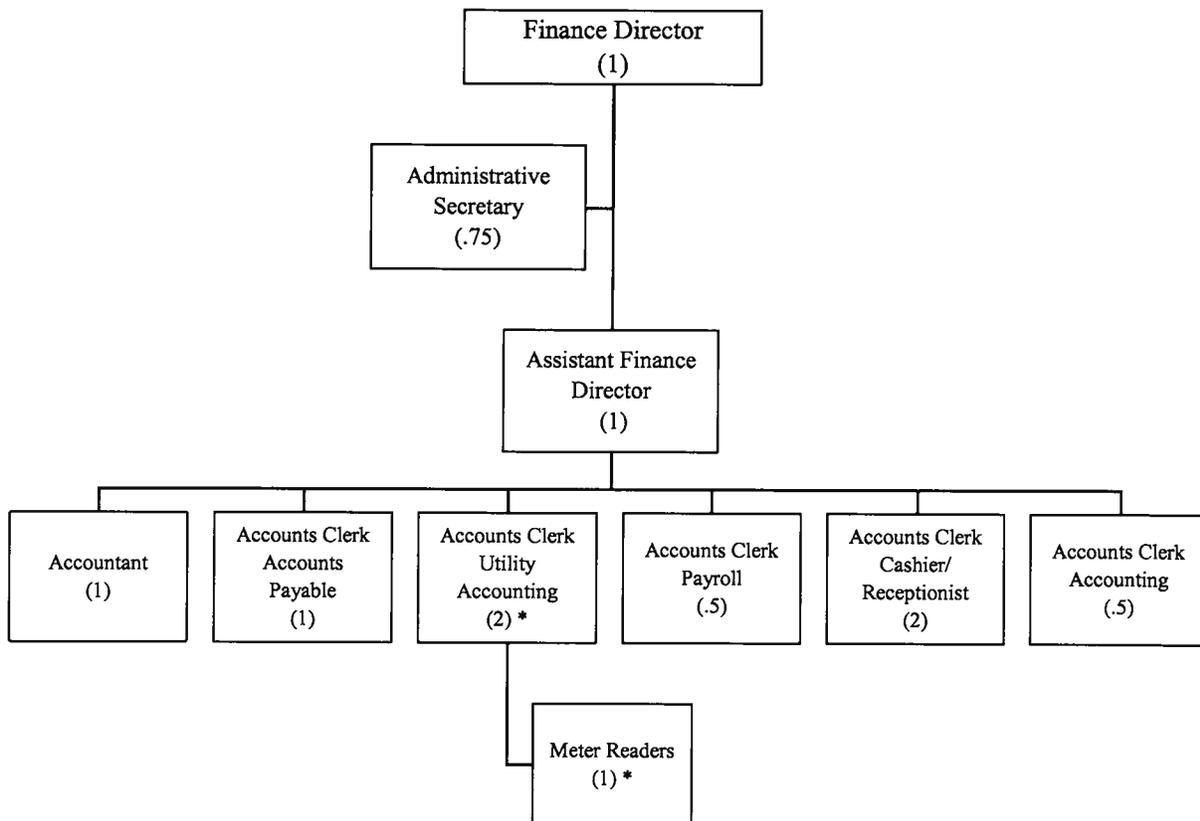
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 145,355	\$ 145,355	\$ 157,896	\$ 168,060	\$ 178,888
51111 Group Insurance	0	13,116	13,116	13,760	14,639	15,579
51112 IMRF	0	13,823	13,823	15,569	17,226	18,336
51113 FICA	0	10,413	10,413	11,045	11,738	12,327
51114 Workers Comp.	0	538	538	442	420	411
51115 Unemployment Comp.	0	7,500	16,500	7,500	7,500	7,500
Subtotal	0	190,745	199,745	206,212	219,583	233,041
Contractual Services						
52222 Meetings	0	200	190	150	150	150
52223 Training	0	4,300	1,400	2,000	2,600	2,650
52225 Employment Physicals	0	1,950	1,950	2,250	2,315	2,385
52228 Personnel Hiring	0	5,300	3,400	4,500	4,600	4,700
52230 Telephone	0	0	0	780	780	780
52231 Copy Expense	0	100	0	0	0	0
52234 Dues & Subscriptions	0	680	580	600	600	625
52236 Management Physicals	0	400	0	0	0	0
52242 Employee Recognition	0	24,100	23,900	23,810	23,350	24,550
52253 Consultant	0	26,525	26,525	0	0	0
52273 Employee Services	0	21,470	18,750	20,465	12,250	12,600
Subtotal	0	85,025	76,695	54,555	46,645	48,440
Commodities						
53314 Office Supplies	0	300	260	300	300	325
53315 Printed Materials	0	135	135	140	145	150
53317 Operating Supplies	0	500	0	0	0	0
53318 Reference Materials	0	125	90	125	125	125
53324 Uniforms	0	38	17	38	38	38
53350 Small Equipment	0	500	488	675	525	550
Subtotal	0	1,598	990	1,278	1,133	1,188
Capital						
54411 Office Equipment	0	0	0	0	1,500	0
54413 Computer Equipment	0	3,000	3,000	1,300	1,400	900
Subtotal	0	3,000	3,000	1,300	2,900	900
Totals	\$ 0	\$ 280,368	\$ 280,430	\$ 263,345	\$ 270,261	\$ 283,569

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Full Time	9	9	9	9	9
Part-Time (FTE)	.75	.75	.75	.75	.75

ORGANIZATIONAL CHART



* Utility Accounts Clerk and Meter Readers fall under the supervision of the Financial Management Department, but are included in the Water/Sewer and Water Reclamation Center budgets for accounting purposes.

NARRATIVE

The Financial Management Department is responsible for the custody of all Village funds and assets, as well as the recording and processing of the Village’s payroll. The Department’s programs include administration/customer service, accounting, reception/information and utility billing. The Water and Sewer Fund is charged for the costs associated with utility billing accounting and other costs attributable to the operation of the combined utility.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$289,401	289,401	\$279,624	\$291,851	\$301,848
Accounting		395,899	395,899	415,948	447,406	459,341
Reception/ Information		160,870	160,870	166,841	174,631	182,181
Totals	\$926,919	\$846,170	\$846,170	\$862,413	\$913,888	\$943,370

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NARRATIVE

The Administration program of the Financial Management Department is primarily the responsibility of the Finance Director. The significant policies and projects developed within the Administration program include implementation of the investment policy, maintaining good banking relationships, monitoring the banking services contract, budget preparation and implementation, performing special projects as requested by the Village Manager's Office and development and utilization of various cash flow and forecasting techniques that are used for investing and financing purposes and budget preparation.

2009 ACCOMPLISHMENTS

1. Developed a program-based, departmental budget report and distributed them monthly to department heads.
2. Modified the business license data-base program so that it could be used for the Residential Inspection program.
3. Staff completed a village-wide customer service training course.
4. Implemented the banking services contract that the Village Board approved in February with an implementation date of May 1, 2008.

2010 OBJECTIVES

1. Research and develop a budget-in-brief/Popular Report. (Village Board Goal #40)
2. Research the software and technology requirements to process on-line issuance of accessory building permits. (Village Board Goal #1)
3. Develop a disaster recovery plan for the Finance Department.
4. Research and implement the concept of paying vendors electronically via EDI.

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 191,791	\$ 191,791	\$ 195,320	\$ 203,973	\$ 213,342
51111 Group Insurance	0	21,019	21,019	21,238	22,542	23,997
51112 IMRF	0	18,239	18,239	19,259	20,907	21,868
51113 FICA	0	12,177	12,177	12,485	13,059	13,685
51114 Workers Comp.	0	710	710	547	510	491
Subtotal	0	243,936	243,936	248,849	260,991	273,383
Contractual Services						
52222 Meetings	0	560	560	1,460	1,460	1,575
52223 Training	0	3,100	3,100	2,950	2,800	2,900
52226 Office Equip. Maintenance	0	250	250	200	200	200
52230 Telephone	0	0	0	1,380	1,380	1,380
52234 Dues & Subscriptions	0	905	905	1,355	1,410	1,410
52236 Management Physicals	0	400	400	0	0	0
52254 Actuarial	0	1,800	1,800	1,900	2,000	2,000
52255 Software Maintenance	0	10,000	10,000	7,000	7,000	7,000
52256 Banking Services	0	4,500	4,500	9,035	7,935	7,435
Subtotal	0	21,515	21,515	25,280	24,185	23,900
Commodities						
53313 Auto Gas & Oil	0	1,145	1,145	1,365	1,365	1,365
53314 Office Supplies	0	150	150	100	100	100
53315 Printed Materials	0	2,600	2,600	435	435	545
53317 Operating Supplies	0	680	680	1,320	1,400	1,480
53318 Reference Materials	0	75	75	75	75	75
53324 Uniforms	0	100	100	100	100	100
53350 Small Equipment	0	200	200	400	0	0
Subtotal	0	4,950	4,950	3,795	3,475	3,665
Capital Outlay						
54413 Computer Equipment	0	19,000	19,000	1,700	3,200	900
Subtotal	0	19,000	19,000	1,700	3,200	900
Totals	\$ 0	\$ 289,401	\$ 289,401	\$ 279,624	\$ 291,851	\$ 301,848

NARRATIVE

Municipal accounting encompasses the entire range of duties necessary to account for and record all revenues and expenditures in a book of record and to establish an audit trail as a check for accuracy and control. Records must be maintained so that any citizen may have access to their contents to determine that it is a true and accurate reflection of fact.

2009 ACCOMPLISHMENTS

1. Developed a procedure manual for the newly implemented MUNIS General Ledger, Utility Billing and Accounts Payable applications.
2. Implemented and trained all departments on the use of the remote invoice data-entry program.
3. Implemented GASB Statement No. 50, Pension Disclosures.
4. Implemented SAS 104-111 and SAS 114.

2010 OBJECTIVES

1. Implement and train all of the departments on the use of the remote timecard entry program.
2. Implement GASB Statement No. 51, Accounting and Financial Reporting for Intangible Assets.
3. Develop a data-base tracking system for DUI cases, fines and payments to assure that all of the fines are being paid.

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 236,865	\$ 236,865	\$ 264,402	\$ 280,784	\$ 295,850
51109 Overtime	0	6,500	6,500	3,000	3,000	3,000
51111 Group Insurance	0	24,703	24,703	27,939	29,574	31,321
51112 IMRF	0	23,144	23,144	26,367	29,088	30,632
51113 FICA	0	18,617	18,617	20,457	21,710	22,862
51114 Workers Comp.	0	900	900	740	702	680
Subtotal	0	310,729	310,729	342,905	364,858	384,345
Contractual Services						
52222 Meetings	0	310	310	250	250	275
52223 Training	0	6,250	6,250	4,550	4,550	4,550
52226 Office Equip. Maintenance	0	1,625	1,625	1,825	950	1,175
52232 Records Storage	0	350	350	400	400	400
52234 Dues & Subscriptions	0	790	790	908	765	765
52254 Actuarial	0	5,000	5,000	0	5,000	0
52255 Software Maintenance	0	28,450	28,450	30,650	32,958	35,451
52256 Banking Services	0	1,400	1,400	0	0	0
Subtotal	0	44,175	44,175	38,583	44,873	42,616
Commodities						
53313 Auto Gas & Oil	0	50	50	50	50	50
53314 Office Supplies	0	1,000	1,000	1,175	1,175	1,175
53315 Printed Materials	0	23,520	23,520	23,500	25,525	25,900
53317 Operating Supplies	0	2,950	2,950	1,010	750	1,080
53318 Reference Materials	0	125	125	125	125	125
53350 Small Equipment	0	550	550	250	750	1,350
Subtotal	0	28,195	28,195	26,110	28,375	29,680
Capital Outlay						
54411 Office Equipment	0	5,800	5,800	6,500	3,500	0
54413 Computer Equipment	0	7,000	7,000	1,850	5,800	2,700
Subtotal	0	12,800	12,800	8,350	9,300	2,700
Totals	\$ 0	\$ 395,899	\$ 395,899	\$ 415,948	\$ 447,406	\$ 459,341

NARRATIVE

The Reception/Information area is the initial point of contact between Village government and its customers. The contact can be either by telephone or in-person traffic. Although some incoming calls are made directly to the departments, most of the calls as well as in-person visits are routed through the main reception entrance area.

2009 ACCOMPLISHMENTS

1. Installed and implemented the MUNIS citation tracking program.
2. Implemented a system to allow the purchase of vehicle licenses via the Village web site.

2010 OBJECTIVES

1. Prepare a procedural manual for the MUNIS citations and cash receipting applications.

GENERAL CORPORATE FUND

FINANCIAL MANAGEMENT

Reception/Information Detail

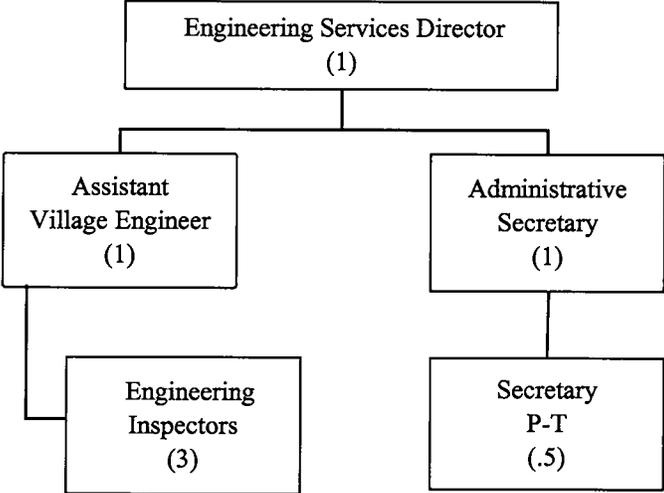
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 120,570	\$ 120,570	\$ 123,841	\$ 129,237	\$ 134,746
51109 Overtime	0	0	0	0	0	0
51111 Group Insurance	0	12,739	12,739	19,343	20,612	21,968
51112 IMRF	0	11,466	11,466	12,211	13,247	13,812
51113 FICA	0	9,224	9,224	9,474	9,887	10,308
51114 Workers Comp.	0	446	446	347	323	310
Subtotal	0	154,445	154,445	165,216	173,306	181,144
Contractual Services						
52223 Training	0	175	175	100	100	100
52234 Dues & Subscriptions	0	100	100	0	0	112
Subtotal	0	275	275	100	100	212
Commodities						
53314 Office Supplies	0	100	100	75	75	75
53315 Printed Materials	0	250	250	300	300	300
53317 Operating Supplies	0	1,000	1,000	450	450	450
53350 Small Equipment	0	300	300	300	400	0
Subtotal	0	1,650	1,650	1,125	1,225	825
Capital Outlay						
54413 Computer Equipment	0	4,500	4,500	400	0	0
Subtotal	0	4,500	4,500	400	0	0
Totals	\$ 0	\$ 160,870	\$ 160,870	\$ 166,841	\$ 174,631	\$ 182,181

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Full Time	7	7	6	6	6
Part-Time (FTE)	.5	.5	.5	.5	.5

ORGANIZATIONAL CHART



NARRATIVE

The Engineering Services Department is responsible for the design, review, inspection and general supervision of the installation of public improvements in residential, commercial and industrial developments and various capital improvement projects undertaken by the Village. The Department's six general areas of operation include administration, plan review, daily inspection, design and construction, traffic and water and sewer.

In-house design of infrastructure improvements has become a responsibility of the Department. The Department manages street reconstruction and water main replacement projects. The Engineering Services Department will also prepare the plans and specifications for the street rejuvenation, resurfacing, crack filling, pond shoreline and stream bank stabilization, sanitary sewer and water main replacement/relocation projects.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$444,692	\$525,878	\$474,316	\$494,718	\$531,793
Plan Review		137,843	136,909	72,216	77,965	82,996
Daily Inspection		139,958	90,084	87,874	94,167	100,392
Design & Construction		247,308	167,618	187,592	204,993	220,699
Traffic		64,701	34,937	35,069	37,206	39,350
Water & Sewer		48,093	52,988	59,245	61,945	66,555
Totals		\$951,982	\$1,082,595	\$916,312	\$970,994	\$1,041,785

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

NARRATIVE

The Department's Administration program includes staff time spent providing administration support across all department programs. Each member of the Engineering Services Department is involved in this program. The Director is responsible for preparing operational reports, the department budget, purchasing and hiring and evaluating department personnel.

2009 ACCOMPLISHMENTS

1. Prepared a Village "Environmental Web Portal" that highlights the Village's efforts toward environmental stewardship, provides educational material and links to other sites and sources of information. (Village Board Goal #11)
2. Acquired over 2,500 GPS coordinates of water main, sanitary sewer and storm sewer utility structures through the use of Engineering Aides. The coordinates are being utilized to generate more accurate utility atlases. (Village Board Goal #16)
3. All Engineering Staff participated in customer service training sessions to further enhance high quality customer service. (Village Board Goal #6)
4. Prepared a revised Capital Improvement Program that extended the Street Maintenance Program an additional two years to show exploration of alternatives during an economic decline. (Village Board Goal #10b)

2010 OBJECTIVES

1. Update and maintain the Village's new "Environmental Web Portal" as it relates to energy conservation. (Village Board Goal #11)
2. Assist Administration with the implementation of the ComEd Community Energy Challenge Project grant. (Village Board Goal #11)

GENERAL CORPORATE FUND

ENGINEERING SERVICES

Administration Detail

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	0	\$ 269,662	\$ 323,019	\$ 304,118	\$ 317,499	\$ 331,479
51106 Seasonal Help	0	4,483	2,634	2,697	2,812	2,932
51109 Overtime	0	0	1,325	0	0	0
51111 Group Insurance	0	23,181	38,351	29,469	31,100	32,867
51112 IMRF	0	25,644	30,971	29,987	32,544	33,977
51113 FICA	0	20,018	24,256	22,435	23,442	24,497
51114 Workers Comp.	0	6,498	11,282	5,262	4,932	4,636
Subtotal	0	349,486	431,838	393,968	412,329	430,388
Contractual Services						
52212 Auto Maint. & Rpr.	0	733	5,280	7,789	8,622	9,662
52222 Meetings	0	20	20	40	45	50
52223 Training	0	500	671	520	540	560
52224 Vehicle Insurance	0	256	2,249	2,209	2,209	2,209
52226 Office Equip. Maint.	0	2,500	1,200	1,000	1,000	1,000
52230 Telephone	0	4,000	1,100	2,543	2,578	2,614
52232 Records Storage	0	200	200	200	200	200
52234 Dues & Subscriptions	0	7,055	1,555	1,805	1,790	1,925
52236 Management Physicals	0	400	0	0	0	0
52255 Software Maintenance	0	4,750	4,180	5,050	5,150	5,250
52257 G.I.S. System	0	2,700	2,700	2,700	2,700	2,700
52272 Property Main./NPDES	0	59,000	49,000	46,000	39,500	50,000
Subtotal	0	82,114	68,155	69,856	64,334	76,170
Commodities						
53313 Auto Gas & Oil	0	312	2,838	3,007	3,272	3,546
53314 Office Supplies	0	1,950	1,500	1,750	1,850	1,950
53315 Printed Materials	0	405	50	270	275	280
53317 Operating Supplies	0	3,030	1,860	1,320	1,350	1,380
53350 Small Equipment	0	355	365	405	660	215
Subtotal	0	6,052	6,613	6,752	7,407	7,371
Capital Outlay						
54413 Computer Equipment	0	4,740	5,192	3,740	968	17,864
54415 Vehicles	0	2,300	14,080	0	9,680	0
Subtotal	0	7,040	19,272	3,740	10,648	17,864
Totals	\$ 0	\$ 444,692	\$ 525,878	\$ 474,316	\$ 494,718	\$ 531,793

NARRATIVE

The Plan Review program includes engineering reviews of subdivision, industrial and commercial developments, building reviews required by Boards and Commissions as well as utility and accessory permits, grading surveys, construction as-builts, final as-builts and other miscellaneous engineering reviews.

2009 ACCOMPLISHMENTS

1. Assisted the Community Development Department with their Building & Zoning Permit Fee Schedule Development Services Management Study by participating in surveys, interviews and meetings, reviewing findings, providing input, performing process assessments and beginning implementation of recommendations. (Village Board Goal #12c)
2. Revised the Subdivision and the Telecommunication Codes to address right of way control issues prompted by above ground utility structures and recent State laws.
3. Even though some plan review activity increased in 2008, overall it was down from 2007. Review of subdivisions increased from 3 in 2007 to 7 in 2008, for annexation agreements or amendments the activity increased from 3 in 2007 to 6 in 2008. Variance requests and code amendments were also up with 21 reviews in 2008 compared to only 4 in 2007. However, subdivision acceptances were down from 4 in 2007 to 3 in 2008 and easement/right of way plat reviews were also down from 8 in 2007 to 6 in 2008. Engineering issued only 7 storm water management permits in 2008 as compared to 36 in 2007, 19 utility permits in 2008 versus 25 in 2007, 119 over weight/over width vehicle permits versus 207 and co-issued 53 residential building permits in 2008 versus 214 in 2007, 32 commercial/industrial permits versus 39 and 514 accessory permits versus 618.

2010 OBJECTIVES

1. Assist Community Development with stream lining the permitting process for smaller/regular building projects. (Village Board Goal #4)
2. Revise hazard mitigation processes and procedures to coordinate substantial improvement determinations with building permits for compliance with flood plain regulations. (Village Board Goal #34)

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 82,620	\$ 60,947	\$ 48,930	\$ 51,035	\$ 53,234
51111 Group Insurance	0	8,454	7,236	5,827	6,179	6,559
51112 IMRF	0	7,856	5,844	4,824	5,231	5,456
51113 FICA	0	6,138	4,577	3,597	3,756	3,920
51114 Workers Comp.	0	3,299	2,129	1,138	1,066	1,001
Subtotal	0	108,367	80,733	64,316	67,267	70,170
Contractual Services						
52212 Auto Maint. & Rpr.	0	1,466	1,320	1,947	2,155	2,415
52223 Training	0	1,750	1,208	0	0	0
52224 Vehicle Insurance	0	511	562	552	552	552
52230 Telephone	0	0	350	636	645	653
52253 Consultant	0	17,500	47,320	3,200	4,000	4,000
Subtotal	0	21,227	50,760	6,335	7,352	7,620
Commodities						
53313 Auto Gas & Oil	0	624	528	560	609	660
53318 Reference Materials	0	65	67	70	75	80
Subtotal	0	689	595	630	684	740
Capital Outlay						
54413 Computer Equipment	0	2,960	1,298	935	242	4,466
54415 Vehicles	0	4,600	3,520	0	2,420	0
Subtotal	0	7,560	4,818	935	2,662	4,466
Totals	\$ 0	\$137,843	\$136,906	\$72,216	\$77,965	\$82,996

NARRATIVE

There are five general categories of daily inspections which include residential subdivisions, commercial/industrial subdivisions, residential lots, commercial/industrial lots and miscellaneous. Miscellaneous inspections include accessory permits and private utilities. Inspections are required during the construction, acceptance and maintenance phases. Each phase requires certain inspections to be performed along with required documentation to ensure compliance with Village Codes.

2009 ACCOMPLISHMENTS

1. Developed procedures to record and track the status of securities and insurance certificates for right of way management permits. (Village Board Goal #12c)

2010 OBJECTIVES

1. Train Engineering Inspectors on the inspection of Best Management Practices (BMPs) through the Certified Inspector of Sediment and Erosion Control (CISEC) program or equivalent. (Village Goal #11)
2. Oversee the design and construction of the Easton Park Bike Path. (Village Board Goal #22a)

GENERAL CORPORATE FUND

ENGINEERING SERVICES

Daily Inspection Detail

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 75,719	\$ 54,852	\$ 55,815	\$ 58,214	\$ 60,716
51106 Seasonal Help	0	840	447	900	938	978
51109 Overtime	0	900	225	720	720	720
51111 Group Insurance	0	12,310	6,512	9,856	10,494	11,186
51112 IMRF	0	7,287	5,259	5,574	6,041	6,297
51113 FICA	0	5,842	4,119	4,384	4,571	4,765
51114 Workers Comp.	0	4,169	1,916	2,361	2,213	2,075
Subtotal	0	107,067	73,330	79,610	83,191	86,737
Contractual Services						
52212 Auto Maint. & Rpr.	0	4,399	1,680	2,478	2,743	3,074
52223 Training	0	1,050	537	385	400	415
52224 Vehicle Insurance	0	1,534	716	703	703	703
52227 Radio Maintenance	0	0	0	100	100	100
52230 Telephone	0	0	275	809	820	832
52253 Consultant	0	5,600	4,680	0	0	0
Subtotal	0	12,583	7,888	4,475	4,766	5,124
Commodities						
53313 Auto Gas & Oil	0	1,873	990	1,049	1,142	1,237
53317 Operating Supplies	0	500	570	410	430	450
53318 Reference Materials	0	65	67	70	75	80
53324 Uniforms	0	1,080	1,080	1,040	1,140	1,040
53350 Small Equipment	0	30	27	30	35	40
Subtotal	0	3,548	2,734	2,599	2,822	2,847
Capital Outlay						
54413 Computer Equipment	0	2,960	1,652	1,190	308	5,684
54415 Vehicles	0	13,800	4,480	0	3,080	0
Subtotal	0	16,760	6,132	1,190	3,388	5,684
Totals	\$ 0	\$139,958	\$90,084	\$87,874	\$94,167	\$100,392

NARRATIVE

The Design and Construction program involves the planning, design and supervision of Village initiated “in-house” projects and the administration and construction inspection of projects that are contracted by the Village. Maintaining an inventory of all public and private improvements, the street maintenance program and the computer analysis of the sanitary sewer, water and storm sewer systems are ongoing activities.

2009 ACCOMPLISHMENTS

1. Provided project oversight for the consultant preparation of final engineering plans and contract documents for the West Street/Gunderson Drive Reconstruction Project. (Village Board Goal #10b)
2. Assisted Administration and Public Works with the engineering consultant contracts for the Town Center Parking Lot & Lighting Improvements and the Village Hall Lighting Replacement Projects. (Village Board Goal #27)
3. Oversaw the acquisition of rights-of-way and easements for the future Fair Oaks Road Phase II Improvement Project, West Branch DuPage River Trail Project, Fair Oaks Road Bike Path Project and Southwest Water Main Extension Project. Three of the six most urgent acquisitions have been received. (Village Board Goal #12d)
4. Directed the preparation of the Kuhn Road Trail Project Phase I Design and the preparation of rights of way and easement documents utilizing consultant services. (Village Board Goal #22a)
5. Performed the Resident Engineering inspection services with assistance from a consultant for the Fullerton Avenue Pavement Rehabilitation Project. (Village Board Goal #10b)

2010 OBJECTIVES

1. Assist the Resident Engineering consultant performing the construction management of the West Street/Gunderson Drive Reconstruction Project. (Village Board Goal #10b)
2. Oversee the Phase II Design of the Kuhn Road Trail Project and the acquisition of rights of way and easement utilizing consultant services. (Village Board Goal #22a)
3. Provided consultant project oversight for the Phase I Engineering for the West Branch DuPage River Trail and Fair Oaks Road Bike Path Projects. (Village Board Goal #12d)

4. Continue overseeing the acquisition of rights-of-way and easements for the future Fair Oaks Road Phase II Improvement Project, West Branch DuPage River Trail Project and the Fair Oaks Road Bike Path Project. (Village Board Goal #12d)
5. Provide design input to the DuPage County Highway Department for the Phase II Design on their Gary Avenue Rehabilitation Project. (Village Goal # 22b)
6. Act as a liaison between Village residents and the DuPage County's Stormwater Management Division by providing input and review of their Klein Creek Watershed Study & Flood Control Plan. (Village Goal # 22b)
7. Oversee the Carol Stream Venture Subdivision Flood Study as well as communicating results and recommendations of the study to affected residents.

GENERAL CORPORATE FUND

ENGINEERING SERVICES

Design & Construction Detail

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 143,427	\$ 109,705	\$ 98,451	\$ 102,634	\$ 106,997
51106 Seasonal Help	0	5,884	895	2,397	2,499	2,605
51109 Overtime	0	2,900	450	2,320	3,120	2,320
51111 Group Insurance	0	21,674	13,025	16,084	17,119	18,241
51112 IMRF	0	13,916	10,519	9,936	10,840	11,205
51113 FICA	0	11,362	8,238	7,790	8,177	8,459
51114 Workers Comp.	0	6,637	3,832	3,485	3,267	3,064
Subtotal	0	205,800	146,664	140,463	147,656	152,891
Contractual Services						
52212 Auto Maint. & Rpr.	0	6,599	2,640	3,894	4,311	4,831
52223 Training	0	3,910	4,294	3,180	3,325	3,470
52224 Vehicle Insurance	0	2,300	1,125	1,104	1,104	1,104
52230 Telephone	0	0	550	1,272	1,289	1,307
52253 Consultant	0	0	0	33,000	39,000	45,000
52255 Software Maintenance	0	1,620	570	680	690	700
Subtotal	0	14,429	9,179	43,130	49,719	56,412
Commodities						
53313 Auto Gas & Oil	0	2,809	1,452	1,539	1,674	1,814
53317 Operating Supplies	0	300	390	270	280	290
53318 Reference Materials	0	250	244	260	270	280
53350 Small Equipment	0	60	53	60	70	80
Subtotal	0	3,419	2,139	2,129	2,294	2,464
Capital Outlay						
54413 Computer Equipment	0	2,960	2,596	1,870	484	8,932
54415 Vehicles	0	20,700	7,040	0	4,840	0
Subtotal	0	23,660	9,636	1,870	5,324	8,932
Totals	\$ 0	\$ 247,308	\$ 167,618	\$ 187,592	\$ 204,993	\$ 220,699

NARRATIVE

A Village-wide speed and traffic regulation and control study was completed and implemented in FY 1990-91. With the recent revision to the National Manual on Uniform Traffic Control Devices (MUTCD), a comprehensive Intersection Traffic Control Study was performed in 2008. This study identified the removal of 25 yield signs and the installation of 57 new stop signs. The Study also recommended the creation of a geographic information system (GIS) database and map that identifies problematic intersections for potential regulatory traffic control studies and the reconfiguration of the Lies Road – Idaho Street intersection (when reconstructed) to improve its geometry and operational safety. Engineering Staff will now monitor all intersection accident data to proactively identify potential intersections for additional regulatory controls. The Department will continue to respond to requests for traffic control signs, speed surveys to determine appropriate speed limits, traffic volume studies to determine road plans and sight distance studies for intersection controls.

2009 ACCOMPLISHMENTS

1. Finalized the Intersection Traffic Control Study and assisted Public Works with the location of new stop sign installations.

2010 OBJECTIVES

1. As recommend by the Intersection Traffic Control Study, prepare a geographic information system (GIS) database and map that identifies problematic intersections for potential regulatory traffic control studies. (Village Goal #16)

GENERAL CORPORATE FUND

ENGINEERING SERVICES

Traffic Detail

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 19,900	\$ 24,578	\$ 25,865	\$ 26,992	\$ 28,166
51109 Overtime	0	200	100	160	160	160
51111 Group Insurance	0	1,994	2,894	2,778	2,939	3,114
51112 IMRF	0	1,912	2,337	2,566	2,783	2,903
51113 FICA	0	1,454	1,830	1,907	1,993	2,082
51114 Workers Comp.	0	345	851	273	255	241
Subtotal	0	25,805	32,590	33,549	35,122	36,666
Contractual Services						
52212 Auto Maint. & Rpr.	0	1,466	360	531	588	659
52224 Vehicle Insurance	0	511	153	151	151	151
52230 Telephone	0	0	75	173	176	178
52255 Software Maintenance	0	30,000	0	0	0	0
Subtotal	0	31,977	588	855	915	988
Commodities						
53313 Auto Gas & Oil	0	624	198	210	228	248
53317 Operating Supplies	0	150	180	130	140	150
53318 Reference Materials	0	65	67	70	75	80
Subtotal	0	839	445	410	443	478
Capital Outlay						
54413 Computer Equipment	0	1,480	354	255	66	1,218
54415 Vehicles	0	4,600	960	0	660	0
Subtotal	0	6,080	1,314	255	726	1,218
Totals	\$ 0	\$ 64,701	\$ 34,937	\$ 35,069	\$ 37,206	\$ 39,350

NARRATIVE

This program includes the Department's engineering assistance for the maintenance and reconstruction of the Village's sanitary sewer and water main system and for conducting plan reviews and inspections of these public improvements.

2009 ACCOMPLISHMENTS

1. Provided project management oversight of the consultant for the Southwest Water Main Extension Project. (Village Goal #10a)

2010 OBJECTIVES

1. Assist the consultant Resident Engineer with performing construction administration on the Southwest Water Main Extension Project. (Village Goal #10a)
2. Prepare contract documents and perform project management services on the Well House #3 Driveway Replacement Project. (Village Board Goal #10b)

GENERAL CORPORATE FUND

ENGINEERING SERVICES

Water & Sewer Detail

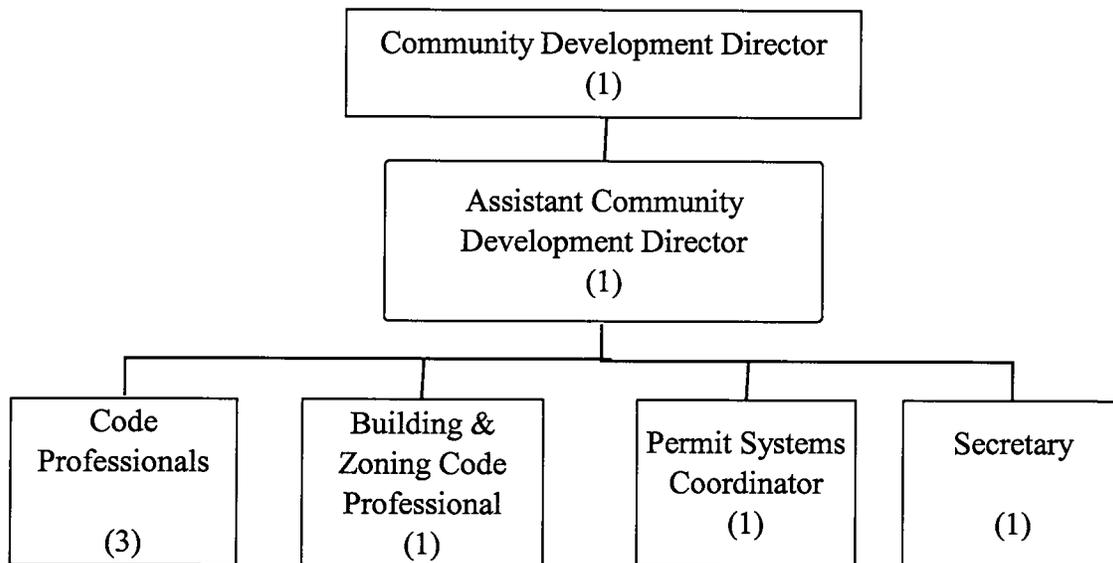
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 34,800	\$ 36,568	\$ 41,506	\$ 43,271	\$ 45,109
51109 Overtime	0	1,000	150	800	0	800
51111 Group Insurance	0	4,747	4,342	5,649	6,000	6,379
51112 IMRF	0	3,405	3,506	4,171	4,435	4,706
51113 FICA	0	2,655	2,746	3,153	3,226	3,427
51114 Workers Comp.	0	1,486	1,277	1,117	1,047	982
Subtotal	0	48,093	48,589	56,396	57,979	61,403
Contractual Services						
52212 Auto Maint. & Rpr.	0	0	720	1,062	1,176	1,317
52224 Vehicle Insurance	0	0	307	301	301	301
52230 Telephone	0	0	150	347	352	356
Subtotal	0	0	1,177	1,710	1,829	1,974
Commodities						
53313 Auto Gas & Oil	0	0	594	629	685	742
Subtotal	0	0	594	629	685	742
Capital Outlay						
54413 Computer Equipment	0	0	708	510	132	2,436
54415 Vehicles	0	0	1,920	0	1,320	
Subtotal	0	0	2,628	510	1,452	2,436
Totals	\$ 0	\$ 48,093	\$ 52,988	\$ 59,245	\$ 61,945	\$ 66,555

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Full Time	8	8	8	8	8

ORGANIZATIONAL CHART



NARRATIVE

The primary responsibilities of the Community Development Department include coordinating the review, permitting and inspection of proposed development projects, enforcing the community's codes and standards as set forth in the Municipal Code, planning future development initiatives, and promoting the Village of Carol Stream for economic development. The Department's six program functions include Administration, Development Services, Code Enforcement, Current Planning, Long-Range Planning and Economic Development. Community Development staff review development proposals for compliance with the various building and zoning codes, inspect all construction, and provide overall code enforcement. The Department also provides support to the Plan Commission/Zoning Board of Appeals and Electrical Commission, maintains and updates the building and zoning codes and comprehensive plan, and develops economic development data and informational brochures.

NOTE: During calendar year 2008, the Village retained the services of a management consultant, Virchow Krause, to study the Community Development Department's services, processes and organizational structure. Program accomplishments and goals make reference to the consultant's approved Implementation Plan.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$84,542	\$239,120	\$105,284	\$108,333	\$121,262
Development Services		\$611,971	\$446,670	\$504,709	\$586,929	\$633,988
Code Enforcement		\$130,266	\$76,030	\$318,856	\$339,573	\$378,632
Current Planning		\$166,839	\$90,505	\$121,526	\$128,225	\$142,463
Long Range Planning		\$30,188	\$4,630	\$67,074	\$88,005	\$123,936
Economic Development		\$27,339	\$11,755	\$15,578	\$16,301	\$19,024
Totals	\$892,183	\$1,051,145	\$868,710	\$1,133,027	\$1,267,366	\$1,419,305

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

NARRATIVE

The primary purposes of the Administration Program are to provide oversight of the daily activities of the Community Development Department, and to plan and administer new initiatives for improvement of services. Functions include budget preparation and administration, purchasing, personnel management, and acting as an information resource to residents, developers, realtors, Village officials, and other public agencies.

2009 ACCOMPLISHMENTS

1. Sought and retained the services of a management consultant, Virchow Krause, to study the department's development services processes and organizational structure. Completed the study and presented it to the Village Board, obtained approval to commence the recommended Implementation Plan. (Village Board Goal #4, #12c)
2. Worked with the Village Manager and Village Attorney to implement a condition of development approval that incentivized an accelerated timing of the retail portion of a mixed use project (Value Place). This fee-in-lieu-of-sales-tax mechanism could be used in the future to encourage the retail development stage of future construction projects. (Village Board Goal #1)
3. All Community Development participated in customer training sessions to further enhance high quality customer service. (Village Board Goal #6, Virchow Krause Implementation Plan Item #25b.)
4. Developed and implemented an Overhead Sewer Program to assist homeowners in modifying their sanitary sewer service so as to prevent sewer backups.
5. Restructured the department so as to eliminate unnecessary supervisory levels, changed position descriptions to reflect new responsibilities and certification requirements, and created a back-up plan for critical functions. (Virchow Krause Implementation Plan Items #1, #2.1, #6 and 11a..)
6. Established a professional services relationship with a consultant to handle special projects and work load peaks in the Development Services program.

2010 OBJECTIVES

1. Evaluate and recommend strategies to make Carol Stream a more sustainable, environmentally-sensitive community. (Village Board Goal # 11)
2. Provide training for the person assigned to the new position of Permit Systems Coordinator, assign responsibilities for work flows and systems procedures to that position.

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 59,215	\$ 190,000	\$ 77,422	\$ 81,206	\$ 85,183
51109 Overtime	0	0	650	0	0	0
51111 Group Insurance	0	6,909	5,500	8,759	9,331	9,942
51112 IMRF	0	5,631	18,500	7,634	8,324	8,731
51113 FICA	0	3,700	13,500	5,115	5,377	5,652
51114 Workers Comp.	0	219	200	217	203	196
Subtotal	0	75,674	228,350	99,147	104,441	109,704
Contractual Services						
52212 Auto Maintenance & Rpr.	0	250	240	420	465	521
52222 Meetings	0	90	190	0	0	0
52223 Training	0	0	2,200	350	350	350
52224 Vehicle Insurance	0	204	175	361	361	361
52226 Office Equip. Maintenance	0	1,300	1,020	195	195	195
52230 Telephone	0	0	995	800	800	800
52234 Dues & Subscriptions	0	0	0	1,100	1,000	1,100
52236 Management Physicals	0	400	0	0	0	0
Subtotal	0	2,244	4,820	3,226	3,171	3,327
Commodities						
53313 Auto Gas & Oil	0	224	150	111	121	131
53314 Office Supplies	0	4,000	200	600	600	600
53318 Reference Materials	0	0	3,000	0	0	0
53324 Uniform	0	0	0	200	0	0
53350 Small Equipment	0	0	15	0	0	0
Subtotal	0	4,224	3,365	911	721	731
Capital Outlay						
54413 Computer Equipment	0	2,400	2,585	2,000	0	0
54415 Vehicles	0	0	0	0	0	7,500
Subtotal	\$ 0	\$ 2,400	\$ 2,585	\$ 2,000	\$ 0	\$ 7,500
Totals	\$ 0	\$ 84,542	\$ 239,120	\$ 105,284	\$ 108,333	\$ 121,262

NARRATIVE

The Development Services Program provides permit review and approvals, fee collection, and inspection services for all development and redevelopment projects except capital improvement projects. The permit review staff and the Village's professional code consultant examine building permit applications for compliance with all Village Building and Zoning Code requirements. Staff also coordinates with the Carol Stream Fire Protection District for compliance with the Fire Code, and with the Engineering Services Department for civil engineering review in accordance with the Village's engineering standards and the requirements of the DuPage County *Countywide Storm Water and Flood Plain Ordinance*. The inspections staff and the Village's consultant inspect all new development and redevelopment projects for conformance with the approved permit plans, and coordinate with the Engineering Services Department for engineering inspections. The Development Services program also ensures that codes adopted by the Village are in keeping with the prevailing regional standards and the community's goals.

2009 ACCOMPLISHMENTS

1. Scanned and catalogued 1,271 building permit files into the document management system.
2. Developed two new informational handouts for smoking shelters and repair of flood damaged properties.
3. Completed the consultant peer review of the Village's local amendments to the adopted building codes. Commenced the process of revising the local amendments as appropriate per the consultant's recommendations, in order to adopt the 2006 ICC building codes. (Village Board Goal # 12c, #19)
4. Established professional certification requirements for the Code Professional positions, developed a back-up and cross-training plan, trained the Code Professionals on permit fee calculations. (Virchow Krause Implementation Plan Items # 5a, #5b and #27.)

2010 OBJECTIVES

1. Refine the permit approval processes; prepare documentation to clearly communicate the processes to our customers. Establish cycle time goals for permits, with processes whereby permits can be obtained over the counter or within 24 hours. (Virchow Krause Implementation Plan Items # 3, #13, #18, #19, #20a, #20b, #20c, and #20d.)
2. Update the building and zoning permit fee schedule, collect fees at time of permit application, and develop an automated fee calculation spreadsheet. (Virchow Krause Implementation Plan Items # 28a and #28b.)
3. Develop effective reporting systems for information regarding permit processes, turnaround times and customer service. (Virchow Krause Implementation Plan Item # 29.)

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 378,395	\$ 235,000	\$ 236,874	\$ 307,734	\$ 379,004
51109 Overtime	0	1,750	200	500	500	500
51111 Group Insurance	0	44,149	38,000	31,705	43,640	56,969
51112 IMRF	0	36,318	23,000	23,405	31,593	38,899
51113 FICA	0	28,799	17,000	17,957	23,370	28,816
51114 Workers Comp.	0	13,464	10,000	5,873	8,200	7,852
Subtotal	0	502,875	323,200	316,314	415,037	512,040
Contractual Services						
52212 Auto Maintenance & Rpr.	0	4,495	4,260	1,916	2,120	2,377
52222 Meetings	0	300	210	150	150	150
52223 Training	0	8,400	1,760	2,900	3,450	4,000
52224 Vehicle Insurance	0	3,679	3,120	1,646	1,646	1,646
52226 Office Equip. Maintenance	0	0	0	650	650	650
52230 Telephone	0	2,400	0	300	300	300
52232 Records Storage	0	150	165	150	150	150
52234 Dues & Subscriptions	0	1,000	300	500	500	500
52253 Consultant	0	69,500	104,000	145,000	110,000	75,000
52255 Software Maintenance	0	0	850	0	0	0
52257 GIS	0	930	225	1,350	1,350	1,350
52316 Overhead Sewer Program	0	0	0	25,000	25,000	25,000
Subtotal	0	90,854	114,890	179,562	145,316	111,123
Commodities						
53313 Auto Gas & Oil	0	4,028	2,700	1,633	1,776	1,925
53314 Office Supplies	0	0	1,450	2,000	2,000	2,000
53315 Printed Materials	0	4,000	2,065	3,000	3,000	3,000
53318 Reference Materials	0	4,114	1,200	500	500	500
53324 Uniforms	0	700	0	500	500	500
53350 Small Equipment	0	400	165	200	200	200
Subtotal	0	13,242	7,580	7,833	7,976	8,125
Capital Outlay						
54413 Computer Equipment	0	5,000	1,000	1,000	3,600	2,700
54415 Vehicles	0	0	0		15,000	
Subtotal	\$ 0	\$ 5,000	\$ 1,000	\$ 1,000	\$ 18,600	\$ 2,700
Totals	\$ 0	\$ 611,971	\$ 446,670	\$ 504,709	\$ 586,929	\$ 633,988

NARRATIVE

The Code Enforcement Program is responsible for review of possible code violations that are not directly related to permit activities, and for coordination with the involved parties to abate the violations. The primary function of the program is to ensure that business and residential properties meet the Village's standards of safety and occupancy. Code Enforcement staff respond to Citizen Service Requests (CSR's), and coordinate inspection activities with the Carol Stream Fire Protection District for code violations involving commercial, industrial and multifamily residential properties. Enforcement activities at single-family residential properties typically involve property maintenance issues.

2009 ACCOMPLISHMENTS

1. Processed 124 residential and commercial building and property maintenance code violation cases during the 2008 calendar year, as compared with 185 in 2007. Of these, 109 were abated by working with the property owner without issuance of a citation. Of the 15 cases which were issued citations, all were abated without going to court.
2. Received 96 commercial and industrial building code violation cases from the Carol Stream Fire Protection District during the 2008 calendar year, as compared with 128 in 2007. Achieved compliance and closed 57 cases, and the remaining 39 are currently in process.
3. Received and processed 440 new Citizen Service Requests during the 2008 calendar year, as compared with 397 in 2007.
4. Researched and commenced development of a comprehensive rental housing policy and program. (Village Board Goal # 2)

2010 OBJECTIVES

1. Complete the development and implementation of the rental housing policy and program by the summer of 2009. (Village Board Goal # 2)
2. Develop guidelines and policies to address the impact of a potential increase in housing vacancies. (Village Board Goal #13a)

GENERAL CORPORATE FUND

COMMUNITY DEVELOPMENT

Code Enforcement Detail

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 96,852	\$ 53,500	\$ 78,724	\$ 107,669	\$ 136,758
51109 Overtime	0	1,750	150	3,000	3,000	3,000
51111 Group Insurance	0	11,300	8,500	10,244	15,143	20,625
51112 IMRF	0	9,211	5,000	8,058	11,344	14,326
51113 FICA	0	7,203	4,000	5,949	8,154	10,368
51114 Workers Comp.	0	3,175	2,400	2,194	3,208	3,075
Subtotal	0	129,491	73,550	108,169	148,518	188,152
Contractual Services						
52212 Auto Maintenance & Rpr.	0	0	0	1,915	2,120	2,375
52222 Meetings	0	0	150	0	0	0
52224 Vehicle Insurance	0	0	0	1,646	1,646	1,646
52226 Office Equip.Maint.	0	0	0	195	195	195
52230 Telephone	0	0	5	300	300	300
52234 Dues & Subscriptions	0	0	100	0	0	0
52243 Paging	0	75	40	75	75	75
52260 Weed Mowing	0	700	2,135	3,000	3,000	2,000
52318 Rental Insp. Program	0	0	0	200,000	180,000	180,000
Subtotal	0	775	2,430	207,131	187,336	186,591
Commodities						
53313 Auto Gas & Oil	0	0	0	1,856	2,019	2,189
53314 Office Supplies	0	0	50	600	600	600
53315 Printed Materials	0	0	0	1,000	1,000	1,000
53350 Small Equipment	0	0	0	100	100	100
	0	0	50	3,556	3,719	3,889
Totals	\$ 0	\$ 130,266	\$ 76,030	\$ 318,856	\$ 339,573	\$ 378,632

NARRATIVE

The Current Planning Program provides zoning administration, site plan review and site inspections, and makes recommendations to the Plan Commission / Zoning Board of Appeals and the Village Board on planning and zoning applications submitted by the development community and the public. Staff coordinates development activities with other departments, the Village Clerk, developers, business owners and homeowners to ensure that conditions of approval for variation and special use ordinances are implemented. The Current Planning functions include review of development projects and annexation agreements to ensure consistency with the Village's Comprehensive Plan and compliance with all site development standards mandated by the Zoning Code, Subdivision Code and Village development policies, as well as conditions of development approval.

2009 ACCOMPLISHMENTS

1. Worked with the Village's GIS consultant to add layers and data to the GIS for the Zoning Map and Future Land Use Plan. (Village Board Goal #16)
2. Developed and implemented standards for smoking shelters in order to assist local businesses in providing an amenity for their customers within the confines of local building and zoning codes and the Smoke-Free Illinois Act.

2010 OBJECTIVES

1. Develop an amendment to the Sign Code to establish community standards for the use of banners and other temporary signs by the Village's not-for-profit community, by April 2010.
2. Develop an amendment to the Zoning Code to establish community standards for the use of outdoor storage containers, by April 2010.

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 74,995	\$ 51,000	\$ 88,750	\$ 93,493	\$ 98,504
51111 Group Insurance	0	8,750	8,500	11,290	12,028	12,816
51112 IMRF	0	7,132	5,000	8,751	9,583	10,097
51113 FICA	0	5,530	3,500	6,285	6,630	6,996
51114 Workers Comp.	0	277	200	248	234	227
Subtotal	0	96,684	68,200	115,324	121,968	128,640
Contractual Services						
52212 Auto Maintenance & Rpr.	0	250	240	420	465	521
52222 Meetings	0	60	30	100	100	100
52223 Training	0	1,800	900	0	0	0
52224 Vehicle Insurance	0	204	175	361	361	361
52226 Office Equip.Maintenance	0	0	0	260	260	260
52234 Dues & Subscriptions	0	500	0	0	0	0
52253 Consultant	0	64,000	16,000	0	0	0
52255 Software Maintenance	0	1,100	1,800	2,200	2,200	2,200
52257 GIS	0	1,350	2,475	1,350	1,350	1,350
Subtotal	0	69,264	21,620	4,691	4,736	4,792
Commodities						
53313 Auto Gas & Oil	0	224	150	111	121	131
53314 Office Supplies	0	0	0	800	800	800
53315 Printed Material	0	0	535	0	0	0
53318 Reference Materials	0	217	0	500	500	500
53350 Small Equipment	0	0	0	100	100	100
Subtotal	0	441	685	1,511	1,521	1,531
Capital Outlay						
54413 Computer Equipment	0	450	0	0	0	0
54415 Vehicles	0	0	0	0	0	7,500
Subtotal	0	450	0	0	0	7,500
Totals	\$ 0	\$ 166,839	\$ 90,505	\$ 121,526	\$ 128,225	\$ 142,463

NARRATIVE

The Long-Range Planning Program guides and directs growth through the development of plans, policies and ordinances that preserve the character and enhance the livability of the Village. The Village's Long-Range Planning Program prepares development policies and code amendments, tracks land use and population statistics, and maintains all elements of the Carol Stream Comprehensive Plan as required by State Statutes. The Long-Range Planning Program develops and administers initiatives that promote the improvement of quality of life and business in Carol Stream and assist in the stabilization of Carol Stream neighborhoods.

2009 ACCOMPLISHMENTS

1. Researched issues and attended workshops for the development of an updated comprehensive plan in accordance with best practices and Village goals. Developed an outline and strategies to be used in preparing a scope of services for the upcoming comprehensive plan project.

2010 OBJECTIVES

1. Obtain the services of a consultant and complete a combined project to update the Comprehensive Plan and Zoning Code by 2011. (Village Board Goals #29, #22a, #24a, #25b, #33b, and #36. Virchow Krause Implementation Plan Item #8.) Work to be completed during 2009-2010 includes:
 - Preparation and distribution of the Request For Statements Of Qualifications
 - Review of consultant qualifications, selection of consultants for interviews
 - Selection of consultant and award of contract
 - Commencement of data collection and community involvement activities

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 19,849	\$ 1,000	\$ 11,653	\$ 12,249	\$ 12,878
51111 Group Insurance	0	2,316	2,000	1,349	1,437	1,531
51112 IMRF	0	1,888	500	1,149	1,256	1,320
51113 FICA	0	1,312	500	790	832	877
51114 Workers Comp.	0	73	100	33	31	30
Subtotal	0	25,438	4,100	14,974	15,805	16,636
Contractual Services						
52222 Meetings	0	0	30	0	0	0
52223 Training	0	1,800	0	2,100	2,200	2,300
52234 Dues & Subscriptions	0	500	500	0	0	0
52253 Consultant	0	0	0	50,000	70,000	105,000
52255 Software Maintenance	0	1,100	0	0	0	0
52257 GIS	0	1,350	0	0	0	0
Subtotal	0	4,750	530	52,100	72,200	107,300
Totals	\$ 0	\$ 30,188	\$ 4,630	\$ 67,074	\$ 88,005	\$ 123,936

NARRATIVE

The Economic Development Program promotes the Village of Carol Stream as an outstanding place for business, in order to enhance the economic vitality of the community. Economic Development staff provides current and accurate information, engages in business retention program activities, and acts as ombudsmen for businesses as they work through the Village's permit approval processes. Information is provided to businesses through the use of marketing brochures, e-mail, listings of demographic and real estate market conditions, and by participation in industry events and conferences.

2009 ACCOMPLISHMENTS

1. Met with property managers and business representatives to discuss building sites. Assisted new business, such as Hart and Cooley and Team Services, in relocating to Carol Stream.

2010 OBJECTIVES

1. Develop strategies to encourage annexation of contiguous unincorporated areas for commercial development. (Village Board Goal # 3a)

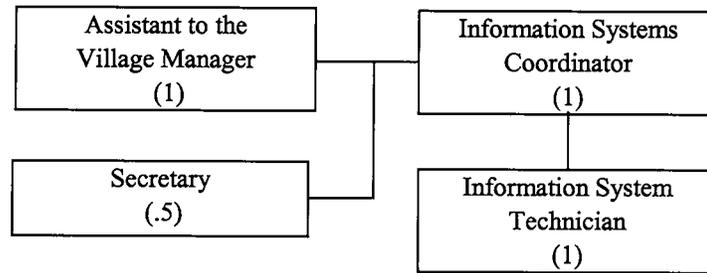
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 9-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 16,173	\$ 5,500	\$ 9,931	\$ 10,441	\$ 10,979
51111 Group Insurance	0	1,887	1,500	1,332	1,420	1,514
51112 IMRF	0	1,538	500	979	1,070	1,125
51113 FICA	0	1,031	500	658	694	731
51114 Workers Comp.	0	60	100	28	26	25
Subtotal	0	20,689	8,100	12,928	13,651	14,374
Contractual Services						
52222 Meetings	0	150	10	150	150	150
52246 Economic Development	0	6,500	3,645	2,500	2,500	4,500
Subtotal	0	6,650	3,655	2,650	2,650	4,650
Totals	\$ 0	\$ 27,339	\$ 11,755	\$ 15,578	\$ 16,301	\$ 19,024

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Full Time	2	3	3	3	3
Part Time (FTE)	1	1	.5	.5	.5

ORGANIZATIONAL CHART *



* The positions included in the Management Services budget are supervised out of the Administration Department, but are included in the Management Services budget for accounting purposes.

NARRATIVE

Management Services is the department where many of the administrative expenditures, that support the entire Village organization and/or the General Corporate Fund are budgeted. The Management Services budget includes the personnel costs of the Assistant to the Village Manager, Information Systems Coordinator, Information Systems Technician, and a part-time Secretary. One part-time Interdepartmental Aide position was eliminated from the proposed 2009-10 budget. The expenditures, which have been centralized for the General Corporate Fund, include the property and public liability insurance, the copy expense, telephone charges, postage and the computer network processors.

The Management Services budget includes enhancements and upgrades to the Village's PC based computer network systems, which serves the entire organization. The activities of cable television coordination, public information, customer service, solid waste and recycling contract administration and project research development are performed by the Assistant to the Village Manager. The Assistant Village Manager serves as the Village Risk Manager and oversees the Village's information systems technology function with funds allocated in the Management Services Department budget. This budget also includes funds for maintenance and upkeep of the Village's web page at <http://www.carolstream.org/> including implementation of the Village's electronic government (e-Government) initiatives.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$448,195	\$378,190	\$564,023	\$690,731	\$721,410
Information Services		\$351,796	\$309,822	\$368,262	\$379,999	\$371,478
Totals		\$553,941	\$688,012	\$932,285	\$1,070,730	\$1,092,888

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

NARRATIVE

The Administration program of the Management Services Budget encompasses all other Village-wide support functions not included under the Information Systems program or provided by other departments. Program functions include the purchase, maintenance and upkeep of centralized office equipment, coordination of special Village-wide projects such as the census, coordination of public information releases for special events and programs and interdepartmental aid services. This program also includes many of the General Corporate Fund cost centers, which support the entire Village organization including general and property liability insurance, telephone services and contingency costs.

2009 ACCOMPLISHMENTS

1. Submitted application for rebate assistance to the Illinois Department of Commerce and Economic Opportunity (ILDCEO) and received funding for energy reduction/efficiency initiatives at the Thomas R. Vinson Water Reclamation Center. ILDCEO approved a \$4,360 energy grant to retrofit the WRC with energy efficient lighting as well as LED exit signs throughout all 6 of the facility's buildings that will reduce the kilowatt usage by more than 50%. (Village Board Goal #18d)
2. Received a \$9,000 grant from the Illinois Arts Council for programming 2008 events at the Ross Ferraro Town Center.
3. Explored cost comparison of current risk management services and coverage and determined those offered by the Intergovernmental Risk Management Agency (current provider) were the most cost effective with the broadest coverage. (Village Board Goal #38)
4. Completed plan implementation of the ComEd Energy Efficiency Challenge competition application. (Village Board Goal #11)

2010 OBJECTIVES

1. Coordinate census with the U.S. Census Bureau to ensure an accurate accounting of residents within the Village.
2. Secure grant funding from the Illinois Arts Council to support multi-cultural events at the Ross Ferraro Town Center.
3. Coordinate activities planned for the Village's 50th anniversary celebration. (Village Board Goal #17)
4. Research and develop an email subscription service to replace the printed newsletter and provide residents timely, focused online communications on Village news. (Village Board Goal #7 & 18b)

GENERAL CORPORATE FUND

MANAGEMENT SERVICES

Administration Detail

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 141,790	\$ 141,790	\$ 129,968	\$ 136,926	\$ 144,302
51109 Overtime	0	100	0	100	100	100
51111 Group Insurance	0	14,025	14,025	14,645	15,599	16,619
51112 IMRF	0	13,494	13,494	12,815	14,035	14,791
51113 FICA	0	10,855	10,855	9,943	10,475	11,039
51114 Workers Comp.	0	532	532	364	342	332
Subtotal	0	180,796	180,696	167,835	177,477	187,183
Contractual Services						
52212 Auto Maintenance & Rpr.	0	2,333	2,333	2,732	3,025	3,389
52215 Insurance Deductibles	0	0	0	90,000	90,000	90,000
52222 Meetings	0	380	150	300	300	300
52223 Training	0	100	0	100	100	1,600
52224 Vehicle Insurance	0	1,025	1,025	1,006	1,006	1,006
52226 Office Equip. Mtnce.	0	2,500	2,500	2,500	2,500	2,500
52229 Postage	0	33,690	33,690	35,000	36,500	37,000
52230 Telephone	0	40,000	33,600	34,000	34,500	35,000
52231 Copy Expense	0	30,000	30,000	35,000	35,000	35,000
52234 Dues & Subscriptions	0	1,700	1,500	2,000	2,100	2,200
52253 Consultant	0	2,400	2,400	9,000	3,500	3,500
52261 Liability Insurance	0	8,384	8,384	24,150	27,386	30,429
52263 Property Insurance	0	57,570	57,570	21,804	24,725	27,473
Subtotal	0	180,082	173,152	257,592	260,642	269,397
Commodities						
53313 Auto Gas & Oil	0	592	592	1,096	2,012	2,180
53314 Office Supplies	0	900	900	900	950	1,000
53315 Printed Materials	0	3,500	3,500	3,500	3,500	3,500
53317 Operating Supplies	0	3,000	3,000	3,250	3,300	3,500
53324 Uniforms	0	100	0	100	100	100
53350 Small Equipment	0	250	250	250	250	250
53379 Recycling Containers	0	0	11,100	0	0	0
53380 Vending Mach.Supplies	0	2,500	2,000	2,500	2,500	2,500
Subtotal	0	10,842	21,342	11,596	12,612	13,030
Capital Outlay						
54413 Computer Equipment	0	3,000	3,000	0	0	1,800
57499 Contingency	0	73,475	0	127,000	240,000	250,000
Subtotal	0	76,475	3,000	127,000	240,000	251,800
Totals	\$ 0	\$ 448,195	\$ 378,190	\$ 564,023	\$ 690,731	\$ 721,410

NARRATIVE

The Information Systems program of the Management Services Budget encompasses all aspects of information systems technology including the Village's computer systems, cable television programming/broadcasting and electronic government initiatives including hardware and wireless forms of communications (i.e. Internet , Wi-Fi and Voice Over Internet Protocol [VOIP] telephone).

2009 ACCOMPLISHMENTS

1. Recycled 31 computers and 32 monitors reducing the quantity of electronics equipment that would otherwise go to landfills. (Village Board Goal #11)
2. Implemented a thin-client computer network system allowing more efficient management of workstation computers and providing lower operating costs.
3. Created a virtual network environment to allow Information Services to manage digital identities from a central location rather than having to go to the individual workstation sited to install software, updates, patches and ensure software user license compliance.
4. Implemented a helpdesk application and process to centralize and manage technology related requests.
5. Installed video camera system at the municipal center, water reclamation plant, and public works.
6. Installed wireless access to the network in the Emergency Operating Center.
7. Expanded the wireless access COBAN squad car system to include the Sally Port.

2010 OBJECTIVES

1. Update the Information Systems strategic plan.
2. Implement an offsite, secure email archive system to track and store user email account information.
3. Upgrade the network operating system to Windows 2003 +.
4. Upgrade Exchange server to Exchange 2003 +.
5. Design and implement a disaster recovery and continuity plan for the Village's Information Systems and Voice Over Internet Protocol (VOIP) network.

GENERAL CORPORATE FUND

MANAGEMENT SERVICES

Information Services Detail

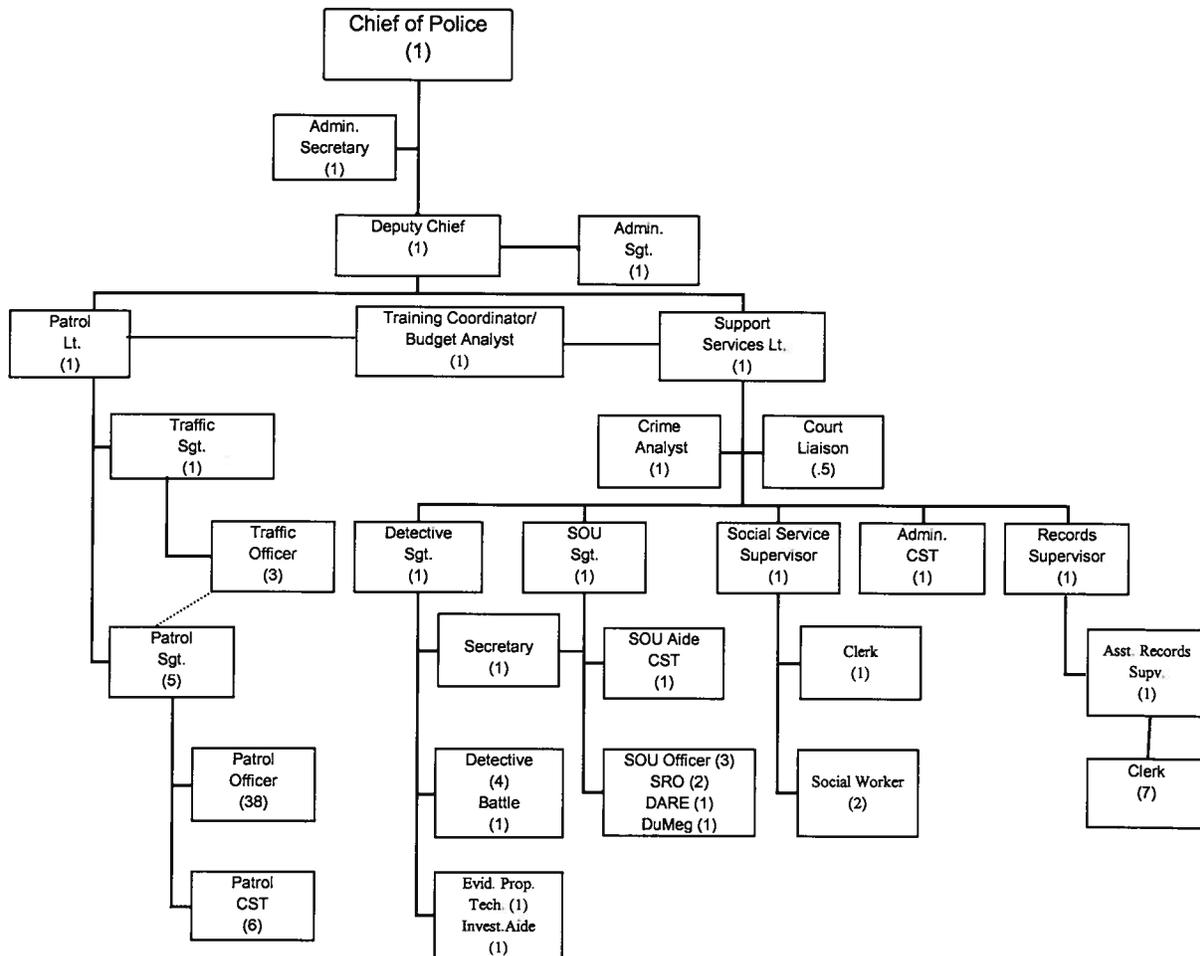
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 183,697	\$ 159,817	\$ 162,157	\$ 172,019	\$ 182,483
51109 Overtime	0	500	0	1,630	1,630	1,630
51111 Group Insurance	0	12,800	12,800	18,601	19,795	21,072
51112 IMRF	0	15,375	15,375	16,159	17,809	18,882
51113 FICA	0	12,368	12,368	12,537	13,292	14,092
51114 Workers Comp.	0	532	532	454	430	420
Subtotal	0	225,272	200,892	211,538	224,975	238,579
Contractual Services						
52222 Meetings	0	50	0	50	50	50
52223 Training	0	5,025	5,731	4,500	4,500	5,000
52230 Telephone	0	0	0	7,600	7,600	7,650
52234 Dues & Subscriptions	0	225	225	225	225	250
52253 Consultant	0	9,500	4,500	14,500	9,500	9,500
52255 Software Maintenance	0	30,000	22,000	24,000	25,000	25,000
52257 GIS	0	34,624	34,624	35,000	35,000	35,000
Subtotal	0	79,424	67,080	85,875	81,875	82,450
Commodities						
53314 Office Supplies	0	200	0	200	200	200
53317 Operating Supplies	0	2,000	1,500	2,000	2,000	2,000
53324 Uniforms	0	200	0	200	200	200
53350 Small Equipment	0	500	350	500	500	500
Subtotal	0	2,900	1,850	2,900	2,900	2,900
Capital Outlay						
54412 Other Equipment	0	38,200	35,000	61,249	70,249	47,549
54413 Computer Equipment	0	6,000	5,000	6,700	0	0
Subtotal	0	44,200	40,000	67,949	70,249	47,549
Totals	\$ 0	\$ 351,796	\$ 309,822	\$ 368,262	\$ 379,999	\$ 371,478

PERSONNEL SCHEDULE

	Authorized 2008	Budget 2009	Proposed 2010	Projected 2011	Projected 2012
Full Time	95.5	98.5	92.5	92.5	92.5
Part-Time (FTE)	1	1	1	1	1

ORGANIZATIONAL CHART



NARRATIVE

The Carol Stream Police Department is responsible for providing public safety services to its residents and customers that live, work or visit our community. The department, like other law enforcement agencies around the nation, grows yearly in complexity and accountability. The men and women on the department are committed to providing the highest quality service in responding to criminal and non-criminal incidents, preventing such events whenever possible, educating our residents and other customers in all areas of public safety and assisting those that become victims of crime, accidents or social problems. The department embraces the problem solving approach and collaborative philosophy of law enforcement.

While remaining highly proficient at reacting to events that necessitate police service, the near, mid, and long-term goals are to increase our service abilities in an enhanced proactive method of law enforcement. The department has seven programs, which include Administration/Customer Service, Patrol, Investigation, Social Service, Records, Traffic and Special Operations. While each program is more extensively reviewed in their respective section of this document, an executive summary of these major components is as follows:

PATROL DIVISION

The uniformed **Patrol Unit** is the most visible part of police service. The Patrol Unit is usually the "first responder" to any call for police service and is also responsible for follow-up investigative work for those incidents that are not serious enough to merit more intensive follow-up by the Investigation Unit. Patrol officers are assigned permanent zone responsibilities that result in those officers working in the same geographic area on a daily basis to enhance the community problem oriented policing philosophy. Individual patrol sergeants serve as coordinators for each of the five patrol zones in the Village. They act as coordinators to address individual problems within their assigned zone. The core responsibilities of the Patrol Division are:

- 9-1-1 Call Response
- Preliminary Investigation of Reported Incidents
- Community Service
- Law Enforcement
- Preventative Patrol
- Crime Prevention
- Community Policing and Problem Solving
- Field Training of Recruit Officers

The **Traffic Unit** is a specialized uniform patrol unit that uses selective enforcement, education and engineering to promote traffic safety in the community. Core responsibilities of the Traffic Unit are:

- Traffic Enforcement
- Traffic Safety/Accident Reduction
- Education
- Accident Investigation
- Problem Solving

SUPPORT SERVICES DIVISION

The **Investigation Unit** provides investigative and intelligence support to the organization. Detectives are on call 24 hours a day and respond to major crimes to initiate immediate follow up investigative work. The core responsibilities of the Investigation Division are:

- Criminal Investigation
- Intelligence Gathering and Dissemination
- Multi-Jurisdictional Networking
- Juvenile Contact Data Maintenance
- Background Investigations For Police Employee Applicants
- Evidence and Property Management
- Sex Offender Registration
- Crime Prevention

The **Records Unit** provides clerical support and produces an increasing volume of statistical information required to properly administer the department and meet both state and federal reporting requirements. Core responsibilities of the Records Unit are:

- Records Management
- Initial Phone Contact
- Initial Walk-In Contact
- Support Services for patrol officers
- Data Entry
- Customer Service

The **Social Service Unit (SSU)** presents a valuable service to our residents and plays an important role in helping the department carry out its mission. The cores responsibilities of this unit are:

- Police Incident/Victim Outreach
- Counseling Services
- Crisis Intervention
- Community Networking
- Social Service Referrals

EXPENDITURE

Classification	(1) Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Administration		\$1,654,214	\$1,463,771	\$1,568,350	\$1,606,681	\$1,813,771
Special Operations		1,326,758	1,371,377	1,530,191	1,564,149	1,686,932
Investigations		1,064,040	1,020,536	1,111,967	1,163,810	1,189,286
Patrol		7,047,244	6,295,067	7,232,195	7,540,703	8,009,608
Traffic		1,060,309	754,223	1,049,069	1,045,867	1,082,476
Records		651,704	645,673	676,331	716,447	773,492
Social Services		381,885	374,722	406,633	424,166	451,055
Totals	\$11,387,611	\$13,186,154	\$11,925,369	\$13,574,736	\$14,061,823	\$15,006,620

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

NARRATIVE

The Administration Program is comprised of the Chief of Police, Deputy Chief of Police, Support Services Lieutenant, Patrol Lieutenant, Administrative Sergeant, Administrative Secretary, Crime Analyst, Court Liaison Officer and an Administrative Community Service Technician (CST).

Administration is responsible for overall planning, preparation and administration of the budget, staffing and the Department's Commission on Accreditation for Law Enforcement Agencies (CALEA) Program. Administration is also responsible for the overall supervision of the Patrol and Support Services Divisions.

2009 ACCOMPLISHMENTS

1. The Department implemented the Automated Traffic Law Enforcement (red light cameras) program at the intersection of North Avenue and Kuhn Road. (Village Board Goal #8b)
2. Members of the Department attended a one-day customer service training session. Citizen feedback evaluation is on going. (Village Board Goal #6)
3. The Department purchased eco-friendly LED lighting systems for the entire fleet. This has reduced the use of flares. (Village Board Goal #11)
4. The Department investigated the availability and feasibility of utilizing alternate fuel/fuel efficient vehicles for administrative fleet vehicles. The Department purchased a Ford Escape with a 28 mpg rating. The Department will continue to purchase flex fuel vehicles and vehicles with a minimum of 28 mpg.

2010 OBJECTIVES

1. Implement two Automated Traffic Law Enforcement (red light cameras) program cameras at the intersection of North and Gary Avenues. The Department will also continue to pursue cameras at the intersection of Gary and Lies Road.
2. Schedule an on-site meeting of certified assessors in an effort toward obtaining full CALEA Accreditation.
3. Develop a structured successor management model for promotion to the ranks of Lieutenant, Deputy Chief and Chief.
4. Continue as a test agency for the new 700 MHZ interoperable radios by participating in the final phase of testing prior to system implementation and train all police personnel on the use of the radio.

GENERAL CORPORATE FUND

**POLICE DEPARTMENT
Administration Detail**

EXPENDITURE

	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 922,542	\$ 922,542	\$ 955,638	\$ 1,005,000	\$ 1,114,328
51109 Overtime	0	163,532	24,428	28,407	29,226	30,068
51111 Group Insurance	0	117,848	117,848	129,038	137,055	160,652
51112 IMRF	0	30,724	25,119	26,273	28,973	36,768
51113 FICA	0	77,733	71,878	68,308	71,706	79,759
51114 Workers Comp.	0	61,682	51,492	41,312	39,007	36,956
51116 Transfer-Police Pensior	0	51,773	51,773	66,917	94,692	100,610
Subtotal	0	1,425,834	1,265,080	1,315,893	1,405,659	1,559,141
Contractual Services						
52212 Auto Maint. & Rpr.	0	26,293	20,985	44,832	47,227	52,764
52222 Meetings	0	2,510	2,424	2,535	2,600	2,646
52223 Training	0	29,895	22,000	9,990	19,820	14,100
52224 Vehicle Insurance	0	3,218	1,957	3,160	3,160	3,160
52226 Office Equip. Maint.	0	7,450	7,163	4,475	4,700	5,050
52227 Radio Maintenance	0	556	200	248	1,660	2,036
52230 Telephone	0	6,618	4,537	9,920	10,257	10,633
52234 Dues & Subscriptions	0	5,124	5,124	17,967	9,278	9,768
52236 Mgmt. Physicals	0	540	200	0	225	0
52243 Paging	0	384	469	394	394	394
52245 Gen'l Communication	0	40,698	40,698	39,074	40,247	41,454
52253 Consultant	0	15,000	15,130	0	0	0
52255 Software Maintenance	0	767	1,350	1,900	2,050	2,200
Subtotal	0	139,053	122,237	134,495	141,618	144,205
Commodities						
53313 Auto Gas & Oil	0	12,009	12,964	14,860	16,168	17,522
53314 Office Supplies	0	17,500	2,750	2,340	2,405	2,470
53315 Printed Materials	0	1,253	3,633	1,253	1,380	1,435
53317 Operating Supplies	0	1,260	7,500	8,372	8,560	8,750
53318 Reference Materials	0	300	750	175	180	315
53321 Ammunition	0	240	0	334	344	354
53322 Emergency Equipment	0	90	90	134	100	102
53323 Weapons	0	2,700	0	0	0	0
53324 Uniforms	0	6,915	6,915	6,413	6,607	6,802
53326 Prisoner Care	0	825	825	875	900	925
53350 Small Equipment	0	6,985	5,377	4,125	900	950
Subtotal	0	50,077	40,804	38,881	37,544	39,625
Capital Outlay						
54412 Other Equipment	0	7,750	7,750	3,000	2,500	21,000
54413 Computer Equipment	0	9,000	9,000	14,000	2,200	48,400
54415 Vehicles	0	22,500	18,900	23,600	0	0
54417 Radios	0	0	0	38,481	17,160	1,400
Subtotal	0	39,250	35,650	79,081	21,860	70,800
Totals	\$ 0	\$ 1,654,214	\$ 1,463,771	\$ 1,568,350	\$ 1,606,681	\$ 1,813,771

NARRATIVE

The Special Operations Unit (SOU) is responsible for tactical patrols, gang and drug enforcement. The unit also oversees community programs including Crime Prevention, School Resource Officers at Jay Stream Middle School & Glenbard North High School and instruction for DARE (Drug Abuse Resistance Education) and GREAT (Gang Resistance Education Awareness Training) programs.

2009 OBJECTIVES

1. The unit created a new area for volunteers on the Department's website. This allows the volunteers to sign up for work assignments, view upcoming events and gives the Department another tool to recruit volunteers.
2. A new Tactical Officer was selected in July 2008. This officer has completed several training classes and will finish his training in February. The officer will then be able to enter his new assignment with the required knowledge to be an effective asset to the unit.
3. The unit coordinated a tabletop exercise involving an armed intruder with the Glenbard North High School administration. The exercise included the School Resource Officer, school administration, members of the Special Operations Unit and a member of the DuPage County SWAT team. The exercise was completed in March.
4. New areas for the Department's website are being created. These will include, crime prevention tips and services, gang identification, internet crimes and My Space safety. Unit personnel are developing public advertisement material promoting the new areas.

2010 OBJECTIVES

1. A new unit supervisor for 2010 will be selected from qualified and interested Sergeants in June 2009. The new supervisor will receive the necessary training and will assist in all special events planning in 2009.
2. The unit will collaborate with Jay Stream School administration to design and complete a tabletop exercise for an armed intruder. The exercise will include the Jay Stream and Glenbard North Resource Officers, select members of the Special Operations Unit, the schools administration and a member of the DuPage County SWAT Team.
3. The unit will collaborate with the District 93 Crisis Committee and will conduct at least two independent checks of each schools security from the standpoint of an intruder entering the schools. This will be accomplished by having personnel in plain clothes attempt to enter the building by checking each door. This person will also attempt to enter

the schools without being challenged by school personnel. The results of each check will be discussed with the school administration and the Crisis Committee.

4. The unit will conduct two gang sweeps, attend bi-monthly gang meetings at the Addison Police Department as well as the monthly gang meetings at the West Chicago Police Department. Unit personnel will distribute monthly gang activity reports and develop a completed gang card and add on form file for officers to utilize. Special Operations personnel will conduct roll call training on the completion of and importance of gang cards.

EXPENDITURE

	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 743,264	\$ 743,264	\$ 725,808	\$ 762,193	\$ 801,656
51107 Court Time	0	10,650	18,502	11,360	11,843	12,316
51109 Overtime	0	17,962	105,197	122,334	125,857	129,486
51111 Group Insurance	0	149,376	146,191	159,228	169,550	180,942
51112 IMRF	0	12,590	11,726	13,219	14,582	15,479
51113 FICA	0	59,049	59,049	59,821	62,985	66,036
51114 Workers Comp.	0	47,484	36,543	35,881	33,932	31,969
51116 Transfer - Police Pension	0	72,920	72,920	95,596	135,274	143,729
Subtotal	0	1,113,295	1,193,392	1,223,247	1,316,216	1,381,613
Contractual Services						
52212 Auto Maint. & Repair	0	26,144	19,353	65,253	69,858	80,470
52222 Meetings	0	500	350	507	520	529
52223 Training	0	16,615	10,000	9,330	13,565	12,250
52224 Vehicle Insurance	0	3,218	1,957	3,160	3,160	3,160
52226 Office Equip. Maint.	0	4,200	4,500	4,500	4,700	4,900
52227 Radio Maintenance	0	1,855	1,855	552	1,790	2,589
52230 Telephone	0	4,131	2,367	4,050	4,200	4,350
52234 Dues & Subscriptions	0	471	1,250	495	580	670
52236 Management Physicals	0	595	0	0	315	0
52243 Paging	0	288	288	291	291	291
52245 Gen'l Communication	0	58,140	58,140	55,821	57,495	59,220
52255 Software Maintenance	0	540	200	565	590	615
Subtotal	0	116,697	100,260	144,524	157,064	169,044
Commodities						
53313 Auto Gas & Oil	0	12,009	12,009	16,983	18,478	20,025
53314 Office Supplies	0	0	1,200	1,620	1,665	1,710
53315 Printed Materials	0	1,463	150	1,463	1,302	1,354
53317 Operating Supplies	0	4,200	13,000	13,156	13,453	13,750
53318 Reference Materials	0	180	100	105	108	189
53321 Ammunition	0	720	0	1,002	1,032	1,062
53322 Emergency Equipment	0	180	180	403	200	204
53323 Weapons	0	3,150	0	0	0	0
53324 Uniforms	0	13,464	13,464	12,431	12,726	13,071
53325 Community Relations	0	29,500	29,500	30,805	30,805	30,805
53326 Prisoner Care	0	0	26	0	0	0
53330 Investigative Fund	0	2,550	1,196	2,850	2,950	3,050
53350 Small Equipment	0	2,650	2,650	425	450	4,130
Subtotal	0	70,066	73,475	81,243	83,169	89,350
Capital Outlay						
54412 Other Equipment	0	0	0	5,300	6,000	11,625
54413 Computer Equipment	0	1,200	1,200	4,100	1,700	9,300
54415 Vehicles	0	24,700	2,250	23,000	0	25,100
54417 Radios	0	800	800	48,777	0	900
Subtotal	0	26,700	4,250	81,177	7,700	46,925
Totals	\$ 0	\$ 1,326,758	\$ 1,371,377	\$ 1,530,191	\$ 1,564,149	\$ 1,686,932

NARRATIVE

The responsibilities of the Investigations Unit include criminal case investigation, victim follow-up, sex offender registration, evidence/property management, and employee background investigations.

2009 ACCOMPLISHMENTS

1. The Investigations Unit provided additional training related to computer crimes for detectives. Two detectives received specialized training in basic computer crimes, computer crimes investigation, cell phone & portable storage forensic investigations, and computer fraud.
2. In order to streamline the evidence management process the Department purchased and implemented a computer-based evidence management system. The system allows for better accounting of evidence from collection to disposal. The system is also compatible with the DuPage County Crime Laboratory's evidence management system, which allows for better tracking of evidence sent to the crime lab.
3. The "Financial Identity Theft" guide and the "Merchants Guide to Forged/Bad Checks" were updated and revised to ensure that they meet the needs of the community. Both guides were also added to the Police Department web site.
4. The Investigations Unit expanded its role in Internet investigations by initiating four investigations to target sexual predators that prey on children. These investigations are currently in progress.

2010 OBJECTIVES

1. One detective will be assigned to review five cases stored in the cold case file. That detective will examine each case to see if any additional work can be undertaken.
2. The Investigative Aide will complete the certification requirements through the International Association of Financial Crimes Investigators to become a certified financial crimes investigator. This certification is maintained with yearly continuing educational requirements through the association.
3. The unit will initiate eight new cases through various means such as reviewing pawnshop records, sex offender spot checks, etc.
4. The recording equipment for the interview room will be upgraded to a digital system that will ensure the quality necessary to meet the expectations of modern courtroom proceedings.

EXPENDITURE

	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 654,443	\$ 609,691	\$ 632,611	\$ 662,474	\$ 689,230
51107 Court Time	0	10,650	10,650	11,360	11,843	12,316
51109 Overtime	0	15,396	35,874	41,719	42,920	44,157
51111 Group Insurance	0	86,057	86,057	75,353	79,971	85,001
51112 IMRF	0	17,047	10,775	18,349	20,437	21,900
51113 FICA	0	52,057	52,057	52,382	55,020	57,802
51114 Workers Comp.	0	37,520	28,875	27,846	26,126	24,553
51116 Transfer - Police Pension	0	62,711	62,711	76,477	108,220	114,983
Subtotal	0	935,881	896,690	936,097	1,007,011	1,049,942
Contractual Services						
52212 Auto Maint. & Repair	0	7,231	7,231	15,438	19,277	19,002
52222 Meetings	0	75	60	78	80	81
52223 Training	0	16,290	10,000	10,080	8,355	8,700
52224 Vehicle Insurance	0	920	560	904	904	904
52226 Office Equip. Maint.	0	650	650	675	700	725
52227 Radio Maintenance	0	455	0	202	790	989
52230 Telephone	0	3,768	1,500	3,258	3,429	3,600
52234 Dues & Subscriptions	0	1,440	1,440	1,510	1,370	1,420
52236 Management Physicals	0	560	0	0	270	0
52243 Paging	0	384	384	394	394	394
52245 Gen'l Communication	0	46,512	46,512	44,657	45,996	47,376
52255 Software Maintenance	0	1,208	1,708	1,425	1,640	1,855
Subtotal	0	79,493	70,045	78,621	83,205	85,046
Commodities						
53313 Auto Gas & Oil	0	3,431	3,431	10,615	11,548	12,516
53314 Office Supplies	0	0	560	900	925	950
53315 Printed Materials	0	1,463	0	1,463	1,302	1,354
53317 Operating Supplies	0	840	7,000	8,372	8,561	8,750
53318 Reference Materials	0	180	0	105	108	189
53321 Ammunition	0	720	0	1,002	1,032	1,062
53322 Emergency Equipment	0	180	0	403	200	204
53323 Weapons	0	2,250	0	0	0	0
53324 Uniforms	0	7,942	7,942	7,537	7,767	7,998
53326 Prisoner Care	0	0	8	0	0	0
53330 Investigative Fund	0	12,210	12,210	9,500	9,850	10,200
53350 Small Equipment	0	0	3,200	0	4,875	475
Subtotal	0	29,216	34,351	39,897	46,168	43,698
Capital Outlay						
54412 Other Equipment	0	13,000	13,000	6,000	0	3,000
54413 Computer Equipment	0	5,650	5,650	3,400	2,250	7,600
54415 Vehicles	0	0	0	0	24,300	0
54417 Radios	0	800	800	47,952	876	0
Subtotal	0	19,450	19,450	57,352	27,426	10,600
Totals	\$ 0	\$ 1,064,040	\$ 1,020,536	\$ 1,111,967	\$ 1,163,810	\$ 1,189,286

NARRATIVE

The core responsibilities of the Patrol Division include 9-1-1-call response, preliminary investigation of reported incidents, community service, law enforcement, crime prevention and community policing and problem solving.

2009 ACCOMPLISHMENTS

1. Monthly training was provided to all Corporals as a method to prepare them for the next level of supervisory duties. These training sessions were open to all members of the department and addressed specific issues relating to our department. The Administrative Sergeant, who is next in line on the Lieutenants promotional list, was provided training in preparation for advancement to the next level.
2. A major zone project was completed in each of the five patrol zones. The projects included the improvement of traffic flow at specific roads and intersections, illegal sale and possession of narcotics, and town center issues with signage and vandalism.
3. Department personnel attended customer service training. Roll call training was also provided. In car video review has shown professional contacts by officers.
4. The Village enacted a new Emergency Operations Plan this year. The plan was implemented into the Summer in the Center operational plan and the July 4th festivities plan. The Police Department along with other entities met with local school officials to discuss the handling of incidents involving the schools.

2010 OBJECTIVES

1. Increase the training and information provided to all employees to reduce the number of injuries in the workplace. Training should include information about driving, heavy lifting, slips trips & falls, and seasonal injury avoidance information.
2. Each zone coordinator will assign zone officers to meet with individual business owners and managers, on a monthly basis, to improve community relations within the business community, and to continue our efforts toward excellent customer service.
3. Patrol Sergeants will assign personnel to attend community events and school activities when extra police presence is not specifically requested. This is an action to improve community relations and further the community orientated policing philosophy.
4. Work with the Emergency Management Coordinator to schedule and participate in a disaster tabletop exercise, which will include other agencies and mutual aid, to provide realistic training and to assess our response capabilities.

GENERAL CORPORATE FUND

POLICE DEPARTMENT

Patrol Detail

EXPENDITURE

	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 3,583,766	\$ 2,967,013	\$ 3,619,269	\$ 3,820,505	\$ 4,033,665
51107 Court Time	0	113,600	113,600	112,180	116,948	121,626
51109 Overtime	0	202,714	275,128	319,950	329,163	338,653
51111 Group Insurance	0	639,694	594,316	555,256	590,659	629,111
51112 IMRF	0	33,500	30,791	31,041	34,272	36,404
51113 FICA	0	307,803	287,100	287,032	314,069	343,644
51114 Workers Comp.	0	293,831	278,028	202,826	199,687	196,808
51116 Transfer - Police Pension	0	500,231	500,231	659,610	933,393	991,732
Subtotal	0	5,675,139	5,046,207	5,787,164	6,338,696	6,691,643
Contractual Services						
52212 Auto Maint. & Repair	0	285,464	231,637	172,436	184,357	208,481
52222 Meetings	0	75	0	78	80	81
52223 Training	0	78,025	45,000	71,900	43,790	45,500
52224 Vehicle Insurance	0	35,862	21,806	35,216	35,216	35,216
52226 Office Equip. Maint.	0	4,815	4,934	5,050	5,275	600
52227 Radio Maintenance	0	15,857	15,857	3,008	14,536	17,936
52230 Telephone	0	16,187	14,332	15,700	16,300	16,900
52234 Dues & Subscriptions	0	1,261	1,261	1,147	1,116	1,141
52236 Management Physicals	0	5,390	2,000	5,400	3,905	1,750
52239 Range	0	5,500	3,000	5,500	5,800	6,100
52243 Paging	0	480	480	498	498	498
52245 Gen'l Communication	0	406,980	406,980	390,746	402,468	414,543
52249 Animal Control	0	5,900	5,900	5,900	6,100	6,250
52255 Software Maintenance	0	720	720	800	880	960
Subtotal	0	862,516	753,907	713,379	720,321	755,956
Commodities						
53313 Auto Gas & Oil	0	133,814	133,814	144,358	157,061	170,215
53314 Office Supplies	0	0	775	900	925	950
53315 Printed Materials	0	7,891	6,700	7,891	8,898	9,204
53317 Operating Supplies	0	33,600	22,000	27,508	28,129	28,750
53318 Reference Materials	0	4,800	1,700	2,800	2,880	5,040
53321 Ammunition	0	9,600	11,421	13,360	13,760	14,160
53322 Emergency Equipment	0	12,980	6,000	5,377	4,715	2,895
53323 Weapons	0	8,500	14,145	0	1,100	1,150
53324 Uniforms	0	67,694	67,694	57,564	61,204	64,930
53350 Small Equipment	0	16,560	16,560	17,720	14,260	18,475
Subtotal	0	295,439	280,809	277,478	292,932	315,769
Capital Outlay						
54412 Other Equipment	0	46,650	42,000	28,525	68,250	66,900
54413 Computer Equipment	0	40,000	40,000	20,500	17,700	45,700
54415 Vehicles	0	123,500	128,144	92,000	99,300	129,140
54417 Radios	0	4,000	4,000	313,149	3,504	4,500
Subtotal	0	214,150	214,144	454,174	188,754	246,240
Totals	\$ 0	\$ 7,047,244	\$ 6,295,067	\$ 7,232,195	\$ 7,540,703	\$ 8,009,608

NARRATIVE

The Traffic Unit is responsible for enforcement of the Illinois Vehicle Code, various public education programs, traffic crash investigation, Crash Response Team, child safety seat inspection program, administration of the departments impaired driver enforcement program, school crossing guard program and the administration of various Illinois Department of Transportation Division of Traffic Safety grants.

2009 ACCOMPLISHMENTS

1. The Traffic Unit along with assistance from patrol officers conducted the following traffic initiatives and "RID" (Reduce Influenced Drivers) enforcements.
 - Thirty-seven "RID" enforcement operations resulted in 69 DUI arrests and 86 other arrests.
 - Forty "Speed/Intersection Enforcement" initiatives resulted in 1,873 citations being issued.
 - Six "Roadside Safety Checks" resulted in 12 DUI arrests.
 - Seventy "Safety Belt" initiatives/zones resulted in 1,829 citations being issued.
 - Six "Truck Enforcement" initiatives resulted in 29 violations.
 - Four child safety seat events were held in 2008 and our inspectors continued to handle many walk-ins. A total of eighty-four child safety seats were inspected.
2. The department received \$127,600 in grant funding for traffic initiatives from the Illinois Department of Transportation Traffic Safety division.
3. The department placed first in the State Traffic Safety Challenge.
4. The unit assisted in the implementation and administration of an automated red light enforcement program by assisting with the public relations campaign and review of violations.
5. The unit conducted two seminars for the Hispanic and elderly community with seventy people attending. Topics included drinking and driving, driver licensing, insurance requirements, child restraints and elderly driver training.

6. Red light adjudication was implemented by selecting a adjudicator and process for hearings. The first hearings were held in February 2009.

2010 OBJECTIVES

1. The unit will continue to aggressively enforce traffic violations and conduct traffic initiatives to reduce crashes and “RID” the roadways of intoxicated drivers. Traffic objectives during the coming year include:
 - Thirty-five speed/intersection initiatives
 - Forty seat belt enforcement zones and roving patrols
 - Four roadside safety checkpoints
 - Thirty “RID” (Reduce Influenced Drivers) initiatives
 - Three child safety seat inspections and education seminar
 - Six overweight truck initiatives
2. The unit will apply for grant funding and seek additional funding, when available through the Illinois Department of Transportation Division of Traffic Safety.
3. The department will participate in the 2008 State Traffic Safety Challenge, which focuses on three main requirements:
 - Occupant protection, such as safety belt or child restraints
 - Impaired driving, either alcohol or drug impaired driving
 - Speed enforcement.
4. In an effort to reduce crashes and respond to citizen complaints, the unit will focus efforts in “problem” areas within neighborhoods and around schools. This will involve stepped up enforcement and education related to stop sign violations, passing stopped school busses, speeding on residential streets, and child and safety seat education and enforcement. The unit will assign neighborhood problems to zone officers as necessary to provide better service to our citizens.

GENERAL CORPORATE FUND

POLICE DEPARTMENT

Traffic Detail

EXPENDITURE

	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 312,830	\$ 312,830	\$ 323,733	\$ 346,944	\$ 365,426
51105 Crossing Guards	0	93,825	93,825	0	0	0
51107 Court Time	0	7,100	18,124	7,100	7,401	7,698
51109 Overtime	0	112,830	68,348	79,482	81,771	84,129
51111 Group Insurance	0	43,340	37,219	45,147	48,100	51,257
51113 FICA	0	40,284	34,758	24,766	26,541	27,955
51114 Workers Comp.	0	38,441	29,584	17,741	17,104	16,225
51116 Transfer - Police Pension	0	41,564	41,564	57,357	81,165	86,237
Subtotal	0	690,214	636,252	555,326	609,026	638,927
Contractual Services						
52105 Crossing Guards	0	0	0	94,478	97,076	99,745
52212 Auto Maint. & Repair	0	20,366	14,555	40,258	39,956	44,702
52223 Training	0	28,380	20,000	20,960	19,605	13,200
52224 Vehicle Insurance	0	2,759	1,678	2,709	2,709	2,709
52227 Radio Maintenance	0	902	962	440	848	1,050
52230 Telephone	0	1,670	1,000	1,750	1,900	2,050
52234 Dues & Subscriptions	0	322	250	245	265	275
52236 Management Physicals	0	140	100	0	180	0
52243 Paging	0	240	240	249	249	249
52245 Gen'l Communication	0	29,070	29,070	27,910	28,748	29,610
52255 Software Maintenance	0	90	90	100	110	120
52298 ATLE - Service Fee	0	259,050	23,800	197,035	199,536	207,532
52310 ATLE - Legal Adjutcn	0	6,400	2,000	3,600	3,744	3,900
Subtotal	0	349,389	93,745	389,734	394,926	405,142
Commodities						
53313 Auto Gas & Oil	0	10,293	10,293	25,475	27,717	30,038
53314 Office Supplies	0	0	0	360	370	380
53315 Printed Materials	0	597	500	597	623	530
53317 Operating Supplies	0	840	1,500	1,196	1,223	1,250
53318 Reference Materials	0	120	0	70	72	126
53321 Ammunition	0	720	0	1,002	1,032	1,062
53322 Emergency Equipment	0	180	0	403	200	204
53323 Weapons	0	1,800	0	0	0	0
53324 Uniforms	0	4,956	4,956	4,541	4,678	4,817
53350 Small Equipment	0	0	1,600	4,730	0	0
Subtotal	0	19,506	18,849	38,374	35,915	38,407
Capital Outlay						
54412 Other Equipment	0	0	0	7,450	6,000	0
54413 Computer Equipment	0	1,200	5,377	4,100	0	0
54415 Vehicles	0	0	0	32,000	0	0
54417 Radios	0	0	0	22,085	0	0
Subtotal	0	1,200	5,377	65,635	6,000	0
Totals	\$ 0	\$ 1,060,309	\$ 754,223	\$ 1,049,069	\$ 1,045,867	\$ 1,082,476

NARRATIVE

The Records Division processes and maintains all records for the Police Department. It is staffed 24 hours a day, seven days a week. The Records Division processes reports through the NetRMS electronic report system. The Records Division provides clerical support for the department and provides Village Hall support after normal Village Hall business hours.

2009 ACCOMPLISHMENTS

1. Electronic ticketing through the Advanced Public Safety system began in 2008. A procedure was established for the electronic ticket program. Electronic submission directly to the Circuit Clerks office was completed in early 2009.
2. The implementation of electronic crash reporting through the Illinois State Mobile Capture & Reporting program was implemented. A workflow was established for crash reports to be approved by the supervisor on each shift. Records implemented a monthly check on the crash reports in progress to track the outstanding (incomplete) reports. All Records clerks were trained on the retrieval of crash reports from the MCR system.
3. The Records division identified five CALEA standards affecting Records' operations. The Records division reviewed and revised standing policies/procedures and created new policies/procedures necessary for accreditation compliance.
4. Training to review and correct the most common NetRMS errors was conducted with all patrol platoons.

2010 OBJECTIVES

1. In order to provide better customer service and to promote efficiency Records Division personnel will be trained on the following: Village Intranet, Village databases (vehicle and dog license), emailing reports and mug shots and the use of dupagecase.org website.
2. Electronic transmittal of traffic citations and criminal complaints should be implemented in 2009. Establish a procedure for verification and approval of the electronic form of transmittal to the Circuit Clerk's office. Research the workflow procedure for supervisory review of the electronic file and submission to Records for final review before submission to the Circuit Clerk's office.
3. The Records division will schedule ride-a-longs for the Records clerks as follows: A minimum of 2 times per year for each clerk at a minimum of 2 hours per ride-a-long on

the shift of their choice. This will allow for a better understanding of the needs and issues of patrol officers and will improve customer service.

4. Research the possibility of utilizing a third party vendor for insurance companies and the general public to use to access accident reports.

EXPENDITURE

	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 460,358	\$ 460,358	\$ 484,116	\$ 513,212	\$ 544,124
51109 Overtime	0	2,566	6,025	7,007	7,209	7,417
51111 Group Insurance	0	59,680	52,464	64,892	68,818	73,070
51112 IMRF	0	43,841	43,841	47,734	52,604	55,773
51113 FICA	0	35,414	35,414	37,035	39,261	41,625
51114 Workers Comp.	0	1,891	1,891	1,356	1,283	1,251
Subtotal	0	603,750	599,993	642,140	682,387	723,260
Contractual Services						
52223 Training	0	4,590	2,567	4,380	4,390	4,700
52226 Office Equip. Maint.	0	2,700	1,500	3,425	3,550	3,700
52232 Records Storage	0	110	110	110	115	120
52234 Dues & Subscriptions	0	244	244	158	183	289
52243 Paging	0	96	96	104	104	104
52247 Data Processing	0	3,600	3,600	3,600	3,700	3,800
Subtotal	0	11,340	8,117	11,777	12,042	12,713
Commodities						
53314 Office Supplies	0	0	8,000	11,700	12,025	12,350
53315 Printed Materials	0	1,391	1,500	1,391	1,143	1,471
53317 Operating Supplies	0	840	300	598	612	625
53318 Reference Materials	0	120	0	70	72	126
53324 Uniforms	0	5,463	5,463	5,605	5,776	5,947
53350 Small Equipment	0	300	300	850	1,890	0
Subtotal	0	8,114	15,563	20,214	21,518	20,519
Capital Outlay						
54412 Other Equipment	0	8,300	7,000	0	0	17,000
54413 Computer Equipment	0	20,200	15,000	2,200	500	0
Subtotal	0	28,500	22,000	2,200	500	17,000
Totals	\$ 0	\$ 651,704	\$ 645,673	\$ 676,331	\$ 716,447	\$ 773,492

NARRATIVE

The Social Service Unit (SSU) primarily functions as a support service to police personnel and as a proactive intervention and interaction service provider to the Carol Stream community. Support services provided by the Social Service Unit include a comprehensive referral service, diagnostic consultation, crisis intervention, individual, family, couples and group counseling.

2009 ACCOMPLISHMENTS

1. The Social Service Unit coordinated seven new initiatives to reinvigorate the Christmas Sharing program in our community. By partnering with local businesses, schools and organizations we were able to increase donations and foster new volunteer relationships. The unit served 298 families in 2008 compared to 230 in 2007.
2. The unit provided a comprehensive tabletop exercise for the Village, Police Department and community partners on implementing a preparedness plan in the event of a Pandemic. The exercise was very informative, well attended and continues to generate on-going dialogue regarding the need for additional community education and continued agency planning to enhance preparedness.
3. The unit actively promoted and registered residents for the "Operation Helping Hands Program." Significant community awareness was brought to the program through letters to all the apartment complex managers, presentations at senior housing developments and advertisement on the cable access channel, AM530, CS website, the CS Correspondent, and the Colony Park Colonade. A total of forty-five new participants were registered.
4. The unit began the initial steps to promote a Teen Alcohol & Drug Accountability Program for the Village of Carol Stream. Through research, education and partnerships within the Police Department and court system, we began to explore the feasibility and necessity of such a program. The program will be implemented in mid 2009.

2010 OBJECTIVES

1. The unit will produce a brochure that specifically addresses concerns and resources affecting residents in our community about the current economic crisis. All members of the Police Department will be informed about the brochure and educated about local area assistance available to our residents.
2. The Social Service unit will research the CALEA Accreditation standards that will affect the unit. The unit will implement all of the standards required for CALEA Accreditation.

3. The unit will implement “best practices” treatment for intervening with juvenile firesetting behavior to incorporate into our Firesetters Evaluation Program.
4. The Social Service Unit will provide department wide trainings to enhance awareness on three crucial resources available to community residents: AVN – Illinois Automated Victim Notification, SPARR – Sheriff’s Program for At Risk Residents, and an overview and updates of Orders of Protection.
5. The unit will implement the Teen Alcohol & Drug Accountability Program in 2009. The program will serve as an early intervention program for teen alcohol and drug offenders.

EXPENDITURE

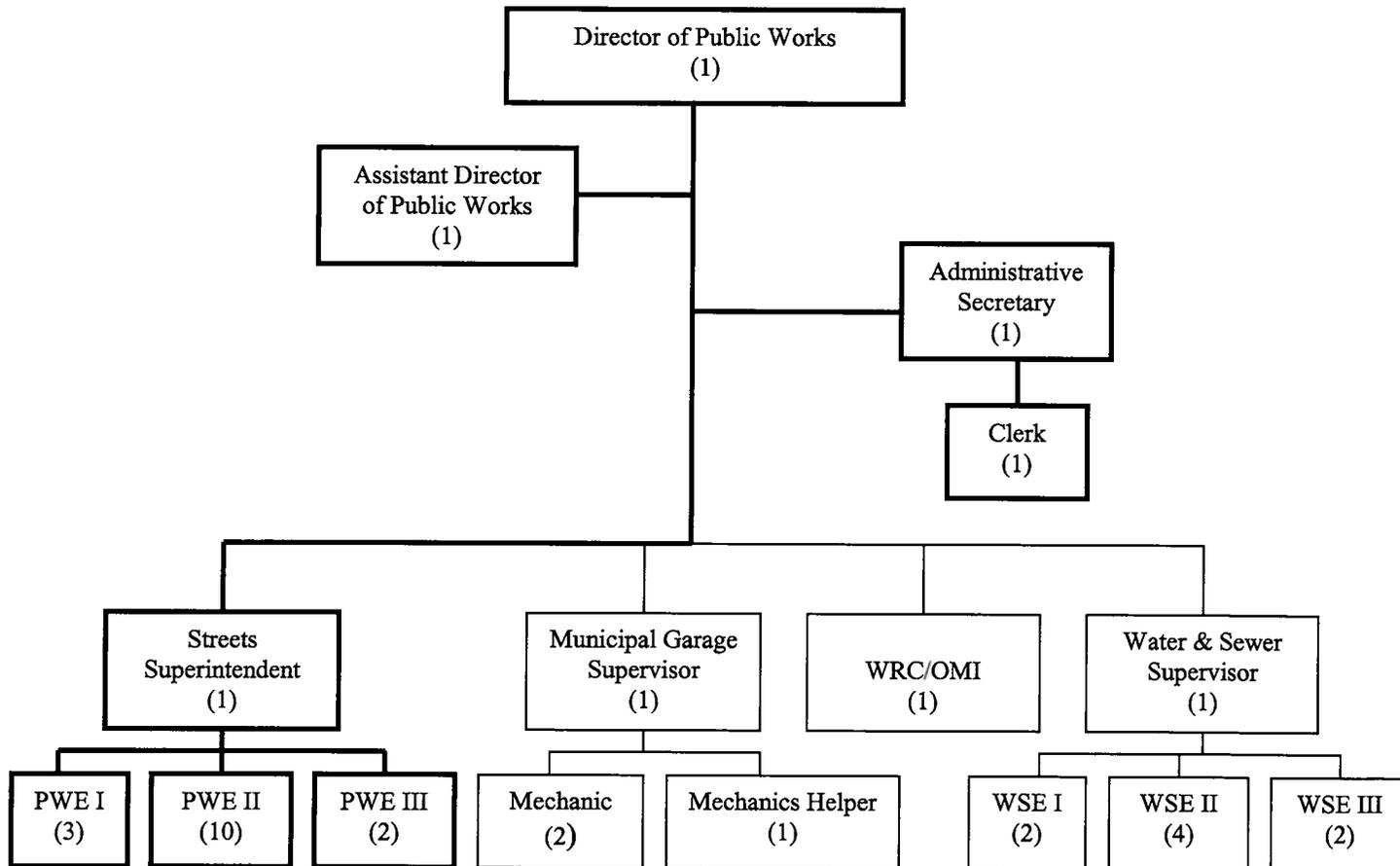
	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 286,860	\$ 286,860	\$ 302,939	\$ 320,921	\$ 340,008
51111 Group Insurance	0	31,371	29,021	32,659	34,720	36,948
51112 IMRF	0	27,280	27,280	29,870	32,894	34,851
51113 FICA	0	21,945	21,945	23,175	24,550	26,011
51114 Workers Comp.	0	1,061	817	848	802	782
Subtotal	0	368,517	365,923	389,491	413,887	438,600
Contractual Services						
52222 Meetings	0	700	200	702	720	733
52223 Training	0	6,585	3,703	5,270	5,385	5,400
52226 Office Equip. Maint.	0	310	310	320	330	350
52230 Telephone	0	557	453	800	900	950
52234 Dues & Subscriptions	0	1,355	1,300	1,355	1,470	1,495
52243 Paging	0	144	220	145	145	145
Subtotal	0	9,651	6,186	8,592	8,950	9,073
Commodities						
53314 Office Supplies	0	0	130	180	185	190
53315 Printed Materials	0	597	0	597	352	152
53317 Operating Supplies	0	420	0	598	612	625
53318 Reference Materials	0	300	150	175	180	315
53350 Small Equipment	0	800	733	0	0	0
Subtotal	0	2,117	1,013	1,550	1,329	1,282
Capital Outlay						
54413 Computer Equipment	0	1,600	1,600	7,000	0	2,100
Subtotal	0	1,600	1,600	7,000	0	2,100
Totals	\$ 0	\$ 381,885	\$ 374,722	\$ 406,633	\$ 424,166	\$ 451,055

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Streets	20	20	20	20	20
Water/Sewer	8	9	9	9	9
Municipal Garage	4	4	4	4	4
Seasonal Employees	8	8	8	8	8

The Street Division Includes Public Works Administrative Staff.

ORGANIZATIONAL CHART



NARRATIVE

The Street Division’s mission is to maintain the Village infrastructure, such as streets, curbs, sidewalks, parkway trees, storm water collection and drainage systems, street lighting and traffic control signage and rights-of-way. The Division’s seven programs include administration, snow and ice control, street maintenance, traffic signs & lights, building grounds, parkway trees, and storm-water management. The Village’s Street Superintendent oversees all Division operations and reports to the Director of Public Works.

EXPENDITURE

Classification	(1) Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Administration		\$330,046	\$638,651	\$603,771	\$633,929	\$662,358
Snow & Ice Control		904,300	755,214	905,905	963,940	1,195,668
Street Maintenance		693,480	563,622	459,376	480,544	502,460
Traffic Signs & Lights		520,863	293,334	523,151	380,652	350,063
Building & Grounds		581,643	454,036	512,297	447,905	527,915
Storm Water Management		226,551	203,813	251,652	507,981	333,795
Parkway Trees		392,020	350,882	413,263	370,017	602,763
Totals		\$2,970,635	\$3,648,903	\$3,259,552	\$3,669,415	\$3,784,968
				\$3,784,968		\$4,175,022

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

NARRATIVE

The Administration Program element includes expenditures associated with: preparing purchase requests, establishing daily work schedules, developing and applying computer procedures and applications to improve work effectiveness, conducting facility safety audits, drafting bid specifications, documenting and processing customer requests for service, evaluating employee performance and preparing the division's annual operating and budget plan.

2009 ACCOMPLISHMENTS

1. The Administrative staff received, recorded and responded to 1095 citizen requests for service during 2008. Of the 1095 requests, 93.5% were promptly responded to and resolved satisfactorily. The remaining sixty-eight (68) requests are in process.
2. Sold 3 surplus vehicles on E-Bay for a total of \$14,607.
3. Customer Service training for the entire Public Works staff was completed. (Village Board Goal #7)

2010 OBJECTIVES

1. Revise Public Works Department web page to add additional information about departmental projects and emergency conditions. (Village Board Goals #6 & #7)
2. Creation of Cable Access shows that discusses what Public Works does within the community. These short programs will explain what the Public Works Department is responsible for and how Public Works completes certain tasks.

EXPENDITURE

Acct./Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 10-11	Projected FY 10-11	Projected FY 10-11
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 174,364	\$ 435,461	\$ 369,230	\$ 387,914	\$ 407,596
51106 Seasonal Help	0	0	76	0	0	0
51109 Overtime	0	0	6,689	0	0	0
51111 Group Insurance	0	21,394	21,394	57,901	61,528	65,496
51112 IMRF	0	16,582	43,917	36,406	39,761	41,779
51113 FICA	0	13,339	34,919	28,246	29,675	31,181
51114 Workers Comp.	0	2,886	13,000	14,736	13,896	13,115
Subtotal	0	228,565	555,456	506,519	532,774	559,167
Contractual Services						
52212 Auto Maintenance & Repair	0	11,194	11,891	5,382	5,959	6,677
52222 Meetings	0	150	100	100	100	100
52223 Training	0	6,475	3,500	4,250	4,250	3,050
52224 Vehicle Insurance	0	1,891	1,891	1,842	1,842	1,842
52226 Office Equip. Maintenance	0	300	200	300	300	300
52227 Radio Maintenance	0	500	200	500	500	500
52230 Telephone	0	2,000	865	2,300	2,300	2,300
52231 Copy Expense	0	300	205	300	300	300
52234 Dues & Subscriptions	0	2,305	2,300	3,073	2,893	3,073
52236 Management Physicals	0	400	0	0	0	0
52243 Paging	0	0	50	650	650	650
52244 Maintenance & Repr.	0	0	77	0	0	0
52255 Software Maintenance	0	500	500	400	400	400
52267 Uniform Cleaning	0	1,400	1,650	1,900	1,950	2,000
52269 Mosquito Abatement	0	37,122	37,122	40,835	42,877	45,020
52276 Janitorial Services	0	12,000	8,000	8,300	8,300	8,300
52277 Heating Gas	0	14,000	1,000	5,000	5,000	5,000
Subtotal	0	90,537	69,551	75,132	77,621	79,512
Commodities						
53313 Auto Gas & Oil	0	3,444	3,444	9,820	10,684	11,579
53314 Office Supplies	0	1,400	1,400	1,400	1,400	1,400
53315 Printed Materials	0	100	100	100	100	100
53317 Operating Supplies	0	1,000	2,700	4,000	4,000	4,000
53319 Maintenance Supplies	0	2,500	3,500	2,500	2,500	2,500
53324 Uniforms	0	0	0	300	300	300
53350 Small equipment	0	0	0	400	0	0
Subtotal	0	8,444	11,144	18,520	18,984	19,879
Capital Outlay						
54413 Computer Equipment	0	2,500	2,500	3,600	4,550	3,800
Subtotal	0	2,500	2,500	3,600	4,550	3,800
Totals	\$ 0	\$ 330,046	\$ 638,651	\$ 603,771	\$ 633,929	\$ 662,358

NARRATIVE

The Street Division is responsible for removing snow and ice from 107 miles of Village streets, which include 315 cul-de-sacs. To efficiently manage snow and ice removal, the service area has been subdivided into sixteen separate zones. Independent contractors are assigned to areas throughout town to supplement staff during snow events. A combined private and public staffing plan allows the Village to maintain the service level expected during major snow accumulations. An eight-hour snow removal benchmark has been established for storm events.

2009 ACCOMPLISHMENTS

1. Snow removal operations met all Village Board approved snow plan objectives for each storm during the winter of 2007-2008. The winter of 2007-08 had forty-four (44) plowing events that resulted in 60.3 inches of snow. All streets were cleared within eight hours after the snowfall stopped as per the Snow and Ice Control plan. The department used 70,340 gallons of brine, 12,128 gallons of GeoMelt and 3512 tons of road salt during 2007-08. The 60.3 inches of snow in 07-08 was a large increase from the 35.6 inches received in 06-07.

2010 OBJECTIVES

1. Maintain or exceed the Village Board established benchmarks for each snow and ice event as defined in the Snow/Ice Control plan.
2. Begin Phase I of a proactive program to notify residents about the placement of their mailboxes. This will be completed by on-site inspections and informational letters. We will be targeting residents within Work Zone #1.

EXPENDITURE

Acct./Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 169,177	\$ 118,998	\$ 147,157	\$ 154,403	\$ 162,024
51109 Overtime	0	100,000	118,691	118,195	121,750	125,400
51111 Group Insurance	0	29,622	29,622	23,875	25,437	27,115
51112 IMRF	0	19,893	21,403	26,164	28,306	29,461
51113 FICA	0	16,002	16,389	19,580	20,483	21,430
51114 Workers Comp.	0	19,746	19,746	10,419	9,835	9,284
Subtotal	0	354,440	324,849	345,390	360,214	374,714
Contractual Services						
52212 Auto Maint. & Repair	0	111,942	114,658	96,884	107,253	120,185
52223 Training	0	1,400	1,400	1,500	1,600	1,700
52224 Vehicle Insurance	0	18,866	18,866	17,123	17,123	17,123
52243 Paging	0	375	465	0	0	0
52244 Bld. Maint. & Repair	0	5,000	4,300	0	0	0
52266 Snow Removal	0	245,000	240,000	240,000	240,000	240,000
Subtotal	0	382,583	379,689	355,507	365,976	379,008
Commodities						
53313 Auto Gas & Oil	0	34,363	42,000	42,225	45,940	49,788
53317 Operating Supplies	0	0	7,000	2,000	2,000	2,000
53324 Uniforms	0	1,250	1,400	1,056	1,056	1,056
53344 Street Signs	0	0	230	0	0	0
53350 Small Equipment	0	0	46	0	0	0
Subtotal	0	35,613	50,676	45,281	48,996	52,844
Capital Outlay						
54415 Vehicles	0	107,000	0	130,000	130,000	270,000
54417 Radios	0	700	0	700	700	1,400
54422 Installment Loans	0	23,964	0	29,027	58,054	117,702
Subtotal	0	131,664	0	159,727	188,754	389,102
Totals	\$ 0	\$ 904,300	\$ 755,214	\$ 905,905	\$ 963,940	\$ 1,195,668

NARRATIVE

The Street Maintenance Program includes costs for maintaining and repairing curbs, sidewalks and streets. Personnel conduct routine maintenance of street infrastructure, such as cold/hot patching, curb and sidewalk repairs, while major street reconstruction projects, managed by the Engineering Department, are contracted out. Continuous street infrastructure maintenance and repair ensures safe pedestrian walkway and driving surfaces throughout the Village.

2009 ACCOMPLISHMENTS

1. The Street Division completed the sidewalk inspection of Work Zone #1 which resulted in 128 pedestrian sidewalk squares being replaced, and another 342 squares were raised by mudjacking the existing sidewalk into alignment.
2. Replaced 165 linear feet of curbing during 2008.
3. Began a pavement maintenance program that was focused on potholes and pavement patches. Area of patching equaled 18,850 square yards.

2010 OBJECTIVES

1. Conduct sidewalk inspection and repairs in Work Zone #2.
2. Develop an explanation for residents of the department's policy for replacement of curbs, gutters and sidewalks. Place the information on the department's web page to explain the program.
3. The Pothole/Pavement Patching Program will be expanded to incorporate both Spring and Fall programs.

EXPENDITURE

Acct.#/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 171,231	\$ 113,683	\$ 103,778	\$ 109,376	\$ 115,296
51106 Seasonal Help	0	0	380	0	0	0
51109 Overtime	0	5,000	0	100	100	100
51111 Group Insurance	0	28,931	28,931	16,958	18,054	19,241
51112 IMRF	0	16,760	11,438	10,242	11,221	11,828
51113 FICA	0	13,482	8,738	7,947	8,375	8,828
51114 Workers Comp.	0	16,636	16,636	7,347	6,967	6,606
Subtotal	0	252,040	179,806	146,372	154,093	161,899
Contractual Services						
52212 Auto Maintenance & Repair	0	23,788	23,788	17,941	19,862	22,256
52224 Vehicle Insurance	0	4,009	4,009	4,877	4,877	4,877
52243 Paging	0	150	50	0	0	0
52264 Equipment Rental	0	500	0	6,700	6,700	6,700
52272 Property Maintenance	0	15,000	19,000	18,000	18,000	18,000
52286 Street Maintenance	0	269,730	245,000	243,000	254,000	265,000
Subtotal	0	313,177	291,847	290,518	303,439	316,833
Commodities						
53313 Auto Gas & Oil	0	7,302	7,125	8,021	8,547	9,263
53316 Small Tools	0	1,500	300	1,500	1,500	1,500
53317 Operating Supplies	0	25,000	1,500	12,000	12,000	12,000
53319 Maintenance Supplies	0	1,200	500	0	0	0
53324 Uniforms	0	1,350	1,000	965	965	965
Subtotal	0	36,352	10,425	22,486	23,012	23,728
Capital Outlay						
54412 Other Equipment	0	27,500	16,500	0	0	0
54415 Vehicles	0	64,411	64,411	0	0	0
54417 Radios	0	0	633	0	0	0
Subtotal	0	91,911	81,544	0	0	0
Totals	\$ 0	\$ 693,480	\$ 563,622	\$ 459,376	\$ 480,544	\$ 502,460

NARRATIVE

The Traffic Signs and Lights Program includes costs associated with traffic control and roadway illumination throughout Village streets. Staff is responsible for the maintenance of 1684 streetlights, installation of new and replacement traffic control signage and the completion of all J.U.L.I.E. system electrical cable locate requests. Program personnel are also responsible for completing repairs to street light cable cuts and ground faults. Program personnel also coordinate the contractual repairs for light pole knockdowns. Re-striping and remarking of pavement signage is also conducted annually.

2009 ACCOMPLISHMENTS

1. Installed sixteen (16) special 50th Anniversary street name signs.
2. Staff replaced and/or repaired 279 street name and traffic control signs during 2008.
3. Staff completed 3,293 requests for electric locates and 462 streetlight repair orders in 2008.
4. Replaced 10 streetlight poles knocked down during accidents.
5. The Munson Drive Street Light Project was designed, contracted, and was completed in the spring of 2008. The project included the replacement of thirteen (13) streetlights, cable, and controllers along north side of Munson Drive.
6. Installed 40 new signs as a result of the Engineering Department Sign Study.
7. Physically labeled all 1,684 Street Light Poles to improve the identification process and created a database to keep track of outages.

2010 OBJECTIVES

1. Complete the 2009 Street Light Replacement Program in the Spring Valley Subdivision, which includes the replacement of 40 streetlight poles and 41 streetlights. This will include analyzing the benefits of using LED street lights.
2. Reevaluate the Street Striping program and look for cost saving and environmental alternatives.

EXPENDITURE

Acct./Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 153,313	\$ 136,289	\$ 150,876	\$ 157,463	\$ 164,343
51106 Seasonal Help	0	0	1,428	0	0	0
51109 Overtime	0	5,000	1,675	1,000	1,000	1,000
51111 Group Insurance	0	30,024	30,024	28,899	30,776	32,831
51112 IMRF	0	15,056	13,884	14,975	16,242	16,948
51113 FICA	0	12,111	10,569	11,619	12,122	12,649
51114 Workers Comp.	0	14,945	14,945	10,682	10,030	9,417
Subtotal	0	230,449	208,814	218,051	227,633	237,188
Contractual Services						
52212 Auto Maintenance & Repair	0	19,590	19,590	8,972	9,930	11,129
52224 Vehicle Insurance	0	3,537	3,537	3,468	3,468	3,468
52243 Paging	0	150	150	0	0	0
52244 Bld. Maint. & Repair	0	9,200	1,800	0	0	0
52264 Equipment Rental	0	0	0	1,200	1,200	1,200
52270 St. Lgt Maint - Knockdown	0	22,000	6,000	0	0	0
52271 Street Light - Maintenance	0	22,000	22,000	22,000	22,000	22,000
52272 Property Maintenance	0	2,100	1,450	1,350	1,350	1,350
Subtotal	0	78,577	54,527	36,990	37,948	39,147
Commodities						
53313 Auto Gas & Oil	0	6,443	6,433	3,928	4,274	4,631
53316 Small Tools	0	500	250	500	500	500
53317 Operating Supplies	0	2,500	5,400	6,000	6,000	6,000
53324 Uniforms	0	1,100	910	1,042	1,042	1,042
53344 Street Signs	0	17,000	17,000	9,000	9,000	9,000
Subtotal	0	27,543	29,993	20,470	20,816	21,173
Capital Outlay						
54412 Other Equipment	0	0	0	3,400	0	0
54415 Vehicles	0	150,000	0	200,000	41,000	0
54417 Radios	0	700	0	700	700	0
54422 Installment Loans	0	33,594	0	43,540	52,555	52,555
Subtotal	0	184,294	0	247,640	94,255	52,555
Totals	\$ 0	\$ 520,863	\$ 293,334	\$ 523,151	\$ 380,652	\$ 350,063

NARRATIVE

The Building Grounds Program includes costs associated with landscape maintenance of the Public Works Center, the 243 acres of green space around the Village's 28 storm water detention/retention ponds and 208 miles of right of ways. Detention and retention mowing is also a functional requirement of the Village's NPDES Phase II storm water requirements. Right-of-way restorations arising from water main breaks, snowplow damage or curb reconstruction are also part of this program. To meet the three-week mowing cycle objective, six part-time employees are utilized to compliment full-time personnel in landscape maintenance throughout a growing season. This program also includes the contractual costs to maintain the North Avenue median and the Gary Avenue, Schmale Road, Army Trail Road and County Farm Road rights-of-way, which are maintained by the Village by for DuPage County. DuPage County reimburses the Village for the cost of mowing.

2009 ACCOMPLISHMENTS

1. The Village received a grant from the Illinois Department of Commerce and Economic Opportunity. This grant is for Public Section Electric Efficiency. Light fixtures in the Public Works Center and at the WRC have been replaced with high efficiency models. This will help to decrease the energy consumption at these two facilities. (Village Board Goal #11)
2. Replaced the roof area over the gasoline canopy.

2010 OBJECTIVES

1. Analyze the North Avenue islands maintained by the Village and modify the current landscaping plan and replace plantings with new "salt-tolerant" streetscape.
2. Develop and annual Roof Inspection and Maintenance Program to extend the usefulness of the newly-replaced roofs.

EXPENDITURE

Acct./Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 253,107	\$ 146,460	\$ 200,518	\$ 211,020	\$ 222,106
51106 Seasonal Help	0	20,000	7,937	11,466	11,760	12,054
51109 Overtime	0	5,000	126	800	800	800
51111 Group Insurance	0	47,299	47,299	33,584	35,785	38,143
51112 IMRF	0	24,546	14,646	19,850	21,712	22,848
51113 FICA	0	21,275	11,188	16,278	17,104	17,974
51114 Workers Comp.	0	26,253	26,253	14,197	13,442	12,727
Subtotal	0	397,480	253,908	296,693	311,623	326,652
Contractual Services						
52212 Auto Maintenance & Repair	0	13,993	16,245	16,147	17,876	20,031
52224 Vehicle Insurance	0	2,122	2,122	2,529	2,529	2,529
52244 Bld. Maint. & Repair	0	50,000	50,000	105,000	28,000	10,000
52264 Equipment Rental	0	2,000	1,000	1,000	1,000	1,000
52272 Property Maintenance	0	80,000	80,000	55,000	55,000	60,000
Subtotal	0	148,115	149,367	179,676	104,405	93,560
Commodities						
53313 Auto Gas & Oil	0	3,866	4,000	10,802	11,751	12,737
53316 Small Tools	0	500	100	500	500	500
53317 Operating Supplies	0	2,000	4,000	6,000	6,000	6,000
53319 Maintenance Supplies	0	0	0	2,300	2,300	2,300
53324 Uniforms	0	2,150	1,820	1,670	1,670	1,670
53350 Small Equipment	0	1,500	0	1,000	1,000	1,000
Subtotal	0	10,016	9,920	22,272	23,221	24,207
Capital Outlay						
54412 Other Equipment	0	26,032	40,841	13,656	8,656	37,600
54415 Vehicles	0	0	0	0	0	37,500
54417 Radios	0	0	0	0	0	700
54422 Installment Loans	0	0	0	0	0	7,696
Subtotal	0	26,032	40,841	13,656	8,656	83,496
Totals	\$ 0	\$ 581,643	\$ 454,036	\$ 512,297	\$ 447,905	\$ 527,915

NARRATIVE

The maintenance of storm inlets and catch basins and 105 miles of storm sewers is this program's initiative. Staff inspects and repairs detention pond restrictor valves, obstructed or collapsed stormwater collection inlets, and culverts to minimize possible flooding potential. The Street Division installs and maintains nine floating aerators at various retention ponds throughout the Village.

2009 ACCOMPLISHMENTS

1. Eighteen (18) inlet grates were replaced and twelve (12) storm inlets were rebuilt.
2. Between March 19, 2008 and November 14, 2008, street sweepers swept 4,181 miles and 1080 cubic yards of debris were removed. The sweeping of Village streets is a Best Management Practice (BMP) under NPDES Phase II. (Village Board Goal #11)
3. Coordinated with DuPage County and the Engineering Department to develop enforcement procedures for Illicit Discharge and Detection Elimination (IDDE) in accordance with the Village's stormwater ordinance.
4. Two stormwater inlet stenciling projects were completed to remind residents that the storm sewers go directly to the creek. Six hundred inlets were marked in the Spring Valley and Shelburne areas.
5. Coordinated flood response in September and December with the Police Department. This included closing Village roadways and assisted in the clean up of Village roadways after floodwaters had receded.

2010 OBJECTIVES

1. Begin working with DuPage County on IDDE cases and work with industries and residents that are discharging illegally to the stormwater system on the proper way to dispose of their discharges.
2. Develop Public Works responses to flooding with the Emergency Manager. This includes preparing action plans to be utilized during the flood as well as proactive measures that might reduce flooding.

EXPENDITURE

Acct./Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 109,924	\$ 92,470	\$ 125,211	\$ 131,256	\$ 137,608
51106 Seasonal Help	0	5,000	18,998	14,742	15,120	15,498
51109 Overtime	0	5,000	2,438	800	900	900
51111 Group Insurance	0	17,970	17,970	19,771	21,071	22,459
51112 IMRF	0	10,929	9,451	12,425	13,546	14,197
51113 FICA	0	9,174	7,262	10,768	11,267	11,781
51114 Workers Comp.	0	11,143	11,143	8,865	8,361	7,885
Subtotal	0	169,140	159,731	192,582	201,521	210,328
Contractual Services						
52212 Auto Maintenance & Repair	0	13,993	14,814	19,736	21,848	24,482
52224 Vehicle Insurance	0	2,354	2,354	2,312	2,312	2,312
52243 Paging	0	75	50	0	0	0
52248 Electricity	0	10,000	6,000	11,000	11,000	11,000
52264 Equipment Rental	0	1,200	14	0	0	0
52265 Hauling	0	7,000	4,000	5,250	5,250	5,250
52272 Property Maintenance	0	5,000	7,500	5,000	5,000	5,000
Subtotal	0	39,622	34,732	43,298	45,410	48,044
Commodities						
53313 Auto Gas & Oil	0	4,289	3,600	11,783	12,821	13,894
53317 Operating supplies	0	0	5,000	3,000	3,000	3,000
53324 Uniforms	0	800	750	989	989	989
Subtotal	0	5,089	9,350	15,772	16,810	17,883
Capital Outlay						
54412 Other Equipment	0	12,700	0	0	0	14,000
54415 Vehicles	0	0	0	0	200,000	0
54417 Radios	0	0	0	0	700	0
54422 Installment Loan	0	0	0	0	43,540	43,540
Subtotal	0	12,700	0	0	244,240	57,540
Totals	\$ 0	\$ 226,551	\$ 203,813	\$ 251,652	\$ 507,981	\$ 333,795

NARRATIVE

The Street Division is responsible for the approximately 7,000 Village Parkway Trees. The program includes costs for pruning, removal and planting replacement trees along Village right-of-ways. Continuous maintenance of the Village's tree stock requires program personnel to conduct trimming, tree removals, stump grinding and parkway tree replacement planting. The Village has been divided into six (6) work zones for tree maintenance. A six (6) year rotating pruning schedule is followed. This program also includes the parkway tree replacement program.

2009 ACCOMPLISHMENTS

1. The annual parkway tree-pruning program was completed with the pruning of 731 parkway trees in within Work Zone #1.
2. Staff responded to 246 tree-related citizen requests for service. Many tree requests were for maintenance of the tree or information about Emerald Ash Borer.
3. Staff and outside contractors removed 250 dead and stressed trees.
4. Public Works staff implemented a revised tree ordinance, including provisions to address removal of trees on private property.
5. Public Works staff completed all requirements and applied for Tree City USA certification.

2010 OBJECTIVES

1. The Public Works department will continue inspections of Ash trees throughout the Village. Trees that are infected or distressed will be removed and disposed of according to Illinois Department of Agriculture regulations.
2. Continue to work with St Aubin's Nursery on introducing new tree species to the Village which will enhance our Parkway beauty and give the Village a greater diversification of parkway trees. In 2010, the Village will begin the third planting of 500 trees at St Aubin's Nursery. The Village will continue to plant 150 per year for the next two years, but will be removing approximately 275 trees per year to prepare for 500 trees to be planted in Carol Stream in 2012.

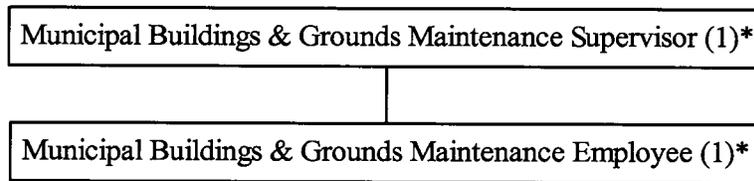
EXPENDITURE

Acct./Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 110,747	\$ 104,828	\$ 126,432	\$ 133,646	\$ 141,296
51106 Seasonal Help	0	5,000	5,383	6,552	6,720	6,888
51109 Overtime	0	5,000	318	1,200	1,300	1,300
51111 Group Insurance	0	19,772	19,772	20,037	21,319	22,695
51112 IMRF	0	11,008	9,012	12,585	13,832	14,616
51113 FICA	0	9,237	6,882	10,265	10,837	11,436
51114 Workers Comp.	0	10,747	10,747	7,983	7,580	7,198
Subtotal	0	171,511	156,941	185,054	195,234	205,429
Contractual Services						
52212 Auto Maintenance & Repair	0	23,788	23,342	14,353	15,889	17,805
52222 Meetings	0	100	0	100	100	100
52223 Training	0	150	600	750	750	750
52224 Vehicle Insurance	0	4,009	4,009	3,975	3,975	3,975
52234 Dues & Subscriptions	0	310	400	500	500	500
52243 Paging	0	150	0	0	0	0
52264 Equipment Rental	0	4,000	3,000	4,000	4,000	4,000
52268 Tree Maintenance	0	140,350	130,350	130,350	130,350	130,350
Subtotal	0	172,857	161,701	154,028	155,564	157,480
Commodities						
53313 Auto Gas & Oil	0	7,302	6,750	11,783	12,821	13,894
53315 Printed Materials	0	100	0	100	100	100
53316 Small Tools	0	500	500	500	500	500
53317 Operating Supplies	0	0	1,200	3,000	3,000	3,000
53324 Uniforms	0	850	300	998	998	998
53348 Reference Material	0	0	790	300	300	300
53350 Small Equipment	0	1,900	1,000	1,500	1,500	1,500
Subtotal	0	10,652	10,540	18,181	19,219	20,292
Capital Outlay						
54412 Other Equipment	0	37,000	21,700	56,000	0	60,000
54415 Vehicles	0	0	0	0	0	130,000
54417 Radio	0	0	0	0	0	700
54422 Installment Loans	0	0	0	0	0	28,862
Subtotal	0	37,000	21,700	56,000	0	219,562
Totals	\$ 0	\$ 392,020	\$ 350,882	\$ 413,263	\$ 370,017	\$ 602,763

PERSONNEL SCHEDULE

	<u>Authorized 2008</u>	<u>Budget 2009</u>	<u>Proposed 2010</u>	<u>Projected 2011</u>	<u>Projected 2012</u>
Full Time	2	2	2	2	2

ORGANIZATIONAL CHART



* The Municipal Buildings & Grounds Maintenance Supervisor and Employee are supervised out of the Administration Department, but are included in the Municipal Building budget for accounting purposes.

NARRATIVE

Under the direction of the Administration Department the Buildings and Grounds Maintenance Supervisor and Employee are responsible for the daily upkeep and repair of the Gregory J. Bielawski Municipal Center, Historic Farmhouse, Town Center and the St. Charles Road/Gary Avenue natural area known as Daylily Park. Buildings and grounds maintenance includes limited painting, light electrical and plumbing repairs, replacement of worn out parts and other general construction, repair and maintenance work. Major maintenance and repair work done at the Municipal Center (HVAC, plumbing, electrical, etc.), Historic Farmhouse, Daylily Park and Town Center is performed by independent contractors as is the janitorial cleaning and landscaping of the municipal buildings. Major long-term capital additions are included in the Capital Improvement Program whereas the repairs to the Municipal Center and other municipal facilities are included in the operating budget.

2009 ACCOMPLISHMENTS

1. Coordinated re-roofing of the historic farmhouse and installation of energy efficient Light Emitting Diode (LED) parking lot lighting at the Gregory J. Bielawski Municipal Center to minimize disruption of operations. (Village Board Goal #11)
2. Installed energy efficient florescent lights at the Gregory J. Bielawski Municipal Center and LED lighting on the holiday tree. (Village Board Goal #11)
3. Completed the third year of the pedestrian pathway lighting replacement/enhancement program, providing a more reliable and cost effective light source for users of the Town Center.
4. Completed masonry repairs to the Gregory J. Bielawski Municipal Center to prevent weather related damage to the exterior brickwork.

2010 OBJECTIVES

1. Continue to explore methods to increase energy efficiency of municipal facilities and operations and actively pursue contractors that use green products that are environmentally friendly. (Village Board Goal #11)

EXPENDITURE

Classification	(1) Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Administration		\$524,636	\$438,528	\$402,871	\$421,697	\$417,624
Totals	\$391,300	\$524,636	\$438,528	\$402,871	\$421,697	\$417,624

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

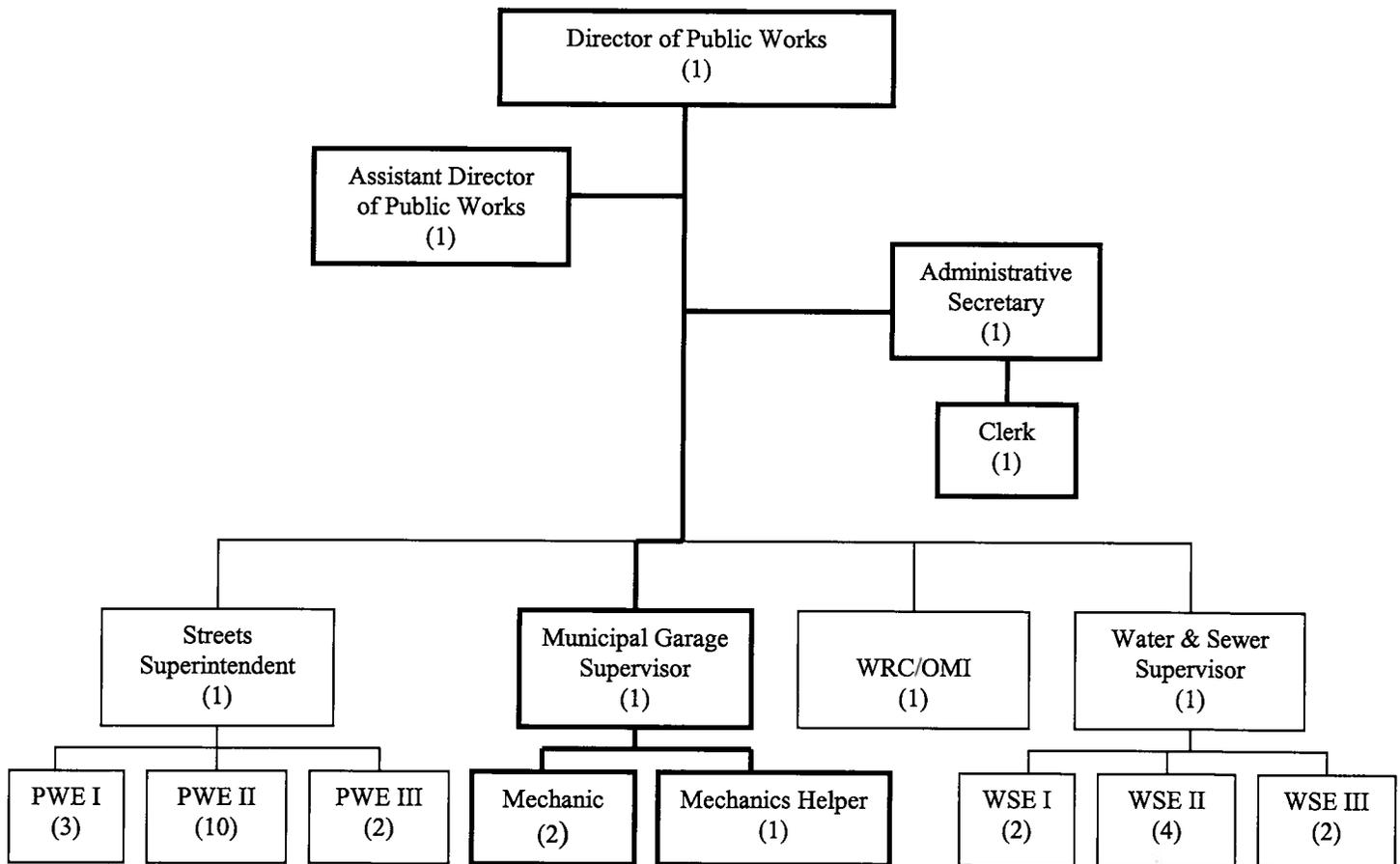
EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 131,911	\$ 131,911	\$ 140,220	\$ 148,434	\$ 157,142
51109 Overtime	0	550	450	500	500	500
51111 Group Insurance	0	19,942	19,942	20,622	21,946	23,382
51112 IMRF	0	12,597	12,597	13,875	15,266	16,158
51113 FICA	0	10,133	10,133	10,765	11,393	12,060
51114 Workers Comp.	0	8,954	8,954	9,283	8,847	8,423
Subtotal	0	184,087	183,987	195,265	206,386	217,665
Contractual Services						
52212 Auto Maintenance & Repair	0	6,213	6,213	5,633	6,235	6,987
52219 TC Maintenance	0	26,000	23,000	27,000	25,000	13,000
52223 Training	0	100	75	100	100	100
52224 Vehicle Insurance	0	2,038	2,038	2,002	2,002	2,002
52230 Telephone	0	650	600	672	672	700
52243 Paging	0	100	95	0	0	0
52244 Maintenance and Repair	0	181,253	123,703	70,450	77,040	72,040
52248 Electricity	0	1,870	1,200	1,500	1,600	1,750
52264 Equipment Rental	0	250	0	250	250	250
52267 Uniform Cleaning	0	400	400	0	0	0
52276 Janitorial Service	0	45,000	45,000	45,000	47,000	47,000
52277 Gas and Water	0	10,000	10,000	10,500	10,500	11,000
Subtotal	0	273,874	212,324	163,107	170,399	154,829
Commodities						
53313 Auto Gas & Oil	0	1,575	1,519	1,849	2,012	2,180
53319 Maintenance Supplies	0	14,500	14,500	15,000	15,250	15,250
53320 Janitorial Supplies	0	5,500	5,500	6,000	6,000	6,000
53324 Uniforms	0	600	658	650	650	700
53350 Small Equipment	0	500	40	500	500	500
53381 TC Supplies	0	20,000	13,000	12,000	12,000	12,000
Subtotal	0	42,675	35,217	35,999	36,412	36,630
Capital Outlay						
54412 Other Equipment	0	22,000	7,000	8,500	8,500	8,500
54413 Computer Equipment	0	2,000	0	0	0	0
Subtotal	0	24,000	7,000	8,500	8,500	8,500
Totals	\$ 0	\$ 524,636	\$ 438,528	\$ 402,871	\$ 421,697	\$ 417,624

PERSONNEL SCHEDULE

	Authorized 2008	Budget 2009	Proposed 2010	Projected 2011	Projected 2012
Streets	20	20	20	20	20
Water/Sewer	8	9	9	9	9
Municipal Garage	4	4	4	4	4
Seasonal Employees	8	8	8	8	8

ORGANIZATIONAL CHART



NARRATIVE

The mission of the Municipal Garage Division is to continuously improve its preventive maintenance program to minimize the occurrence of costly emergency vehicle, truck and equipment breakdowns and maximize equipment availability, thereby maintaining the timely delivery of Village services to residents. The cost of the garage operation is allocated to the operating departments on a pro-rated basis depending on their use of the Municipal Garage services.

The Administration program element includes the direct and indirect administrative services, while the vehicle maintenance and repair program element includes maintenance of the fleet. The Municipal Garage contracts out specialty repairs such as bodywork, alignments, radio repairs and mandated truck safety inspections.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		0	0	0	0	0
Vehicle Maintenance & Repair		0	0	0	0	0
Total	\$63,176	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

NARRATIVE

Administrative support of the municipal garage operation includes maintenance and repair scheduling, maintenance of individual vehicle records, technician training, data processing of completed repair orders, parts inventory and control, preparing environmental reporting on fleet air emission compliance, conducting employee performance evaluations and preparing the annual budget plan.

2009 ACCOMPLISHMENTS

1. During FY09, an E85 Fuel Tank was installed at the Public Works Center. By having E85 at the Public Works Center, there has been a reduction in the time and travel spent to fuel an E-85 vehicles. (Village Board Goal #11)
2. The Municipal Garage began providing maintenance service to the DuPage Public Safety Communications fleet under an intergovernmental agreement.

2010 OBJECTIVES

1. To decrease the routing of paper maintenance work orders, staff will develop an email procedure of sending out maintenance work orders.
2. To improve efficiency of vehicle maintenance scheduling, staff will develop new procedures for using email and scheduling software to schedule the maintenance of Village vehicles.

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 161,031	\$ 161,031	\$ 123,698	\$ 129,408	\$ 135,394
51111 Group Insurance	0	21,727	21,727	14,417	15,302	16,288
51112 IMRF	0	15,314	15,314	12,197	13,264	13,878
51113 FICA	0	12,319	12,319	9,463	9,900	10,358
51114 Workers Comp.	0	4,784	4,784	2,989	2,808	2,639
Subtotal	0	215,175	215,175	162,764	170,682	178,557
Contractual Services						
52212 Auto Maintenance & Repair	0	500	500	0	0	0
52223 Training	0	150	0	100	100	100
52224 Vehicle Insurance	0	509	586	1,001	1,001	1,001
52227 Radio Maintenance	0	100	0	100	100	100
52234 Dues & Subscriptions	0	1,675	1,500	1,785	1,785	1,785
52264 Equipment Rental	0	175	70	175	175	180
52267 Uniform Cleaning	0	530	0	0	0	0
Subtotal	0	3,639	2,656	3,161	3,161	3,166
Commodities						
53313 Auto Gas & Oil	0	371	371	0	0	0
53314 Office Supplies	0	450	450	200	200	200
53315 Printed Materials	0	450	0	250	0	250
53318 Reference Materials	0	1,000	0	50	50	50
53324 Uniforms	0	300	563	300	300	300
53358 Allocation to other Depts	0	(221,385)	(219,215)	(166,725)	(174,393)	(182,523)
Subtotal	0	(218,814)	(217,831)	(165,925)	(173,843)	(181,723)
Totals	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

NARRATIVE

The timely maintenance of the Village's fleet is the primary focus of the garage operation. A computerized maintenance and repair program schedules vehicles for service using industry mileage or recommended service interval estimates. Four separate maintenance and repair services exist for scheduling including lubrications, engine tune-ups, coolant system flushes and transmission inspections and servicing. In addition, vehicles are tested for compliance with State emission requirements according to pre-established test intervals developed by Illinois Environmental Protection Agency. Repair requests occurring between scheduled services are performed by Village mechanics. Specialty repairs are contracted out to local area vendors, as are some oil changes, radio work, bodywork, brake repairs and front-end alignment.

2009 ACCOMPLISHMENTS

1. The Municipal Garage researched the cost effectiveness of using nitrogen gas as a replacement for air in tires, deciding that it was not cost effective at this time for our operations.
2. Division mechanics completed 507 requests for maintenance requests in addition to the following scheduled preventive maintenance jobs: 240 PMA's (oil changes) 10 PMB's (engine tune ups), 22 PMC's (cooling system flushes) and 42 PMD's (transmission inspections).
3. The Municipal Garage has been closely monitoring the use of E85 and biodiesel. There have not been any major mechanical problems due to the use of these alternative, green fuels. The cost of using these green fuels continues to be 10-20% higher than their counterparts.

2010 OBJECTIVES

1. To maintain the preventive maintenance schedules for each vehicle within two weeks of its scheduled time and to tailor each preventive maintenance schedule to the needs of the department using that vehicle.

GENERAL CORPORATE FUND

PUBLIC WORKS

Municipal Garage Division – Vehicle Maintenance & Repair Detail

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 177,643	\$177,643	\$ 203,199	\$ 212,111	\$ 221,416
51109 Overtime	0	3,000	3,000	2,899	3,029	3,166
51111 Group Insurance	0	22,213	22,213	25,504	27,140	28,899
51112 IMRF	0	17,179	17,179	20,321	22,052	23,020
51113 FICA	0	13,819	13,819	15,766	16,458	17,181
51114 Workers Comp.	0	8,021	8,021	6,767	6,363	5,978
Subtotal	0	241,875	241,875	274,456	287,153	299,660
Contractual Services						
52212 Auto Maintenance & Repair	0	500	500	1,000	1,200	1,200
52223 Training	0	450	200	0	0	0
52224 Vehicle Insurance	0	510	588	1,001	1,001	1,001
52244 Maintenance & Repair	0	2,500	1,500	3,000	3,000	3,000
52264 Equipment Rental	0	720	700	780	780	780
52267 Uniform Cleaning	0	3,075	2,700	3,166	3,262	3,360
52284 Equipment Maintenance	0	4,200	4,000	4,000	4,000	4,000
Subtotal	0	11,955	10,188	12,947	13,243	13,341
Commodities						
53313 Auto Gas & Oil	0	372	390	1,146	1,340	1,571
53316 Tools	0	2,746	2,500	2,600	2,600	2,600
53317 Operating Supplies	0	3,300	3,904	3,300	3,300	3,300
53324 Uniforms	0	900	450	900	900	900
53341 Gas - Consumed	0	348,639	300,000	440,408	515,101	603,602
53343 Oil\Parts Consumed	0	45,000	70,000	70,000	70,000	70,000
53350 Small Equipment	0	1,200	243	1,200	1,200	1,200
53353 Outsourcing Services	0	25,000	25,000	25,000	25,000	25,000
53354 Parts Purchased	0	45,000	70,000	70,000	70,000	70,000
53355 Parts Purchased Contra	0	(45,000)	(70,000)	(70,000)	(70,000)	(70,000)
53356 Gas Purchased	0	348,639	300,000	440,408	515,101	603,602
53357 Gas Purchased Contra	0	(348,639)	(300,000)	(440,408)	(515,101)	(603,602)
53358 Allocation to other Depts	0	(686,841)	(660,404)	(834,162)	(925,537)	(1,032,174)
Subtotal	0	(259,684)	(257,917)	(289,608)	(306,096)	(324,001)
Capital Outlay						
54411 Other Equipment	0	5,854	5,854	2,205	5,700	11,000
Subtotal	0	5,854	5,854	2,205	5,700	11,000
Totals	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0	\$ 0

NARRATIVE

The Transfer and Agreement Account recognizes transfers to the Capital Improvement Fund and payments required under several sales tax sharing agreements that the Village has entered into as identified below.

EXPENDITURE

Classification	Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Transfer to TIF Debt Service	\$173,572	\$185,563	\$215,563	\$211,647	\$222,186	\$203,132
Payment to Stark Farm – Sales Tax Reimb.	35,574	36,195	9,498	0	0	0
Home Depot – Sales Tax Reimb.	186,624	0	0	0	0	0
Lowes – Sales Tax Reimb.	90,097	88,381	85,592	86,448	87,312	88,185
Transfer to CIP Fund	1,750,000	3,500,000	3,500,000	0	0	0
Totals	\$2,235,867	\$3,810,139	\$3,810,653	\$298,095	\$309,498	\$291,317

NARRATIVE

The Village hosts community events including a four-day festival at the Ross Ferraro Town Center. The Village has been programming cultural events at the Town Center since 1998 and over time, the Town Center has become a central gathering place for residents of all ages and ethnic backgrounds. The Village also owns and operates an historic farmhouse, a reconditioned 1950's Italianate farmhouse that was donated to the Village in 1995 by Pasquinelli Homes as part of the Autumn Ridge subdivision annexation. The Town Center and Historic Farmhouse are available for use by the general public for wedding receptions, family gatherings, reunions and other private and semi-private events for a nominal fee.

2009 ACCOMPLISHMENTS

1. Organized efforts and resources, which provided a variety of high quality activities and encouraged broader use of the Ross Ferraro Town Center and Historic Farmhouse including the restructuring of the multi-cultural event into a weekly concert format and hosting activities (Guitar Hero competition) that appealed to all ages.
2. Conducted activities and scheduled events to commemorate the Village's 50th anniversary of incorporation in January 2009 including the airing of "Out on the Farm" television programming originally broadcast from Carol Stream, interviews with original Carol Stream residents, installation of commemorative banners throughout the Village and commissioning of a 50th anniversary logo. (Village Board Goal #17)

2010 OBJECTIVES

1. Establish activities and schedule events throughout the year to commemorate the Village's 50th anniversary of incorporation in January 2009 including enhanced fireworks displays at the Summer in the Center events. (Village Board Goal #17)
2. Explore alternative shelter facilities to replace the tent that was purchased in 2001 including construction of a permanent structure. (Village Board Goal #18a)

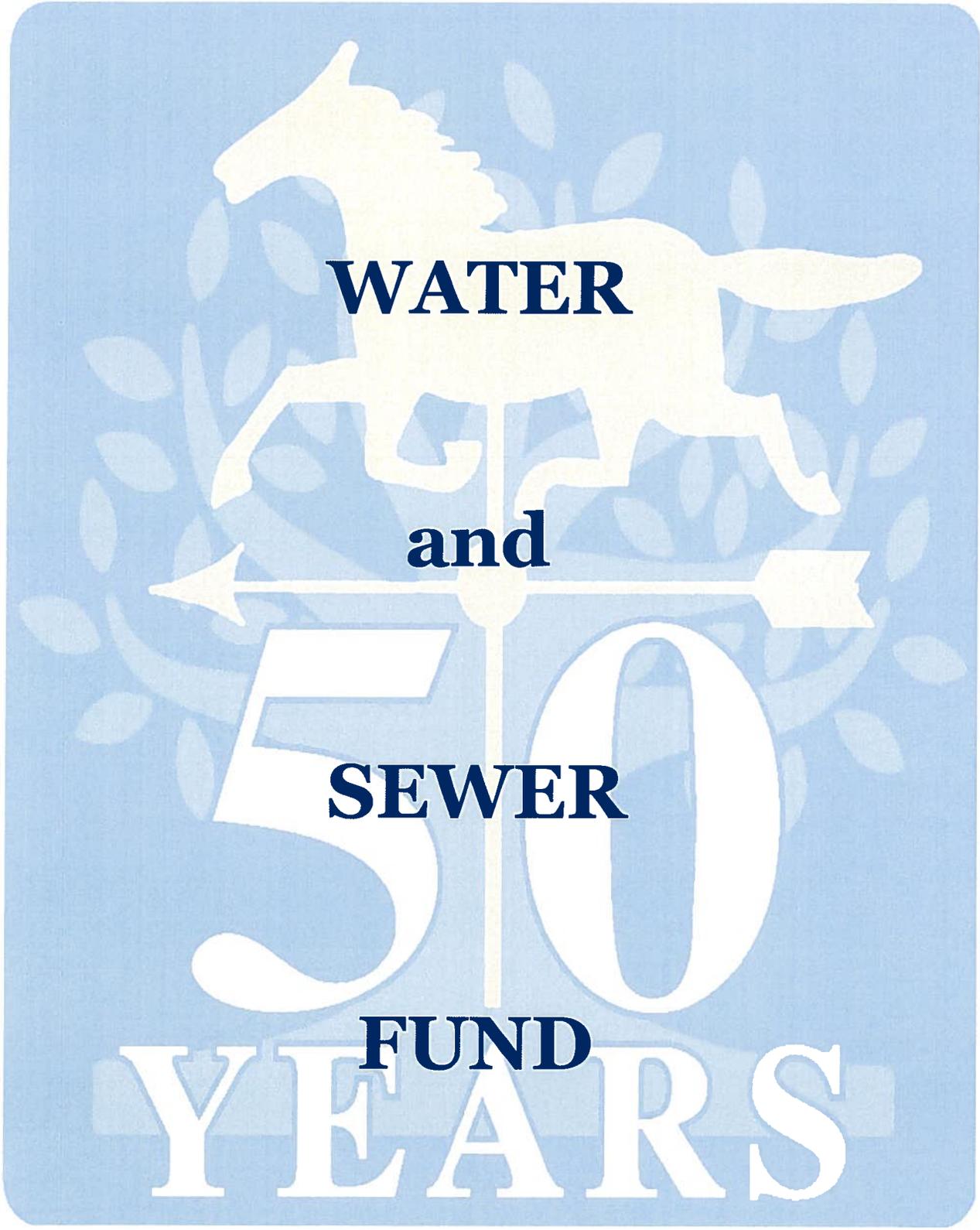
EXPENDITURE

Classification	(1) Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Administration		\$448,873	\$368,347	\$381,482	\$388,332	\$393,190
Totals	\$269,182	\$448,873	\$368,347	\$381,482	\$388,332	\$393,190

⁽¹⁾ The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

EXPENDITURE

Acct. # / Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenditures FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 31,392	\$ 18,000	\$ 34,013	\$ 35,732	\$ 37,542
51109 Overtime	0	90,000	52,000	60,000	62,425	64,868
51112 IMRF	0	8,216	6,000	8,284	8,992	9,383
51113 FICA	0	10,051	8,000	7,192	7,509	7,834
51114 Workers Comp.	0	10,514	10,514	1,993	1,874	1,763
Subtotal	0	150,173	94,514	111,482	116,532	121,390
Contractual Services						
52287 Summer in the Center	0	185,000	191,719	185,000	185,000	185,000
52288 Concert Series	0	32,000	21,219	27,500	26,000	26,000
52289 Octoberfest	0	6,500	4,031	6,800	5,000	5,000
52290 Multi-Cultural Event	0	9,700	7,426	7,500	7,500	7,500
52291 Misc events/activities	0	60,000	45,000	40,000	45,000	45,000
Subtotal	0	293,200	269,395	266,800	268,500	268,500
Commodities						
53302 Bricks	0	4,400	4,438	2,700	2,800	2,800
53350 Small Equipment	0	600	0	500	500	500
Subtotal	0	5,000	4,438	3,200	3,300	3,300
Capital Outlay						
54412 Other Equipment	0	500	0	0	0	0
Subtotal	0	500	0	0	0	0
Totals	\$ 0	\$ 448,873	\$ 368,347	\$ 381,482	\$ 388,332	\$ 393,190



WATER

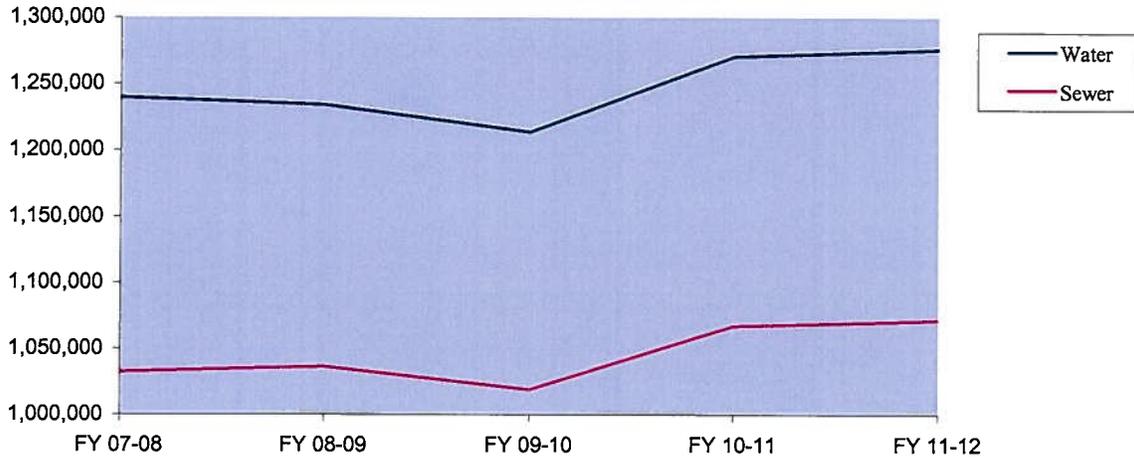
and

SEWER

FUND

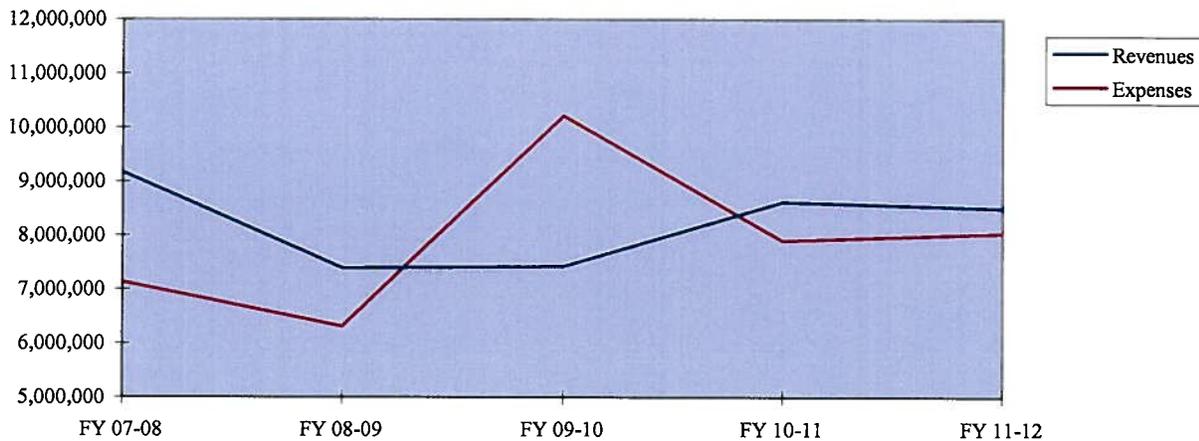
YEARS

**WATER AND SEWER FUND
BILLABLE CONSUMPTION
2008 - 2012**



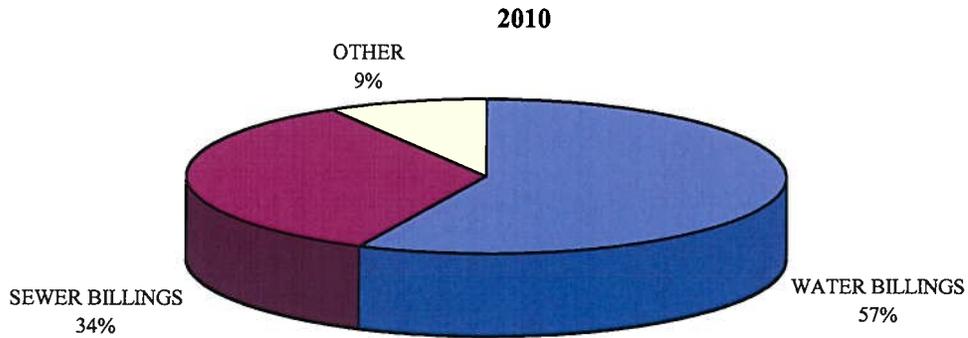
Sewer consumption is billed based on the amount of water used. Since the Wheaton Sanitary District provides service for the southern portion of the Village, the sewer usage to water usage ratio is 84%.

**WATER AND SEWER FUND
REVENUES vs. EXPENSES
2008 - 2012**

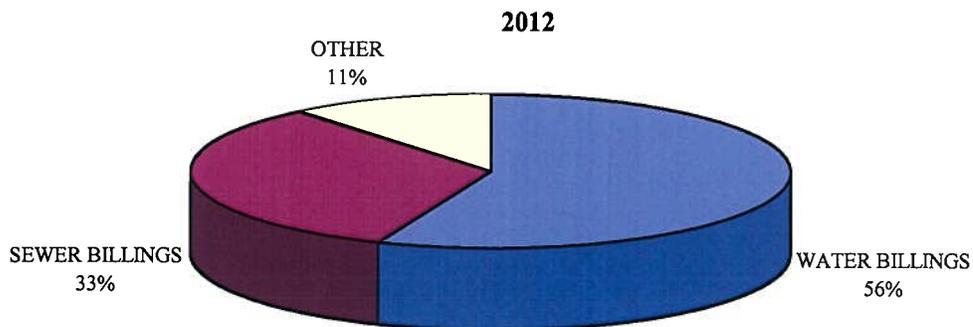
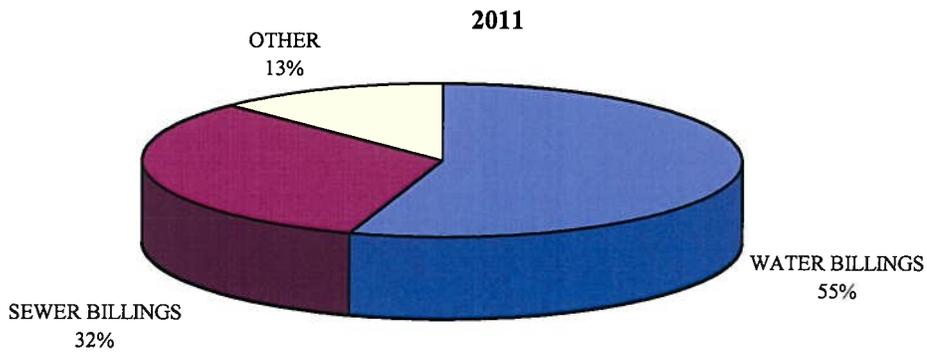


The projected deficit position in FY10 reflects the impact of the Water and Sewer Capital Improvement Program which is funded out of reserves. During that period, the water system is going to be extended into the unincorporated area southwest of the Village at a cost of \$2.65m of which \$1.9m was a rebate from the DuPage Water Commission. The budget includes a reappropriation of fund balance in the amount of \$3.5m to fund the capital improvement program.

**WATER AND SEWER FUND
REVENUES
2010-2012**



The "other" revenue category includes operating revenues such as penalties, shut-off notice revenue and meter sales, and non-operating revenues such as building related revenues and interest income.



Village of Carol Stream

WATER AND SEWER FUND

Revenues

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Revenues FY 08-09	(1)	(2)	(3)
				Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Operating Revenue						
Water Billings	\$ 3,802,954	\$ 3,990,000	\$ 4,010,383	\$ 4,222,754	\$ 4,738,944	\$ 4,758,802
Water Penalties	37,750	39,900	46,119	48,562	54,498	54,726
Sewer Billings	2,480,173	2,656,584	2,692,831	2,558,405	2,796,103	2,807,820
Sewer Penalties	27,421	26,566	30,968	29,422	32,155	32,290
Meter Sales	38,014	25,010	15,000	275	7,700	15,675
Miscellaneous Revenue	127,284	3,600	12,000	4,500	4,500	4,500
Shut-off Notices/Admin. Fee	39,949	30,000	45,000	45,000	40,000	40,000
Wheaton Sanitary Serv. Charge	3,043	6,000	6,000	6,000	6,000	6,000
Interest Income-operating	0	80,157	31,330	31,330	39,164	39,164
Total Operating Revenue	6,556,588	6,857,817	6,889,631	6,946,248	7,719,064	7,758,977
Non-Operating Revenue						
Rental Income	100,618	74,422	188,705	154,300	160,473	166,892
Connection Fee - Water	25,800	20,500	6,000	250	7,000	14,250
Connection Fee - Sewer	46,850	32,800	9,600	525	14,700	29,925
Expansion Fee	293,423	212,790	30,000	2,596	72,660	147,915
Interest Income	724,716	385,000	270,000	322,000	276,000	314,000
Miscellaneous Revenue	882,197	0	0	0	0	0
Developer Contribution	543,185	0	0	0	0	0
Total Non-Operating Revenue	2,616,789	725,512	504,305	479,671	530,833	672,982
Other Funding Sources						
Reappropriation of Fund Balance	0	2,869,464	2,869,464	3,519,000	155,000	430,500
Installment Loan Proceeds	0	0	0	0	366,500	68,000
Total Other Funding Sources	0	2,869,464	2,869,464	3,519,000	521,500	498,500
Total Revenue	\$ 9,173,377	\$ 10,452,793	\$ 10,263,400	\$ 10,944,919	\$ 8,771,397	\$ 8,930,459

(1) Assumptions

- Billable Water Usage - 1,213,435,000 gals.
- Water rate is \$3.48/1,000 gals.
- Billable Sewer Usage - 84.00% of water
- Sewer rate is \$2.51/1,000 gals.

(2) Assumptions

- Billable Water Usage - 1,270,494,000 gals.
- Water rate is \$3.73/1,000 gals.
- Billable Sewer Usage - 84.00% of water
- Sewer rate is \$2.62/1,000 gals.

(3) Assumptions

- Billable Water Usage - 1,275,818,000 gals.
- Water rate is \$3.73/1,000 gals.
- Billable Sewer Usage - 84.00% of water
- Sewer rate is \$2.62/1,000 gals.

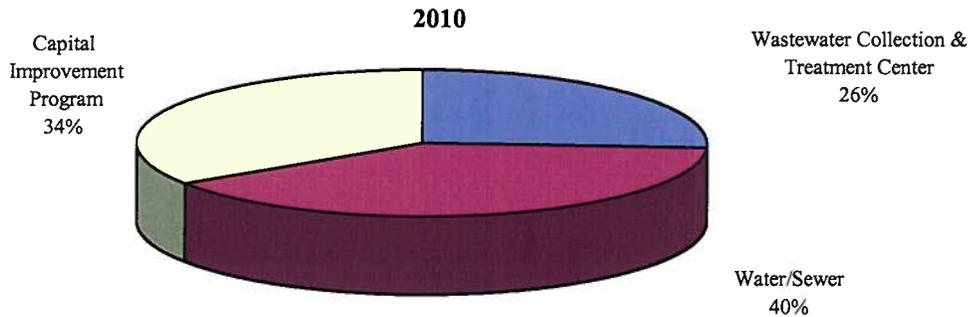
Village of Carol Stream

WATER AND SEWER FUND

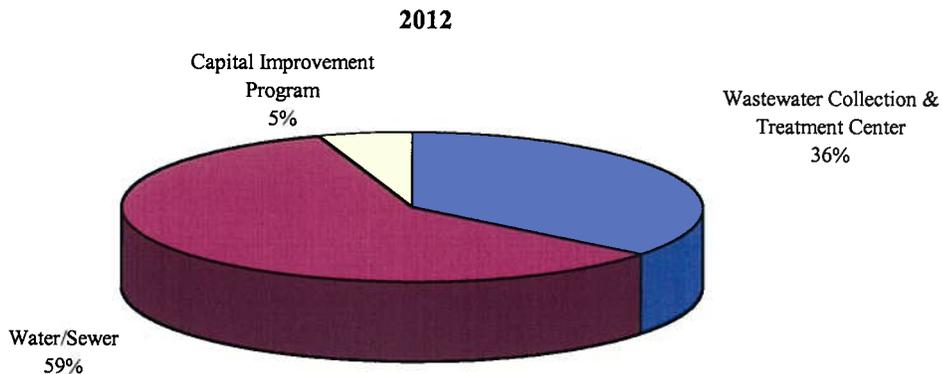
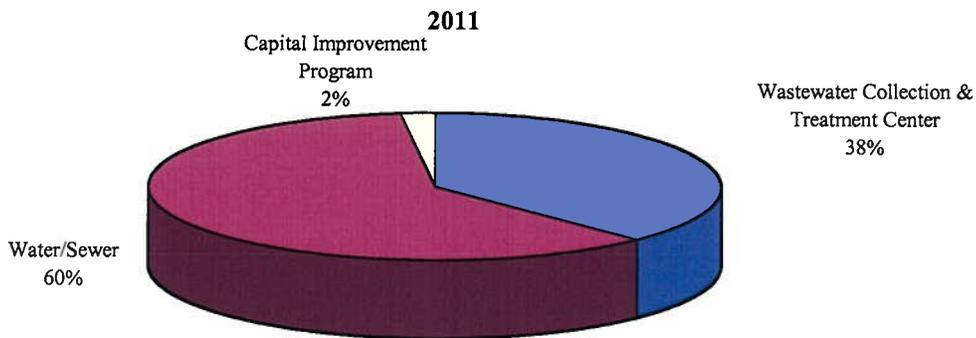
Revenues vs Expenses Comparison

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Operating Revenue						
Water Billings	\$ 3,802,954	\$ 3,990,000	\$ 4,010,383	\$ 4,222,754	\$ 4,738,944	\$ 4,758,802
Water Penalties	37,750	39,900	46,119	48,562	54,498	54,726
Sewer Billings	2,480,173	2,656,584	2,692,831	2,558,405	2,796,103	2,807,820
Sewer Penalties	27,421	26,566	30,968	29,422	32,155	32,290
Meter Sales	38,014	25,010	15,000	275	7,700	15,675
Miscellaneous Revenue	127,284	3,600	12,000	4,500	4,500	4,500
Shut-off Notice/Admin. Fee	39,949	30,000	45,000	45,000	40,000	40,000
Wheaton Sanitary Ser. Charge	3,043	6,000	6,000	6,000	6,000	6,000
Interest Income-operating	0	80,157	31,330	31,330	39,164	39,164
Total Operating Revenue	6,556,588	6,857,817	6,889,631	6,946,248	7,719,064	7,758,977
Non-Operating Revenue						
Rental Income	100,618	74,422	188,705	154,300	160,473	166,892
Connection Fee - Water	25,800	20,500	6,000	250	7,000	14,250
Connection Fee - Sewer	46,850	32,800	9,600	525	14,700	29,925
Expansion Fee	293,423	212,790	30,000	2,596	72,660	147,915
Interest Income	724,716	385,000	270,000	322,000	276,000	314,000
Miscellaneous Revenue	882,197	0	0	0	0	0
Developer Contribution	543,185	0	0	0	0	0
Total Non-Operating Revenue	2,616,789	725,512	504,305	479,671	530,833	672,982
Other Funding Sources						
Reappropriation of Fund Balance	0	2,869,464	2,869,464	3,519,000	155,000	430,500
Installment Loan Proceeds	0	0	0	0	366,500	68,000
Total Other Funding Source	0	2,869,464	2,869,464	3,519,000	521,500	498,500
Total Revenue	\$ 9,173,377	\$ 10,452,793	\$ 10,263,400	\$ 10,944,919	\$ 8,771,397	\$ 8,930,459
Expenses						
Wastewater Collection & Treatment Center	\$ 3,157,589	\$ 3,167,089	\$ 2,964,697	\$ 3,266,567	\$ 3,129,468	\$ 3,261,061
Water/Sewer	\$ 3,986,097	\$ 5,968,503	\$ 3,351,384	\$ 6,951,184	\$ 4,774,411	\$ 4,778,374
Total Expenses	\$ 7,143,686	\$ 9,135,592	\$ 6,316,081	\$ 10,217,751	\$ 7,903,879	\$ 8,039,435
Net Income	\$ 2,029,691	\$ 1,317,201	\$ 3,947,319	\$ 727,168	\$ 867,518	\$ 891,024

**WATER AND SEWER FUND
EXPENSES BY DIVISION
2010-2012**



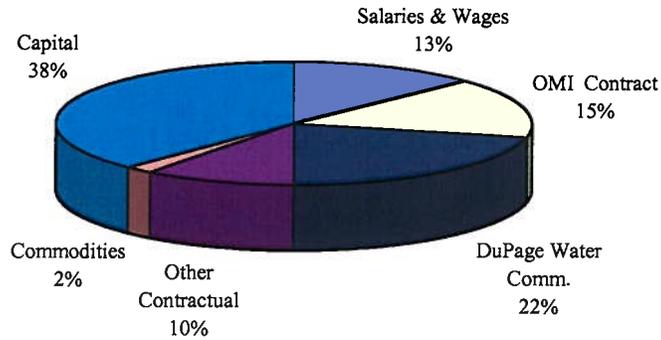
The largest expenditures within the Water and Sewer Fund are the purchase of Lake Michigan water which represents 33.0% of the operating budget and the management contract for the Water Reclamation Center which is 23.6%. Combined, they represent 56.6% of the total operating budget. The CIP (34%) is primarily the extension of the water main into the unincorporated area southwest of the Village.



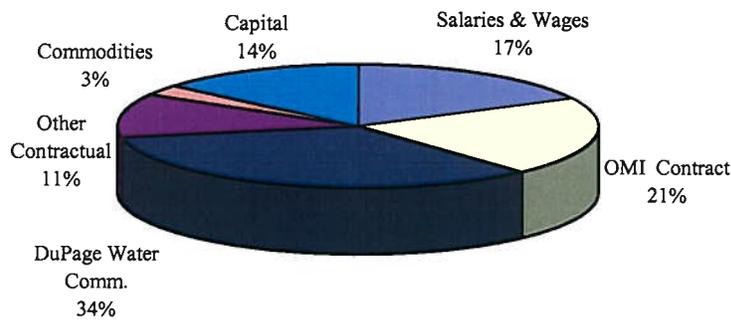
In FY10, the Water/Sewer Division represents 40% of the total Water and Sewer Fund budget and 60% of the operating budget. The purchase of Lake Michigan water represents 54.5% of the Water/Sewer Division's operating budget and the management contract for the Water Reclamation Center represents 60% of the Wastewater Collection & Treatment Center budget.

**WATER AND SEWER FUND
EXPENSES BY CLASS
2010-2012**

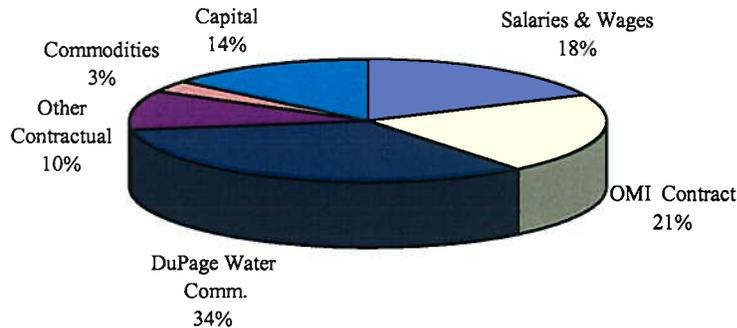
2010



2011



2012



36% (56.7% of the operating element) of the Water and Sewer Fund budget represents the cost of purchasing Lake Michigan Water and the management contract of the water reclamation operation. For the most part, the Capital allocation represents the Water and Sewer Fund Capital Improvement program.

Village of Carol Stream

WATER AND SEWER FUND

Budget Comparison

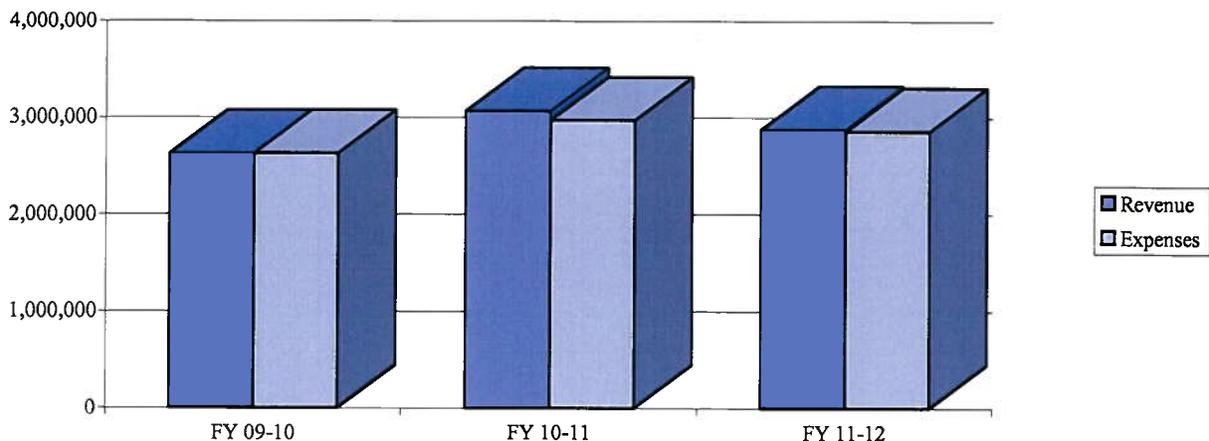
Division	Salaries & Wages			Contractual Services			Commodities			Capital Outlay			Totals		
	2010	2011	2012	2010	2011	2012	2010	2011	2012	2010	2011	2012	2010	2011	2012
Wastewater Collection & Treatment Center	\$ 192,671	\$ 204,707	\$ 217,067	\$ 2,044,774	\$ 2,085,017	\$ 2,063,653	\$ 10,219	\$ 10,415	\$ 10,662	\$ 1,018,903	\$ 829,329	\$ 969,679	\$ 3,266,567	\$ 3,129,468	\$ 3,261,061
Water/Sewer	1,113,249	1,173,488	1,233,918	2,795,607	3,126,365	3,146,608	213,928	216,191	218,578	2,828,400	258,367	179,270	6,951,184	4,774,411	4,778,374
Total	\$ 1,305,920	\$ 1,378,195	\$ 1,450,985	\$ 4,840,381	\$ 5,211,382	\$ 5,210,261	\$ 224,147	\$ 226,606	\$ 229,240	\$ 3,847,303	\$ 1,087,696	\$ 1,148,949	\$ 10,217,751	\$ 7,903,879	\$ 8,039,435

Village of Carol Stream
WATER AND SEWER FUND

Wastewater Collection & Treatment Center
Revenues & Expenses

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Operating Revenue						
Sewer Billings	\$ 2,480,173	\$ 2,656,584	\$ 2,692,831	\$ 2,558,405	\$ 2,796,103	\$ 2,807,820
Sewer Penalties	27,421	26,566	30,968	29,422	32,155	32,290
Miscellaneous Revenues	63,642	1,800	6,000	2,250	2,250	2,250
Shut-off Notice/Admin. Fee	19,974	15,000	22,500	22,500	20,000	20,000
Interest Income	0	40,078	15,665	15,665	19,582	19,582
Total Operating Revenue	2,591,210	2,740,028	2,767,964	2,628,242	2,870,090	2,881,942
Non-Operating Revenue						
Connection Fee - Sewer	46,850	32,800	9,600	525	14,700	29,925
Expansion Fee	146,711	106,395	15,000	1,298	36,330	73,957
Interest Income	362,358	192,500	135,000	161,000	138,000	157,000
Total Non-Operating Revenue	555,919	331,695	159,600	162,823	189,030	260,882
Other Funding Sources						
Reappropriation of Fund Balance	0	437,560	437,560	634,000	155,000	400,000
Installment Loan Proceeds	0	0	0	0	200,000	0
Total Other Funding Sources	0	437,560	437,560	634,000	355,000	400,000
Total Revenue	\$ 3,147,129	\$ 3,509,283	\$ 3,365,124	\$ 3,425,065	\$ 3,414,120	\$ 3,542,824
Expenses						
Wastewater Collection & Treatment Center	\$ 3,157,589	\$ 3,167,089	\$ 2,964,697	\$ 3,266,567	\$ 3,129,468	\$ 3,261,061
Total Expenses	\$ 3,157,589	\$ 3,167,089	\$ 2,964,697	\$ 3,266,567	\$ 3,129,468	\$ 3,261,061
Net Income	\$ (10,461)	\$ 342,194	\$ 400,427	\$ 158,498	\$ 284,652	\$ 281,763

OPERATING REVENUES vs. OPERATING EXPENSES 2010-2012



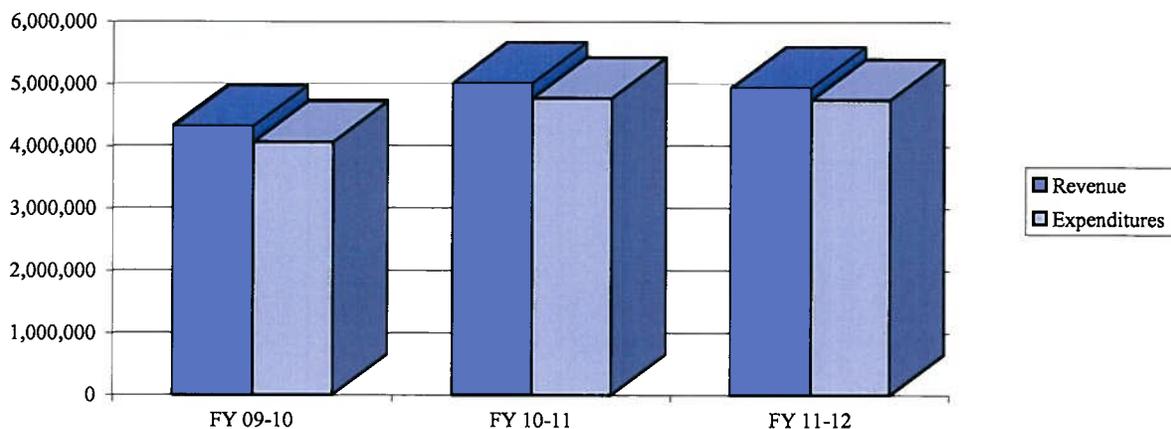
Village of Carol Stream

WATER AND SEWER FUND

Water/Sewer Revenues & Expenses

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Operating Revenue						
Water Billings	\$ 3,802,954	\$ 3,990,000	\$ 4,010,383	\$ 4,222,754	\$ 4,738,944	\$ 4,758,802
Water Penalties	37,750	39,900	46,119	48,562	54,498	54,726
Meter Sales	38,014	25,010	15,000	275	7,700	15,675
Miscellaneous Revenues	63,642	1,800	6,000	2,250	2,250	2,250
Shut-off Notices/Admin. Fee	19,975	15,000	22,500	22,500	20,000	20,000
Wheaton Sanitary Ser. Charge	3,043	6,000	6,000	6,000	6,000	6,000
Interest Income	0	40,079	15,665	15,665	19,582	19,582
Total Operating Revenue	3,965,378	4,117,789	4,121,667	4,318,006	4,848,974	4,877,035
Non-Operating Revenue						
Rental Income	100,618	74,422	188,705	154,300	160,473	166,892
Connection Fee - Water	25,800	20,500	6,000	250	7,000	14,250
Expansion Fee	146,712	106,395	15,000	1,298	36,330	73,958
Interest Income	362,358	192,500	135,000	161,000	138,000	157,000
Misc. Revenue	882,197	0	0	0	0	0
Developer Contribution	543,185	0	0	0	0	0
Total Non-Operating Revenue	2,060,870	393,817	344,705	316,848	341,803	412,100
Other Funding Sources						
Reappropriation of Fund Balance	0	2,431,904	2,431,904	2,885,000	0	30,500
Installment Loan Proceeds	0	0	0	0	166,500	68,000
Total Other Funding Sources	0	2,431,904	2,431,904	2,885,000	166,500	98,500
Total Revenue	\$ 6,026,248	\$ 6,943,510	\$ 6,898,276	\$ 7,519,854	\$ 5,357,277	\$ 5,387,635
Expenses						
Water/Sewer	3,986,097	5,968,503	3,351,384	6,951,184	4,774,411	4,778,374
Total Expenses	\$ 3,986,097	\$ 5,968,503	\$ 3,351,384	\$ 6,951,184	\$ 4,774,411	\$ 4,778,374
Net Income	\$ 2,040,151	\$ 975,007	\$ 3,546,892	\$ 568,670	\$ 582,866	\$ 609,261

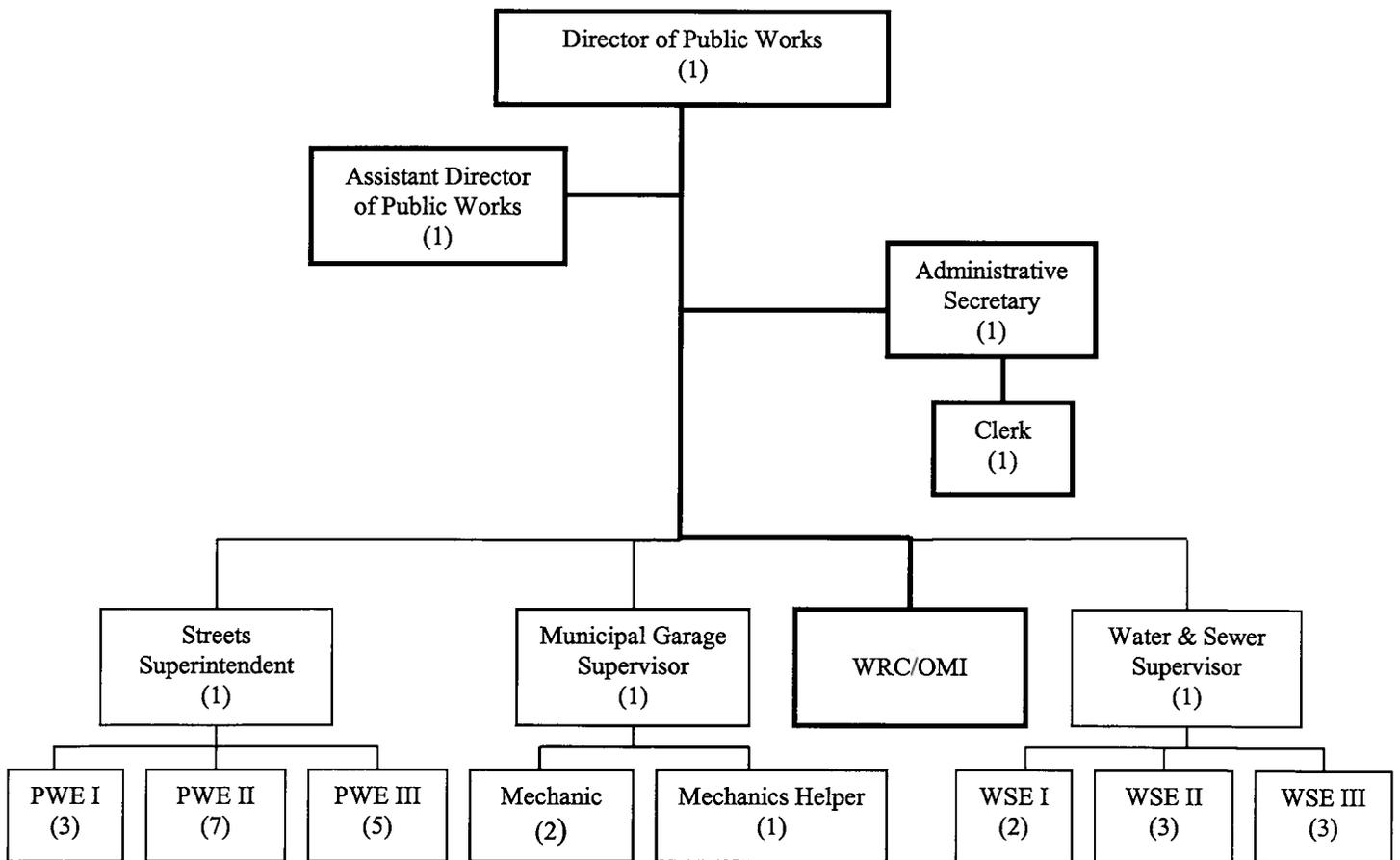
OPERATING REVENUES vs. OPERATING EXPENSES 2010- 2012



PERSONNEL SCHEDULE

	Authorized 2008	Budget 2009	Proposed 2010	Projected 2011	Projected 2012
Streets	20	20	20	20	20
Water/Sewer	9	9	9	9	9
Municipal Garage	4	4	4	4	4
Seasonal Employees	8	8	8	8	8

ORGANIZATIONAL CHART



Note: The Public Works Director, for budgetary purposes, is included in the General Corporate Fund – Public Works Department and is shown here for organizational purposes only.

NARRATIVE

The Village’s 6.5 million gallons per day (MGD) Water Reclamation Center (WRC) operates under permit conditions issued by the Illinois and United States Environmental Protection Agencies. A contract operator is responsible for daily operating decisions as well as the financial cost of daily operations. All of the employees at the Water Reclamation facility are employees of the contractor. In addition to the contract cost, additional Village expenses include program costs for administration, industrial pretreatment program administration, sewer system maintenance, and customer billing.

EXPENDITURE

Classification	(1)	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
	Actual 2007-08					
Administration		\$764,705	\$748,647	\$647,387	\$659,721	\$662,228
Daily Treatment Operations		1,759,499	1,631,430	1,720,201	1,746,706	1,718,831
Industrial Pre- treatment		27,003	27,676	19,520	20,547	21,643
Sewer System Maintenance & Repair		397,765	344,574	656,360	467,841	611,796
Utility Billing		218,117	212,370	223,099	234,653	246,563
Totals		\$3,157,589	\$2,964,697	\$3,266,567	\$3,129,468	\$3,261,061

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

NARRATIVE

The Administration Program includes staff time necessary for overseeing the contract operations, hosting regulatory audits, preparing the annual division budget, and preparing compliance reporting to regulatory authorities.

2009 ACCOMPLISHMENTS

1. Hosted the WRC's annual Open House where approximately 300 residents learned about the process of recycling wastewater into effluent that is recycled safely into Kline Creek. (Village Board Goal #11)
2. Completed the refencing along the east side of the WRC to allow the installation of the proposed bike path to the Easton Park Town homes. (Village Board Goal #22a)
3. An energy audit was completed to find potential energy-saving modifications.

2010 OBJECTIVES

1. Develop an action plan for recommendations of the energy audit.
2. Work with the Carol Stream Fire District to enhance the Open House experience at both of our facilities for the residents of Carol Stream.

WATER AND SEWER FUND

PUBLIC WORKS

Wastewater Collection & Treatment Center – Administration Detail

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 39,872	\$ 39,872	\$ 36,578	\$ 38,268	\$ 40,039
51111 Group Insurance	0	5,277	5,277	4,846	5,144	5,472
51112 IMRF	0	3,792	3,792	3,607	3,922	4,104
51113 FICA	0	3,050	3,050	2,798	2,928	3,063
51114 Workers Comp.	0	148	148	123	115	111
Subtotal	0	52,139	52,139	47,952	50,377	52,789
Contractual Services						
52223 Training	0	1,200	400	200	200	200
52230 Telephone	0	600	0	0	0	0
52231 Copy Expense	0	150	150	100	100	100
52232 Records Storage/Microfilming	0	100	0	0	0	0
52234 Dues & Subscriptions	0	150	100	156	156	156
52253 Consultant	0	10,000	0	0	0	0
52261 Liability Insurance	0	7,785	8,228	22,425	25,430	25,430
52263 Property Insurance	0	9,079	9,595	26,151	29,655	29,655
52272 NPDES Permit Fee	0	30,000	30,000	30,000	30,000	30,000
Subtotal	0	59,064	48,473	79,032	85,541	85,541
Commodities						
53314 Office Supplies	0	150	150	0	0	0
53317 Operating Supplies	0	1,500	1,500	1,500	1,500	1,545
Subtotal	0	1,650	1,650	1,500	1,500	1,545
Capital Outlay						
54413 Computer Equipment	0	1,700	1,233	0	450	300
54480 Construction	0	133,749	133,749	0	0	0
56490 Loan Principal	0	287,898	287,898	295,345	302,984	310,821
56491 Loan Interest	0	153,505	153,505	146,058	138,419	130,582
58702 Transfer to Replacement	0	70,000	70,000	70,000	70,000	70,000
57499 Contingency	0	5,000	0	7,500	10,450	10,650
Subtotal	0	651,852	646,385	518,903	522,303	522,353
Totals	\$ 0	\$ 764,705	\$ 748,647	\$ 647,387	\$ 659,721	\$ 662,228

NARRATIVE

The Village's contract for the daily operation of the WRC is with Operations Management International, (OMI). As part of the contract, OMI is responsible for the daily operating cost, while the Village pays for capital and major maintenance expenses.

2009 ACCOMPLISHMENTS

1. The Village's Water Reclamation Center was able to meet and exceed average monthly effluent standards, averaging 3.2 mg/l for BOD and 5.2 mg/l for suspended solids. The NPDES maximum limits are 10 mg/l and 12 mg/l, respectively.
2. Total wastewater treated was 1.99 billion gallons, an 11% increase over last year. 774 cubic yards of grit were removed in the primary process and 4,400 cubic yards of sludge were processed and hauled to a landfill, which was a 10% reduction over last year's quantity.
3. Under a design/build contract with OMI, the gas chlorine system was completely replaced with a liquid hypochlorite system, reducing the risk of a chlorine gas leak.
4. Sand Filter Cells #3 and #4 were rehabilitated to maintain the reliability of the final stage sand filters.
5. The ventilation system of the Sand Filter Building was replaced to improve air quality in the building.

2010 OBJECTIVES

1. Major maintenance activities for next year include the replacement of the roof on the sand filter building.

Wastewater Collection & Treatment Center – Daily Treatment Operations Detail

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Contractual Services						
52212 Auto Maintenance & Repr.	\$ 0	\$ 3,793	\$ 3,793	\$ 6,742	\$ 7,463	\$ 8,363
52224 Vehicle Insurance	0	256	256	0	0	0
52244 Maintenance and Repair	0	108,000	108,000	134,000	105,000	13,500
52262 OMI Contract	0	1,497,128	1,519,059	1,579,126	1,633,881	1,696,575
Subtotal	0	1,609,177	1,631,108	1,719,868	1,746,344	1,718,438
Commodities						
53313 Auto Gas	0	322	322	333	362	393
Subtotal	0	322	322	333	362	393
Capital Outlay						
54480 Construction	0	150,000	0	0	0	0
Subtotal	0	150,000	0	0	0	0
Totals	\$ 0	\$ 1,759,499	\$ 1,631,430	\$ 1,720,201	\$ 1,746,706	\$ 1,718,831

NARRATIVE

The Industrial Pretreatment Program element includes compliance activities for the National Pretreatment Regulations of the Clean Water Act. Each year, program staff are required to conduct annual industrial facility inspections, coordinate industrial discharge sample monitoring, review industrial wastewater surveys of new industries, computerize and tally quarterly sampling analyses of program industries, prepare quarterly correspondence and the IEPA annual report submission, initiate and track all enforcement activity. The program works to eliminate high discharges into the sanitary sewers, which allows the Wastewater Collection and Treatment Facility to operate within its permit.

2009 ACCOMPLISHMENTS

1. Sewer surcharge rate for FOG, BOD, and TSS was recalculated for current costs. New surcharge pricing will begin with the 2nd Quarter of 2009.
2. Issued five (5) violations to Industrial Users (IU) that had excursions to the sewer system that were not in accordance to their Industrial Wastewater Discharge Permit. Staff also collected \$6369.36 in surcharge fees from IU's for exceeding monthly average maximum concentrations for three parameters are Fats, Oils, and Grease (FOG), Biochemical Oxygen Demand (BOD), and Total Suspended Solids (TSS).

2010 OBJECTIVES

1. As a part of the ongoing pretreatment monitoring requirements, staff will be focusing on the area south of North Avenue. This monitoring will confirm which businesses are in each location and if whether or not they are a threat to our sewer system.

WATER AND SEWER FUND

PUBLIC WORKS

Wastewater Collection & Treatment Center – Industrial Pretreatment Detail

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 13,068	\$ 13,068	\$ 8,373	\$ 8,969	\$ 9,606
51111 Group Insurance	0	623	623	330	332	334
51112 IMRF	0	1,243	1,243	826	919	985
51113 FICA	0	1,000	1,000	641	686	735
51114 Workers Comp.	0	48	48	23	22	22
Subtotal	0	15,982	15,982	10,193	10,928	11,682
Contractual Services						
52212 Auto Maintenance & Repair	0	3,793	3,793	749	829	929
52222 Meetings	0	100	0	100	100	100
52233 Training	0	0	0	100	100	100
52224 Vehicle Insurance	0	256	659	1,006	1,006	1,006
52229 Postage	0	200	0	0	0	0
52240 Public Notices	0	200	50	100	100	100
52279 Lab Services	0	6,000	6,820	7,050	7,260	7,500
Subtotal	0	10,549	11,322	9,105	9,395	9,735
Commodities						
53313 Auto Gas	0	322	322	22	24	26
53317 Operating Supplies	0	0	0	200	200	200
53350 Small Equipment	0	150	50	0	0	0
Subtotal	0	472	372	222	224	226
Totals	\$ 0	\$ 27,003	\$ 27,676	\$ 19,520	\$ 20,547	\$ 21,643

NARRATIVE

Program responsibilities include keeping the 109 miles of sanitary sewers and 2,519 manholes free of obstructions and structurally sound. Maintenance personnel conduct inspections, maintenance and repairs of collection sewers, lift stations and wet wells. The computerized Supervisory Control and Data Acquisition (SCADA) system with problem alarm capability assists sewer maintenance staff in monitoring flow rates through lift stations and in detecting system collection problems should they arise. The Sewer System Maintenance and Repair Program is conducted by Water/Sewer Division staff, but is shown here for funding purposes.

2009 ACCOMPLISHMENTS

1. Awarded a design contract for the replacement of the Evergreen Sanitary Lift Station and the Tubeway Storm Water Lift Station, construction expected in FY10.
2. During the second year of our sewer televising, over 23,600 lineal feet of sanitary sewers were cleaned and televised. Staff is currently reviewing results of the televising and prioritizing a list of projects to be completed.

2010 OBJECTIVES

1. The Village will be in the third year of the contract for sanitary sewer televising. The focus will be on the sewer lines that have experience sanitary sewer overflows. The goal is to televise approximately 35,000 LF this year.
2. Monitor the construction of the Evergreen Drive Sanitary Lift Station. Construction is expected to begin in the Spring of 2009.
3. To finalize a rehabilitation plan for the sanitary sewer on Aztec Drive.

WATER AND SEWER FUND

PUBLIC WORKS

Wastewater Collection & Treatment Center – Sewer System Maint. & Repair Detail

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 115,397	\$ 115,397	\$ 38,685	\$ 40,513	\$ 42,428
51109 Overtime	0	3,000	2,190	4,155	4,407	4,676
51111 Group Insurance	0	24,399	24,399	8,940	9,463	10,099
51112 IMRF	0	11,260	11,260	4,224	4,604	4,828
51113 FICA	0	9,057	9,057	3,277	3,436	3,603
51114 Workers Comp.	0	6,720	6,720	1,684	1,589	1,498
Subtotal	0	169,833	169,023	60,965	64,012	67,132
Contractual Services						
52212 Auto Maintenance & Repair	0	7,586	7,586	7,491	8,293	9,292
52223 Training	0	0	0	200	200	200
52224 Vehicle Insurance	0	513	513	0	0	0
52230 Telephone	0	3,780	2,820	3,780	3,780	3,893
52244 Maintenance & Repair	0	0	2,200	0	0	0
52248 Electricity	0	15,500	15,500	13,500	13,905	14,322
52272 NPDES Permit Fee	0	2,100	1,500	1,360	1,360	1,360
52277 Heating Gas	0	1,000	1,000	1,200	1,236	1,273
52297 Sewer System Maintenance	0	60,000	60,000	60,000	60,000	61,800
Subtotal	0	90,479	91,119	87,531	88,774	92,140
Commodities						
53313 Auto Gas	0	642	642	1,864	2,029	2,198
53317 Operating Supplies	0	500	500	500	500	500
53332 Sewer System Supplies	0	10,000	3,500	5,000	5,000	5,000
53350 Small Equipment	0	500	300	500	500	500
Subtotal	0	11,642	4,942	7,864	8,029	8,198
Capital Outlay						
54412 Other Equipment	0	0	0	0	12,000	0
54415 Vehicles	0	0	0	0	200,000	0
54417 Radio	0	0	0	0	700	0
54480 Construction	0	125,811	79,490	500,000	50,000	400,000
56493 Installment Loan	0	0	0	0	44,326	44,326
Subtotal	0	125,811	79,490	500,000	307,026	444,326
Totals	\$ 0	\$ 397,765	\$ 344,574	\$ 656,360	\$ 467,841	\$ 611,796

NARRATIVE

The Utility Billing program for the combined water and sewer system is a function of the Financial Management Department. Residential and commercial water and sewer accounts are billed monthly. The Financial Management Department is also responsible for addressing all customer questions and concerns in regard to their utility accounts, as well as all recordkeeping for payments received, receivables, late notices and shut-offs for non-payment. The Financial Management Department's operating costs for the utility billing function are charged directly to the Water and Sewer Fund.

2009 ACCOMPLISHMENTS

1. Fully implemented the MUNIS utility billing software.

2010 OBJECTIVES

1. Prepare a procedures manual for the MUNIS utility billing program by October 31, 2009.

Wastewater Collection & Treatment Center – Utility Billing Detail

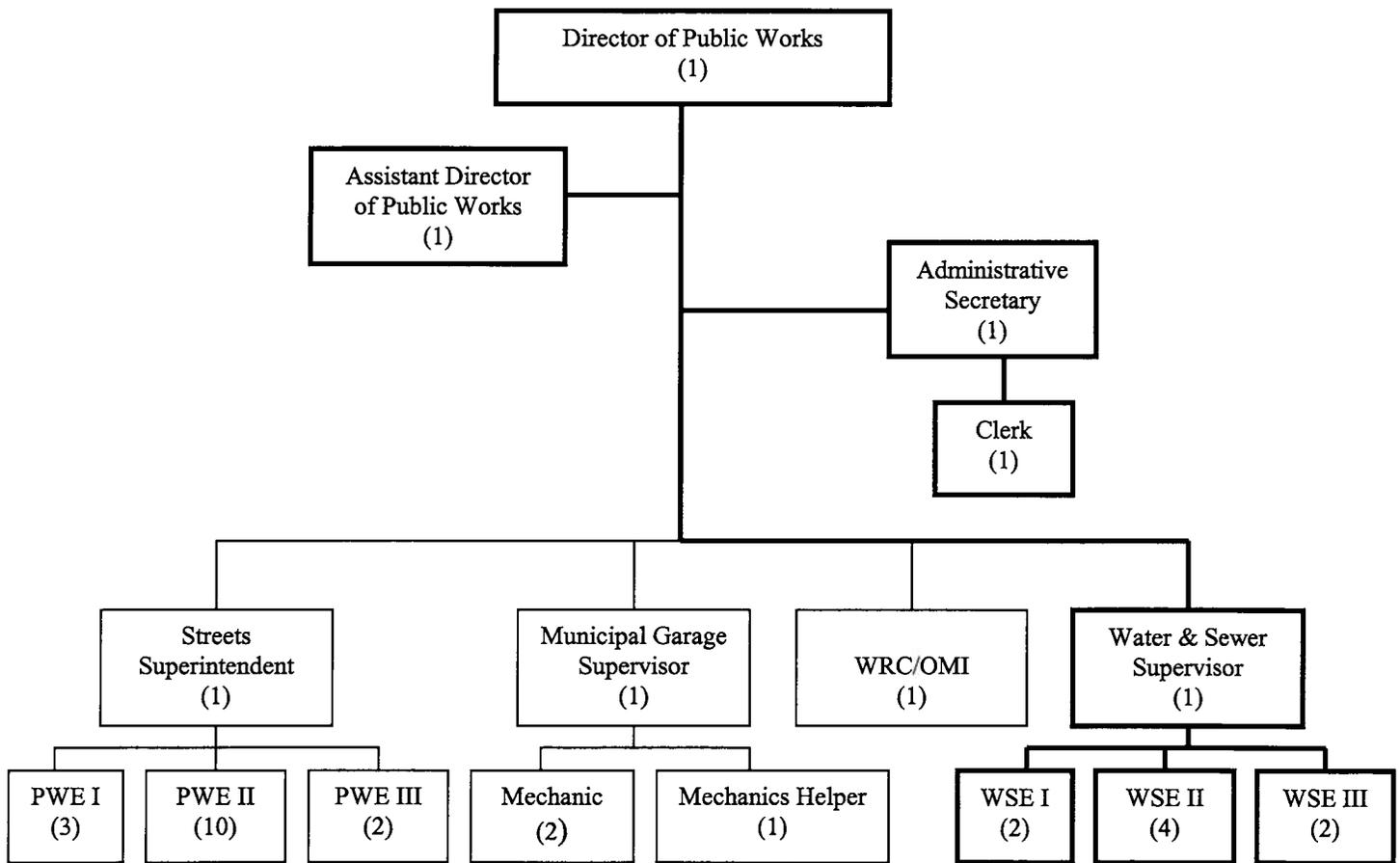
EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 52,440	\$ 52,440	\$ 54,853	\$ 59,150	\$ 63,786
51111 Group Insurance	0	8,741	8,741	9,101	9,698	10,337
51112 IMRF	0	4,726	4,726	5,115	5,732	6,179
51113 FICA	0	4,012	4,012	4,196	4,523	4,880
51114 Workers Comp.	0	369	369	296	287	282
Subtotal	0	70,288	70,288	73,561	79,390	85,464
Contractual Services						
52221 Utility Bill Processing	0	58,235	58,235	60,498	64,230	64,818
52229 Postage	0	21,846	20,000	22,513	23,283	24,266
52230 Telephone	0	0	0	300	300	300
52232 Records Storage	0	0	0	100	100	100
52237 Audit Fees	0	3,293	3,292	3,423	3,560	3,774
52280 Municipal Service Charge	0	58,555	58,555	62,404	63,490	64,541
Subtotal	0	141,929	140,082	149,238	154,963	157,799
Commodities						
53315 Printed Materials	0	300	0	300	300	300
Subtotal	0	300	0	300	300	300
Capital Outlay						
54412 Other Equipment	0	5,600	2,000	0	0	3,000
Subtotal	0	5,600	2,000	0	0	3,000
Totals	\$ 0	\$ 218,117	\$ 212,370	\$ 223,099	\$ 234,653	\$ 246,563

PERSONNEL SCHEDULE

	Authorized 2008	Budget 2009	Proposed 2010	Projected 2011	Projected 2012
Streets	20	20	20	20	20
Water/Sewer	8	9	9	9	9
Municipal Garage	4	4	4	4	4
Seasonal Employees	8	8	8	8	8

ORGANIZATIONAL CHART



NARRATIVE

The mission of the Water Division is to provide Village water customers with continuous, high quality water, meeting national drinking water standards. Five programs exist which directly support this mission: administration, distribution system operation and maintenance, daily inspections, water metering, and finance. These five programs operate under the direct supervision of the Village’s Water/Sewer Supervisor, who reports to the Director of Public Works.

EXPENDITURE

Classification	(1) Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Administration		\$226,184	\$219,861	\$373,271	\$394,711	\$418,954
Distribution System Operation and Maintenance		5,081,277	2,598,327	5,894,471	3,649,203	3,586,710
Daily Inspections		99,335	97,335	71,814	94,113	107,800
Water Metering		273,163	152,763	321,868	333,100	369,781
Utility Billing		288,544	283,098	289,760	303,284	295,129
Totals	\$3,986,097	\$5,968,503	\$3,351,384	\$6,951,184	\$4,774,411	\$4,778,374

(1) The Village has historically budgeted on a line item basis. The FY09 budget was the first year that the Village moved to a program-cost budget. Therefore, the numbers for the 2007-08 Actual are not available on a program basis.

NARRATIVE

The Administration Program includes a variety of staff support activities which include coordinating the purchase of supplies, services and equipment, preparing daily and monthly accounting of water distribution, management of water system capital improvements projects, evaluation of employee performance, preparing the annual Division budget plan, providing administrative support for the analytical sampling program and technical data support for the meter repair and replacement program.

2009 ACCOMPLISHMENTS

1. Public Works staff worked with Engineering staff and our consulting engineers to complete the Southwest Water Main Design.

2010 OBJECTIVES

1. Work with the Engineering Department and the Village Consultant to award and monitor the construction of the SW Water main Extension.

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 108,476	\$ 108,476	\$ 223,448	\$ 234,216	\$ 245,527
51106 Seasonal Help	0	0	328	0	0	0
51109 Overtime	0	0	831	0	0	0
51111 Group Insurance	0	20,699	20,699	41,201	43,852	46,756
51112 IMRF	0	10,316	10,316	22,032	24,007	25,167
51113 FICA	0	8,298	8,298	17,094	17,918	18,783
51114 Workers Comp.	0	2,376	2,376	5,976	5,630	5,310
Subtotal	0	150,165	151,324	309,751	325,623	341,543
Contractual Services						
52212 Auto Maintenance & Repair	0	2,976	\$ 2,976	4,012	4,442	4,977
52215 Insurance Deductibles	0	0	0	10,000	10,000	10,000
52222 Meetings	0	100	20	100	100	100
52223 Training	0	250	250	250	250	250
52224 Vehicle Insurance	0	1,103	1,103	1,174	1,174	1,174
52227 Radio Maintenance	0	200	0	0	0	0
52229 Postage	0	1,000	250	0	0	0
52230 Telephone	0	2,100	0	0	0	0
52231 Copy Expense	0	150	150	100	100	100
52232 Records Storage	0	100	0	0	0	0
52234 Dues & Subscriptions	0	410	400	300	310	310
52261 Liability Insurance	0	7,785	8,228	22,425	25,430	28,255
52263 Property Insurance	0	7,306	7,721	21,045	23,865	26,517
52267 Uniform Cleaning	0	1,300	1,200	0	0	0
52279 Lab Services	0	20,000	15,000	0	0	0
Subtotal	0	44,780	37,298	59,406	65,671	71,683
Commodities						
53313 Auto Gas	0	1,735	1,735	2,314	2,517	2,728
53314 Office Supplies	0	750	750	700	700	700
53315 Printed Materials	0	350	350	200	200	200
Subtotal	0	2,835	2,835	3,214	3,417	3,628
Capital Outlay						
54413 Computer Equipment	0	0	0	900	0	2,100
54480 Construction	0	23,404	23,404	0	0	0
57499 Contingency	0	5,000	5,000	0	0	0
Subtotal	0	28,404	28,404	900	0	2,100
Totals	\$ 0	\$ 226,184	\$ 219,861	\$ 373,271	\$ 394,711	\$ 418,954

NARRATIVE

The Distribution System Operations and Maintenance Program includes maintenance and repair costs of operating three (3) water pressure adjusting stations, three (3) standby supply wells, five (5) water storage tanks, one-hundred thirty-eight (138) linear miles of water supply main, one-thousand seven-hundred (1,700) fire hydrants, and one-thousand five hundred and seven (1507) control valves. Program personnel conduct continuous inspection and maintenance of the distribution system, including the emergency back-up well system equipment to maximize system reliability. Program personnel conduct routine system maintenance including, valve exercising and annual flushing of water mains in the spring of each year to reduce sediment build-up in the supply mains.

2009 ACCOMPLISHMENTS

1. Completed the fourth year of the valve exercising program. All 188 of the water valves in Work Zone #1 were exercised. Less than 1% of the valves that were exercised needed to be repaired after exercising was completed.
2. Total water use accountability was 96.8%, 4.8% better than the 92 % State requirements and better than the 2007 Accountability of 94.53%.
3. In order to insure the highest level of water accountability, a leak detection survey was completed by an outside professional consultant. The survey was conducted using state of the art sounding equipment and 100% of the system's mains, hydrants and valves were tested. Only four (4) small leaks at fire hydrants were found and repaired by Water Division staff. (Village Board Goal #11)

2010 OBJECTIVES

1. Continue water valve exercising in work Zone 2 and work with the Engineering Department and consultants to revise system maps as field information is obtained. There are 136 water valves identified in work Zone 2. (Village Board Goal #16)

WATER AND SEWER FUND

PUBLIC WORKS

Water Division - Distribution System Operations and Maintenance Detail

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 331,256	\$ 331,256	\$ 346,036	\$ 362,691	\$ 380,188
51106 Seasonal Help	0	8,880	5,231	9,120	9,360	9,360
51109 Overtime	0	33,750	33,750	46,758	49,186	51,750
51111 Group Insurance	0	65,767	65,767	11,871	12,669	13,513
51112 IMRF	0	34,712	34,712	38,730	42,217	44,274
51113 FICA	0	28,602	28,602	30,746	32,225	33,759
51114 Workers Comp.	0	21,161	21,161	14,599	13,776	12,993
Subtotal	0	524,128	520,479	497,860	522,124	545,837
Contractual Services						
52212 Auto Maintenance & Repair	0	19,344	19,344	18,238	20,190	22,624
52223 Training	0	1,750	1,200	600	600	600
52224 Vehicle Insurance	0	7,202	7,202	3,915	3,915	3,915
52230 Telephone	0	21,000	20,000	22,858	23,543	24,250
52234 Dues & Subscriptions	0	0	0	180	120	60
52243 Paging	0	300	372	350	350	362
52244 Maintenance and Repair	0	45,620	31,000	191,190	54,120	68,662
52248 Electricity	0	85,250	65,000	72,700	74,900	77,130
52253 Consultant	0	12,500	12,390	0	0	0
52264 Equipment Rental	0	500	0	500	500	500
52267 Uniform Cleaning	0	0	0	1,250	1,250	1,250
52272 Property Maintenance	0	0	1,200	1,360	1,360	1,360
52277 Heating Gas	0	1,200	1,200	1,400	1,400	1,432
52279 Lab Services	0	0	0	22,500	22,500	22,500
52283 DuPage County Water Comm.	0	1,872,333	1,817,910	2,215,838	2,665,276	2,676,445
52284 Equipment Maintenance	0	1,500	800	1,200	1,200	1,200
Subtotal	0	2,068,499	1,977,618	2,554,079	2,871,224	2,902,290
Commodities						
53313 Auto Gas	0	11,330	11,330	7,712	8,392	9,094
53316 Small Tools	0	1,500	1,500	1,500	1,500	1,545
53317 Operating Supplies	0	26,000	26,000	29,500	29,500	29,500
53324 Uniforms	0	3,500	3,500	4,320	4,320	4,320
53350 Small Equipment	0	2,000	2,000	2,000	2,000	2,000
Subtotal	0	44,330	44,330	45,032	45,712	46,459
Capital Outlay						
54412 Other Equipment	0	7,600	7,600	0	100,000	0
54415 Vehicles	0	44,020	32,600	0	51,500	28,000
54417 Radios	0	700	700	0	2,100	700
54422 Installment Loans	0	0	0	0	33,043	39,174
54480 Construction	0	2,392,000	15,000	2,790,000	0	0
57499 Contingency	0	0	0	7,500	23,500	24,250
Subtotal	0	2,444,320	55,900	2,797,500	210,143	92,124
Totals	\$ 0	\$ 5,081,277	\$ 2,598,327	\$ 5,894,471	\$ 3,649,203	\$ 3,586,710

NARRATIVE

The Daily Inspections program includes staff resources to conduct inspections of water and sewer installations, service connections, main extensions and meter installations. Inspection program personnel are also assigned to administer the Illinois Environmental Protection Agency mandated monthly water sampling program to maintain water quality certification status with regulatory authorities. Since demand for daily inspections varies with the extent of building activity within the Village, program costs may fluctuate according to projected building estimates.

2009 ACCOMPLISHMENTS

1. Staff completed 70 certificate-of-occupancy (C.O.) inspections and witnessed 7 chlorination and pressure tests of new connections to our water system.
2. Five hundred sixteen (516) bacteriological samples were collected throughout the water system to comply with Environmental Protection Agency regulations and ensure water quality. All samples were within parameters set by the IEPA.
3. Water Division Staff collected six (6) rounds of water samples under the EPA's "Stage 2 Disinfection By-Products (Stage 2 DBP)" Rule. These samples were within EPA standards. Staff also filed the required IDSE report to the EPA before the January 1, 2009 deadline.
4. Lead and Copper sampling, which is required every three years, was conducted at 30 residential sites throughout the Village. The results indicated, just as in past years, that the Village tested within IEPA limits.

2010 OBJECTIVES

1. During 2009, Water Division staff will start the next phase of the Stage 2 DBP sampling and will complete four (4) more water sample collections under the DBP Monitoring Program.

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 61,829	\$ 61,829	\$ 36,290	\$ 37,975	\$ 39,741
51109 Overtime	0	1,750	1,750	0	0	0
51111 Group Insurance	0	10,898	10,898	13,262	14,160	15,121
51112 IMRF	0	6,046	6,046	3,578	3,892	4,073
51113 FICA	0	4,864	4,864	2,776	2,905	3,040
51114 Workers Comp.	0	3,673	3,673	1,568	1,478	1,392
Subtotal	0	89,060	89,060	57,474	60,410	63,367
Contractual Services						
52212 Auto Maintenance & Repair	0	3,720	3,720	6,201	6,865	7,692
52224 Vehicle Insurance	0	1,281	1,281	2,740	2,740	2,740
52272 Property Maintenance	0	2,100	500	0	0	0
Subtotal	0	7,101	5,501	8,941	9,605	10,432
Commodities						
53313 Auto Gas	0	2,174	2,174	5,399	5,874	6,366
53324 Uniforms	0	1,000	600	0	0	0
Subtotal	0	3,174	2,774	5,399	5,874	6,366
Capital Outlay						
54415 Vehicles	0	0	0	0	15,000	20,000
54422 Installment Loans	0	0	0	0	3,224	7,635
Subtotal	0	0	0	0	18,224	27,635
Totals	\$ 0	\$ 99,335	\$ 97,335	\$ 71,814	\$ 94,113	\$ 107,800

NARRATIVE

The Water Metering Program includes costs to inspect, repair, replace, and read water meters. A meter maintenance program exists to record and track all maintenance activity for the meters in the system. The program tracks system meters by age, location and repair codes and schedules needed meter replacements based upon a recommended 15-year operational life. The program also monitors the age of the Automatic Meter Reading (AMR) batteries and schedules the AMR replacements. Timely and efficient replacement of system meters ensures financial integrity and accountability in the billing and revenue collection for water resource use. Meter maintenance program personnel also respond to various other requests such as turn offs/ons and repairing leaking meters.

2009 ACCOMPLISHMENTS

1. Staff replaced 66 AMRs during the past year due to their inability to transmit a reading. That is an increase over the 43 meters that failed in the previous year, but still represents only a 1.38% failure rate over all.

2010 OBJECTIVES

1. The proposed budget of \$150,000 per year includes a reserve for the replacement of AMRs. Any funds that are available at the end of the year will be reserved for the future replacement of AMRs.

WATER AND SEWER FUND

PUBLIC WORKS

Water Division – Water Metering Detail

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 66,674	\$ 66,674	\$ 55,634	\$ 59,686	\$ 64,040
51109 Overtime	0	2,450	2,450	5,195	5,465	5,750
51111 Group Insurance	0	13,637	13,637	63,927	68,176	72,741
51112 IMRF	0	6,313	6,313	5,704	6,347	6,794
51113 FICA	0	5,288	5,288	4,653	4,984	5,339
51114 Workers Comp.	0	3,777	3,777	2,228	2,151	2,077
Subtotal	0	98,139	98,139	137,341	146,809	156,741
Contractual Services	0					
52212 Auto Maintenance & Repair	0	6,696	6,696	8,025	8,884	9,955
52224 Vehicle Insurance	0	2,498	2,498	5,219	5,219	5,219
52230 Telephone	0	0	0	0	0	0
52243 Paging	0	100	0	0	0	0
52282 Meter Maintenance	0	11,000	11,000	11,000	11,000	11,330
Subtotal	0	20,294	20,194	24,244	25,103	26,504
Commodities	0					
53313 Auto Gas	0	3,930	3,930	10,283	11,188	12,125
53316 Small Tools	0	300	200	0	0	0
53317 Operating Supplies	0	0	0	0	0	0
53324 Uninforms	0	500	300	0	0	0
53333 Meters	0	150,000	30,000	150,000	150,000	150,000
Subtotal	0	154,730	34,430	160,283	161,188	162,125
Capital Outlay						
54415 Vehicles	0	0	0	0	0	20,000
54422 Installment Loans	0	0	0	0	0	4,411
Subtotal	0	0	0	0	0	\$24,411
Totals	\$ 0	\$ 273,163	\$ 152,763	\$ 321,868	\$ 333,100	\$ 369,781

NARRATIVE

The Utility Billing program for the combined water and sewer system is a function of the Financial Management Department. Residential and commercial water and sewer accounts are billed monthly. The Financial Management Department is also responsible for addressing all customer questions and concerns in regard to their utility accounts, as well as all recordkeeping for payments received, receivables, late notices and shut-offs for non-payment. The Financial Management Department's operating costs for the utility billing function are charged directly to the Water and Sewer Fund.

2009 ACCOMPLISHMENTS

1. Fully implemented the MUNIS utility billing software.

2010 OBJECTIVES

1. Prepare a procedures manual for the MUNIS utility billing program by October 31, 2009.

EXPENDITURE

Acct. #/Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated Expenses FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Salaries & Wages						
51102 Personal Services	\$ 0	\$ 76,975	\$ 76,975	\$ 80,773	\$ 86,164	\$ 91,936
51111 Group Insurance	0	15,052	15,052	15,681	16,719	17,827
51112 IMRF	0	7,320	7,320	7,964	8,832	9,423
51113 FICA	0	5,889	5,889	6,179	6,592	7,033
51114 Workers Comp.	0	285	285	226	215	211
Subtotal	0	105,521	105,521	110,823	118,522	126,430
Contractual Services						
52212 Auto Maintenance & Repair	0	2,976	2,976	0	0	0
52221 Utility Bill Processing	0	58,235	58,235	60,498	64,230	64,818
52224 Vehicle Insurance	0	1,103	1,103	0	0	0
52229 Postage	0	21,846	20,000	22,513	23,383	2,466
52232 Records Storage	0	0	0	100	100	100
52237 Audit Fees	0	3,293	3,293	3,423	3,560	3,774
52280 Municipal Service Charge	0	58,235	58,235	62,403	63,489	64,541
Subtotal	0	145,688	143,842	148,937	154,762	135,699
Commodities						
53313 Auto Gas	0	1,735	1,735	0	0	0
Subtotal	0	1,735	1,735	0	0	0
Capital Outlay						
54412 Other Equipment	0	5,600	2,000	0	0	3,000
58702 Transfer to Replacement	0	30,000	30,000	30,000	30,000	30,000
Subtotal	0	35,600	32,000	30,000	30,000	33,000
Totals	\$ 0	\$ 288,544	\$ 283,098	\$ 289,760	\$ 303,284	\$ 295,129

CAPITAL

IMPROVEMENT

PROGRAM

and

BUDGET

**50
YEARS**



INTRODUCTION

The Capital Improvement Program (CIP) is a multi-year plan that forecasts spending for anticipated capital projects. The plan addresses both maintenance and replacement of existing infrastructure as well as the development of new facilities to accommodate future growth and activities. It enables the Village to identify capital projects and to coordinate facility financing and timing. An effective CIP consists of both an administrative process to identify the location, scale, and timing of needed capital projects and a fiscal plan to provide for the funding of those projects.

The CIP links the Village's Capital planning and budgeting functions. The CIP helps implement past policy decisions by establishing priorities among existing and competing capital needs and is also be used to measure and evaluate the merits of new proposals.

OVERVIEW

The projected 2010-14 segment of the Capital Improvement Program is \$38.10 million (m) with the FY10 year being \$9.36m. Due to projected shortfalls in the Motor Fuel Tax Fund (MFT), the flexible pavement program was moved from MFT to the General Corporate Fund – CIP in FY09.

Roadways

The goal is to maintain a “good” rating based on a nationally recognized rating system. The recommended program of maintenance to include crack filling, pavement rejuvenation, resurfacing, and overlaying will provide the means to meet this goal.

The reconstruction of Fair Oaks Road Phase II is scheduled for FY12 with right-of-way acquisition occurring in FY10 and design in FY11. The reconstruction of Morton Road Phase I is scheduled for FY11 with design in FY10.

Water and Sewer Utilities

The Water and Sewer Utilities include nine projects with a total cost of \$5.9m. The largest project is the extension of the water main into the unincorporated portion of the Village's southwest planning corridor at a projected cost of \$2.65m. With the discovery of contaminated private wells in the unincorporated areas, the Village decided to use the rebate (\$1.90m) from the DuPage Water Commission to help fund this project. This project will provide the back-bone water system from which to expand, if necessary, in order to provide Lake Michigan water to the unincorporated areas southwest of Carol Stream. FY14 includes the construction of a Southwest Reservoir and Pumping Station to serve the southwest area of the Village as well as the unincorporated areas.

Facilities

The tent at the Town Center is scheduled for replacement in FY11. Staff is looking into the option of replacing the tent with a pavilion with retractable sides.

Stormwater Utilities

The Tubeway Stormwater Lift Station is scheduled to be replaced in FY11 at a cost of \$.35m.

CAPITAL FINANCING STRATEGY

The Village's capital financing involves decisions and long-term commitments that require planning as careful and as deliberate as the planning that is used to develop the CIP construction plan.

The CIP document includes a Capital Financing Strategy designed to assist both staff and the Board in the development of a strategy as to how to pay for these projects. A capital financing strategy should (1) limit the cost of providing capital infrastructure and equipment while meeting the Village's needs, (2) ensure financial strength and flexibility in the future, (3) assure that the residents that use the infrastructure are paying for its use and (4) strengthening the Village's standing with the bond-rating agencies, bond buyers, regulators and our customers.

CONCLUSION

Completion of the projects in the Capital Improvement Program will move the Village toward "good" street and utility systems and prolong the life of their various components, provide utility capacity to meet customer demand, provide water to areas outside of the Village limits that have experienced contaminated wells, encourage the further economic development of the community and continue to enhance the appearance of the Village.

The Capital Improvement Program is currently funded out of capital reserves. Although there are enough reserves to fund the 5-year General Corporate CIP, there are not enough reserves to fully fund a sixth year. The Motor Fuel Tax Fund only has enough funding to fully fund through FY12. In order to continue to implement the CIP, additional designated revenue sources will need to be identified and implemented in the next couple of years.

2009-10 CAPITAL IMPROVEMENT PROJECTS IMPACTS ON OPERATING FUNDS

1. **Fair Oaks Road Phase II Improvement:** This project is the design engineering for Phase II (Plum Grove Court to the southern Village limits) of the overall Fair Oaks Road reconstruction.

Impact on Future Operating Budget: There is no immediate impact on operations.

2. **West Branch DuPage River Trail:** This project dovetails with the Fair Oaks Road Improvement projects as well as the Fair Oaks Road Bike Path project. This project includes a 10' wide bituminous path along the east side of Fair Oaks Road from Lies Road to St. Charles Road, west to the West Branch of the DuPage River.

Impact on Future Operating Budget: There is no immediate impact on operations.

3. **Fair Oaks Road Bike Path:** This project includes a 10' wide bituminous path along the east side of Fair Oaks Road from Oxford Drive to Lies Road.

Impact on Future Operating Budget: There is no immediate impact on operations.

4. **Kuhn Road Trail:** This project is a 2.3 mile long shared-use path on the west side of Kuhn Road from Lies Road, ultimately connecting to the Great Western Trail.

Impact on Future Operating Budget: There is no immediate impact on operations.

5. **Flexible Pavement Resurfacing/Structural Overlay:** This is an annual project that involves either a 1.5" maintenance overlay or a 2" structural overlay on selected streets.

Impact on Future Operating Budget: Will decrease the maintenance costs to the roadway. The decreased maintenance costs are negligible. Normal maintenance operations, preservation sealing and crackfilling, will be performed.

6. **Flexible Pavement Reconstruction:** This is an annual project whereby the entire asphalt section (binder and surface courses) is removed and replaced.

Impact on Future Operating Budget: Will decrease the maintenance costs to the roadway. The decreased maintenance costs are negligible. Normal maintenance operations, preservation sealing and crackfilling, will be performed.

7. **West Street/Gunderson Drive Reconstruction:** Both West Street from Della Avenue to Gunderson Drive and Gunderson Drive from West Street to Main Place will be totally reconstructed. Construction will include the addition of curb and gutter and streetlights.

Impact on Future Operating Budget: Will decrease the maintenance costs to the roadway due to the change in the surface. The decreased maintenance costs are negligible.

- 8. Morton Road Phase I Reconstruction:** This project calls for the total reconstruction of 3,400' of roadway with the addition of curb and gutter, storm sewers, drainage ditches, detention, sidewalks or bicycle paths and streetlights.

Impact on Future Operating Budget: Will decrease the maintenance costs to the roadway. The decreased maintenance costs are negligible.

- 9. Gary Avenue/Lies Road Pedestrian Improvements:** This project entails the continuation of the existing 10' wide asphalt bike path along the north side of Lies Road to Gary Avenue.

Impact on Future Operating Budget: There is no immediate impact on operations.

- 10. Streetlight Replacement Program:** This project involves the removal and replacement of nine deteriorated concrete streetlight poles with standard aluminum poles and screw-in bases. The control box and 3,700' of wiring will also be replaced.

Impact on Future Operating Budget: Will slightly decrease the energy consumption and cost.

- 11. Well #2 Pump Rehabilitation:** The project entails the pulling of Well Pump #2 in order to inspect, perform maintenance and conduct any needed repairs.

Impact on Future Operating Budget: The proposed Water and Sewer operating budget includes \$20,000 for the cost of pulling the pump.

- 12. Evergreen Lift Station Replacement:** This project is the replacement of the current lift station with a submersible station.

Impact on Future Operating Budget: With higher efficiency motors, there will be a decrease in the electrical demand.

- 13. Southwest Water Main Extension:** Due to the presence of contaminated wells in the unincorporated area southwest of the Village limits, a 9,000' water main is going to be built in order to provide those residents Lake Michigan water if/when its necessary.

Impact on Future Operating Budget: The maintenance cost for water mains will increase but the increase should be negligible. In addition, dependent on the number of customers that connect, the amount of water purchased will increase.

- 14. Well House #3 Driveway:** This project entails the paving of the driveway to Well House #3.

Impact on Future Operating Budget: There is no immediate impact on operations.

Village of Carol Stream

CAPITAL IMPROVEMENT PROGRAM

Five Year Plan: 2010-2014

CIP Projects By Fund (\$000)	Fund	FY 10 Budget	FY 11 Planned	FY 12 Planned	FY 13 Planned	FY 14 Planned	Total
Roadways:							
1. Fair Oaks Rd. Phase II Improvement*	GCF	\$576	\$207	\$5,012	\$557	\$0	\$6,352
2. West Branch DuPage River Trail*	GCF	\$91	\$75	\$1,029	\$113	\$0	\$1,308
3. Fair Oaks Road Bike Path*	GCF	\$58	\$46	\$620	\$68	\$0	\$792
4. Kuhn Rd. Trail*	GCF	\$419	\$1,201	\$0	\$0	\$0	\$1,620
5. Flexible Pave. Resurf/Structural Overla	GCF	\$1,891	\$1,986	\$2,085	\$1,628	\$2,299	\$9,889
6. Flexible Pavement Reconstruction	MFT	\$573	\$1,252	\$1,315	\$1,379	\$1,449	\$5,968
7. Fullerton Ave. Pavement Rehabilitation	MFT	\$551	\$0	\$0	\$0	\$0	\$551
8. Kuhn Rd. Pavement Rehabilitation*	MFT	\$0	\$5	\$80	\$850	\$0	\$935
9. West St./Gunderson Dr. Reconstruction	GCF	\$1,522	\$0	\$0	\$0	\$0	\$1,522
10. Morton Rd. Phase I Reconstruction*	GCF	\$173	\$1,307	\$560	\$0	\$0	\$2,040
11. Old Gary Ave. Reconstruction*	GCF	\$0	\$0	\$0	\$0	\$140	\$140
12. Gary Ave./Lies Rd. Pedestrian Improve	GCF	\$72	\$0	\$0	\$0	\$0	\$72
13. Gary Ave. Improvements*	GCF	\$100	\$0	\$0	\$0	\$0	\$100
14. Streetlight Replacement Program	GCF	\$43	\$89	\$65	\$68	\$47	\$312
Subtotal		\$6,069	\$6,168	\$10,766	\$4,663	\$3,935	\$31,601
Water and Sewer Utilities:							
1. Well #2 Rehabilitation	W/S	\$60	\$0	\$0	\$0	\$0	\$60
2. Evergreen Lift Station Replacement	W/S	\$500	\$0	\$0	\$0	\$0	\$500
3. Southwest Water Main Extension*	W/S	\$2,653	\$0	\$0	\$0	\$0	\$2,653
4. SW Reservoir & Pumping Station	W/S	\$0	\$0	\$0	\$0	\$922	\$922
5. Oswego Drive Water Main Replacemen	W/S	\$0	\$0	\$0	\$97	\$1,071	\$1,168
6. Schmale Road Water Main Replacemen	W/S	\$0	\$0	\$0	\$0	\$114	\$114
7. Sanitary Sewer Replacement	W/S	\$0	\$28	\$400	\$0	\$0	\$428
8. Easton Park Bike Path*	W/S	\$0	\$22	\$0	\$0	\$0	\$22
9. Well House #3 Driveway	W/S	\$77	\$0	\$0	\$0	\$0	\$77
Subtotal		\$3,290	\$50	\$400	\$97	\$2,107	\$5,944
Facilities:							
1. Town Center Tent Replacement	GCF	\$0	\$200	\$0	\$0	\$0	\$200
Subtotal		\$0	\$200	\$0	\$0	\$0	\$200
Stormwater Utilities:							
1. Tubeway Dr. Stormwater Lift Station R	GCF	\$0	\$350	\$0	\$0	\$0	\$350
Subtotal		\$0	\$350	\$0	\$0	\$0	\$350
Total Expenditures All Funds:		\$9,359	\$6,768	\$11,166	\$4,760	\$6,042	\$38,095

* Partially funded through outside source(s)

Village of Carol Stream

CAPITAL IMPROVEMENT PROGRAM

Five Year Plan: 2010-14

CIP Funding By Source (\$000)	Fund	FY 10 Planned	FY 11 Planned	FY 12 Planned	FY 13 Planned	FY 14 Planned	Total
Roadways:							
1. Fair Oaks Rd. Imp. - Phase II	GCF						
- Wayne Township		\$0	\$56	\$1,353	\$150	\$0	\$1,559
- Reserves		\$576	\$151	\$3,659	\$407	\$0	\$4,793
2. West Branch River Trl.	GCF						
- Forest Preserve		\$54	\$45	\$207	\$22	\$0	\$328
- FHWA-STP-TCM		\$0	\$0	\$684	\$76	\$0	\$760
- Reserves		\$37	\$30	\$138	\$15	\$0	\$220
3. Fair Oaks Road Bike Path	GCF						
- Wayne Township		\$20	\$16	\$67	\$8	\$0	\$111
- FHWA-TCM		\$0	\$0	\$427	\$47	\$0	\$474
- Reserves		\$38	\$30	\$126	\$13	\$0	\$207
4. Kuhn Road Trail	GCF						
- Park District		\$79	\$71	\$0	\$0	\$0	\$150
- FHWA-CMAQ		\$97	\$120	\$0	\$0	\$0	\$217
- FHWA- STP-TCM		\$0	\$788	\$0	\$0	\$0	\$788
- Reserves		\$243	\$222	\$0	\$0	\$0	\$465
5. Morton Rd - Phase I Reconstr.	GCF						
- WBC		\$0	\$362	\$0	\$0	\$0	\$362
- Schonback		\$0	\$136	\$0	\$0	\$0	\$136
- Reserves		\$173	\$809	\$560	\$0	\$0	\$1,542
6. Flexible Pavement Resurf/Overlay	GCF						
- Reserves		\$1,891	\$1,986	\$2,085	\$1,628	\$2,299	\$9,889
7. Flexible Pavement Reconstr.	MFT						
- Reserves		\$573	\$1,252	\$1,315	\$1,379	\$1,449	\$5,968
8. Fullerton Ave. Pave. Rehab.	MFT						
- LAPP		\$367	\$0	\$0	\$0	\$0	\$367
- Reserves		\$184	\$0	\$0	\$0	\$0	\$184
9. Kuhn Road Pave. Rehab.	MFT						
- LAPP		\$0	\$0	\$0	\$561	\$0	\$561
- Reserves		\$0	\$5	\$80	\$289	\$0	\$374
10. West St./Gunderson Dr. Reconstr.	GCF						
- Reserves		\$1,522	\$0	\$0	\$0	\$0	\$1,522
11. Gary Ave./Lies Rd.Pedestrian Imp	GCF						
- Developer		\$72	\$0	\$0	\$0	\$0	\$72
12. Gary Ave. Improvements	GCF						
- Reserves		\$100	\$0	\$0	\$0	\$0	\$100
13. Streetlight Replacement	GCF						
- Reserves		\$43	\$89	\$65	\$68	\$47	\$312
14. Old Gary Ave./Reconstr.	GCF						
- Reserves		\$0	\$0	\$0	\$0	\$140	\$140

Village of Carol Stream

CAPITAL IMPROVEMENT PROGRAM

Five Year Plan: 2010-14

CIP Funding By Source (\$000)	Fund	FY 10 Planned	FY 11 Planned	FY 12 Planned	FY 13 Planned	FY 14 Planned	Total
<u>Water and Sewer Utilities:</u>							
1. Well #2 Rehabilitation	W/S						
- Reserves		\$60	\$0	\$0	\$0	\$0	\$60
2. Evergreen-Lift Station Repl.	W/S						
- Reserves		\$500	\$0	\$0	\$0	\$0	\$500
3. Oswego Dr. Water Main Repl.	W/S						
- Reserves		\$0	\$0	\$0	\$97	\$1,071	\$1,168
4. Southwest Water Main Repl.	W/S						
- Reserves		\$2,653	\$0	\$0	\$0	\$0	\$2,653
5. Southwest Reservoir & Pump.Stat.	W/S						
- Reserves		\$0	\$0	\$0	\$0	\$922	\$922
6. Schmale Rd. Water Main Repl.	W/S						
- Reserves		\$0	\$0	\$0	\$0	\$114	\$114
7. Sanitary Sewer Replacement	W/S						
- Reserves		\$0	\$28	\$400	\$0	\$0	\$428
8. Well House #3 Driveway	W/S						
- Reserves		\$77	\$0	\$0	\$0	\$0	\$77
9. Easton Park Bike Path	W/S						
- Reserves		\$0	\$22	\$0	\$0	\$0	\$22
<u>Facilities:</u>							
1. Town Center Tent Replacement	GCF						
- Reserves		\$0	\$200	\$0	\$0	\$0	\$200
<u>Stormwater Utilities:</u>							
1. Tubeway-Stormwater Lift Stat.Rep	GCF						
- Reserves		\$0	\$350	\$0	\$0	\$0	\$350
TOTAL FUNDING		<u>\$9,359</u>	<u>\$6,768</u>	<u>\$11,166</u>	<u>\$4,760</u>	<u>\$6,042</u>	<u>\$38,095</u>
Funding Breakdown:							
Debt		\$0	\$0	\$0	\$0	\$0	\$0
Other		\$689	\$1,594	\$2,738	\$864	\$0	\$5,885
Reserves		\$8,670	\$5,174	\$8,428	\$3,896	\$6,042	\$32,210
Unfunded		\$0	\$0	\$0	\$0	\$0	\$0
Total		\$9,359	\$6,768	\$11,166	\$4,760	\$6,042	\$38,095
% Non-Debt		100%	100%	100%	100%	100%	100%

Village of Carol Stream
GENERAL CORPORATE FUND
CAPITAL IMPROVEMENT PROGRAM

Five Year Plan: 2010-14

Project	(000's)															
	Surplus 05/01/09	Revenue	Expend- itures	Balance 04/30/10	Revenue	Expend- itures	Balance 04/30/11	Revenue	Expend- itures	Balance 04/30/12	Revenue	Expend- itures	Balance 04/30/13	Revenue	Expend- itures	Balance 04/30/14
Construction Projects																
West Branch DuPage River Trail			91		75		1,029			113					0	
Fair Oaks Rd. Reconstr. Phase II			576		207		5,012			557					0	
Fair Oaks Rd. - Bike Path			58		46		620			68					0	
Kuhn Road Trail			419		1,201		0			0					0	
Morton Road Phase I Reconstr.			173		1,307		560			0					0	
Flexible Pavement Resurf/Overlay			1,891		1,986		2,085			1,628				2,299		
West St./Gundersen Dr. Reconstr.			1,522		0		0			0					0	
Streetlight Replacement			43		89		65			68					47	
Tubeway Stmwtr. Lift Sta.			0		350		0			0					0	
Gary Avenue Improvements			100		0		0			0					0	
Gary Ave./Lies Rd. Pedestrian Impr.			72		0		0			0					0	
Town Center Tent Repl.			0		200		0			0					0	
Old Gary Avenue Reconstruction			0		0		0			0					140	
Revenues																
Fair Oaks Rd. Phase II - WTRD		0			56		1,353			150					0	
Fair Oaks Rd. Path - WTRD		20			16		67			8					0	
Fair Oaks Rd. Path- TCM ⁽¹⁾		0			0		427			47					0	
West Branch Trl.- Forest Preserve		54			45		207			22					0	
West Branch Trl.- TCM ⁽¹⁾		0			0		684			76					0	
Kuhn Rd.Trl. - CMAQ		97			120		0			0					0	
Kuhn Rd.Trl. - TCM		0			788		0			0					0	
Kuhn Rd Trl. - Park District		79			71		0			0					0	
Morton Rd. Phase I - WBC		0			362		0			0					0	
Morton Rd. Phase I - Schonback		0			136		0			0					0	
Gary Avenue/Lies Rd. - Pedestrian		72			0		0			0					0	
Surplus(Deficit) Fr.Operations(Projected)	3,250				0		0			0					0	
Interest	490				465		370			195					140	
Cash Flow	17,500	4,062	4,945	16,617	2,059	5,461	13,215	3,108	9,371	6,952	498	2,434	5,016	140	2,486	2,670

⁽¹⁾ Subject to funding approval.

Village of Carol Stream
WATER AND SEWER FUND
CAPITAL IMPROVEMENT PROGRAM

Five Year Plan: 2010-14

Project	(000's)															
	Surplus 05/01/09	Revenue	Expend- itures	Balance 04/30/10	Revenue	Expend- itures	Balance 04/30/11	Revenue	Expend- itures	Balance 04/30/12	Revenue	Expend- itures	Balance 04/30/13	Revenue	Expend- itures	Balance 04/30/14
Construction Projects																
Well #2 Rehabilitation			60			0			0			0				0
Evergreen Lift Station			500			0			0			0				0
Oswego Drive Water Main Repl.			0			0			0			97			1,071	
Southwest Water Main Exten.			2,653			0			0			0			0	
SW Reservoir & Pumping Station			0			0			0			0			922	
Schmale Road Water Main Repl.			0			0			0			0			114	
Sanitary Sewer Repl.			0			28			400			0			0	
Well House # 3 Driveway			77			0			0			0			0	
Easton Park Bike Path			0			22			0			0			0	
Revenues																
Surplus From Operations (Projected)		1,317			1,142			782			500			400		
Interest		322			276			314			334			354		
Cash Flow																
	11,500	1,639	3,290	9,849	1,418	50	11,217	1,096	400	11,913	834	97	12,649	754	2,107	11,297

Village of Carol Stream

MOTOR FUEL TAX

CAPITAL IMPROVEMENT PROGRAM

Five Year Plan: 2011-14

Project	(000's)															
	Surplus 5/1/09	Revenue	Expend- itures	Balance 04/30/10	Revenue	Expend- itures	Balance 04/30/11	Revenue	Expend- itures	Balance 04/30/12	Revenue	Expend- itures	Balance 04/30/13	Revenue	Expend- itures	Balance 04/30/14
Operating Expenditures																
Operating Supplies			349			377			394			410			428	
Crackfilling			80			84			88			92			97	
Construction Projects																
Flexible Pavement - Reconst.			573			1,252			1,315			1,379			1,449	
Pavement Reconst.			0			0			0			0			0	
Kuhn Road Pavement Rehab.			0			5			80			850			0	
Fullerton Rd Pavement Rehab			551			0			0			0			0	
Revenues																
MFT Allotments		1,169			1,182			1,188			1,194			1,200		
Fullerton Rd. - LAPP		367			0			0			0			0		
Kuhn Rd. - LAPP		0			0			0			561			0		
Interest		45			46			32			13			-14		
Cash Flow	1,600	1,581	1,553	1,628	1,228	1,718	1,137	1,220	1,877	480	1,768	2,731	(482)	1,186	1,974	(1,270)

Village of Carol Stream

MOTOR FUEL TAX FUND

CAPITAL IMPROVEMENT PROGRAM

Detail

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated FY 08-09	Budget FY 09-10	Planned FY 10-11	Planned FY 11-12	Planned FY 12-13	Planned FY 13-14
Revenue								
Intergovernmental								
Allotments	\$ 1,167,536	\$ 1,177,000	\$ 1,169,180	\$ 1,169,180	\$ 1,182,440	\$ 1,188,352	\$ 1,194,294	\$ 1,200,265
Interest Income	82,284	35,000	28,000	45,000	46,000	32,000	13,000	0
Grants	0	674,000	674,000	367,000	0	0	561,000	0
Subtotal	1,249,820	1,886,000	1,871,180	1,581,180	1,228,440	1,220,352	1,768,294	1,200,265
Reappropriation of Fund Balance	0	66,522	0	0	489,949	656,269	0	0
Total Revenue	\$ 1,249,820	\$ 1,952,522	\$ 1,871,180	\$ 1,581,180	\$ 1,718,389	\$ 1,876,621	\$ 1,768,294	\$ 1,200,265
Expenditures								
Contractual Services								
Crackfilling	63,613	69,000	63,000	80,000	84,000	88,000	92,000	97,000
Maintenance & Repair	1,500	5,000	3,000	1,800	1,890	1,985	2,084	2,188
Electricity	55,760	58,350	58,350	59,245	62,207	63,451	64,720	66,015
Subtotal	120,873	132,350	124,350	141,045	148,097	153,436	158,804	165,203
Commodities								
Salt	188,700	132,090	185,000	244,500	268,950	282,398	296,517	311,343
Sand	2,734	1,498	3,500	3,000	3,090	3,183	3,278	3,377
Concrete	7,587	10,488	8,500	12,000	12,360	12,731	13,113	13,506
Miscellaneous	0	0	0	0	0	0	0	0
Materials	2,814	5,310	3,000	6,000	6,180	6,365	6,556	6,753
Street Signs	5,677	20,000	12,000	8,350	8,601	8,859	9,124	9,398
Street Light Supplies	5,774	8,500	7,500	8,100	8,343	8,593	8,851	9,117
CA-6	2,682	3,960	3,000	5,600	5,768	6,056	6,238	6,425
Subtotal	215,968	181,846	222,500	287,550	313,292	328,185	343,677	359,919
Capital Outlay								
Street Resurfacing	2,213,675	1,034,000	1,034,000	1,124,000	1,257,000	1,395,000	2,229,000	1,449,000
Subtotal	2,213,675	1,034,000	1,034,000	1,124,000	1,257,000	1,395,000	2,229,000	1,449,000
Total Expenditures	\$ 2,550,516	\$ 1,348,196	\$ 1,380,850	\$ 1,552,595	\$ 1,718,389	\$ 1,876,621	\$ 2,731,481	\$ 1,974,122

Village of Carol Stream

CAPITAL IMPROVEMENT PROGRAM

Ten Year Plan: 2015-2019

		(000's)					
	Fund	FY 15	FY 16	FY 17	FY 18	FY 19	TOTAL
<u>Roadway System</u>							
1. Flexible Pavement Resurf/Structural Overlay	GCF	\$2,413	\$2,534	\$2,661	\$2,794	\$2,934	\$13,336
2. Flexible Pavement Reconstruction	MFT	\$1,521	\$1,597	\$1,678	\$1,761	\$1,849	\$8,406
3. Morton Rd. Phase II Reconstruction*	GCF	\$0	\$463	\$3,500	\$1,500	\$0	\$5,463
4. Old Gary Ave. Reconstruction	GCF	\$1,511	\$0	\$0	\$0	\$0	\$1,511
5. Vale Rd. Reconstruction	GCF	\$0	\$0	\$0	\$64	\$689	\$753
6. Streetlight Replacement	GCF	\$55	\$50	\$50	\$50	\$50	\$255
Subtotal:		<u>\$5,500</u>	<u>\$4,644</u>	<u>\$7,889</u>	<u>\$6,169</u>	<u>\$5,522</u>	<u>\$29,724</u>
<u>Water and Sewer Utilities</u>							
1. SW Reservoir & Pumping Station	W/S	\$2,852	\$0	\$0	\$0	\$0	\$2,852
2. SW DPWC Connection & Metering Station	W/S	\$225	\$2,434	\$0	\$0	\$0	\$2,659
3. SW Sanitary Lift Station & Force Main	W/S	\$0	\$246	\$1,210	\$0	\$0	\$1,456
4. Sanitary Sewer Extension	W/S	\$0	\$0	\$59	\$637	\$0	\$696
5. Schmale Rd. Watermain Replacement	W/S	\$1,254	\$0	\$0	\$0	\$0	\$1,254
6. Kuhn Rd. Watermain Replacement	W/S	\$67	\$741	\$0	\$0	\$0	\$808
7. St. Charles Rd. Watermain Replacement	W/S	\$0	\$70	\$768	\$0	\$0	\$838
8. Gunderson Dr. Watermain Replacement	W/S	\$0	\$0	\$85	\$936	\$0	\$1,021
9. Thornhill Dr. Watermain Replacement	W/S	\$0	\$0	\$0	\$113	\$1,239	\$1,352
10. Western Trails Phase I Watermain Replace.	W/S	\$0	\$0	\$0	\$0	\$277	\$277
Subtotal:		<u>\$4,398</u>	<u>\$3,491</u>	<u>\$2,122</u>	<u>\$1,686</u>	<u>\$1,516</u>	<u>\$13,213</u>
<u>Facilities</u>							
1. None	GCF	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal:		<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
<u>Stormwater Utility</u>							
1. None	GCF	\$0	\$0	\$0	\$0	\$0	\$0
Subtotal:		<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
Total Expenditures All Funds:		<u><u>\$9,898</u></u>	<u><u>\$8,135</u></u>	<u><u>\$10,011</u></u>	<u><u>\$7,855</u></u>	<u><u>\$7,038</u></u>	<u><u>\$42,937</u></u>

* Partially funded through outside source(s)

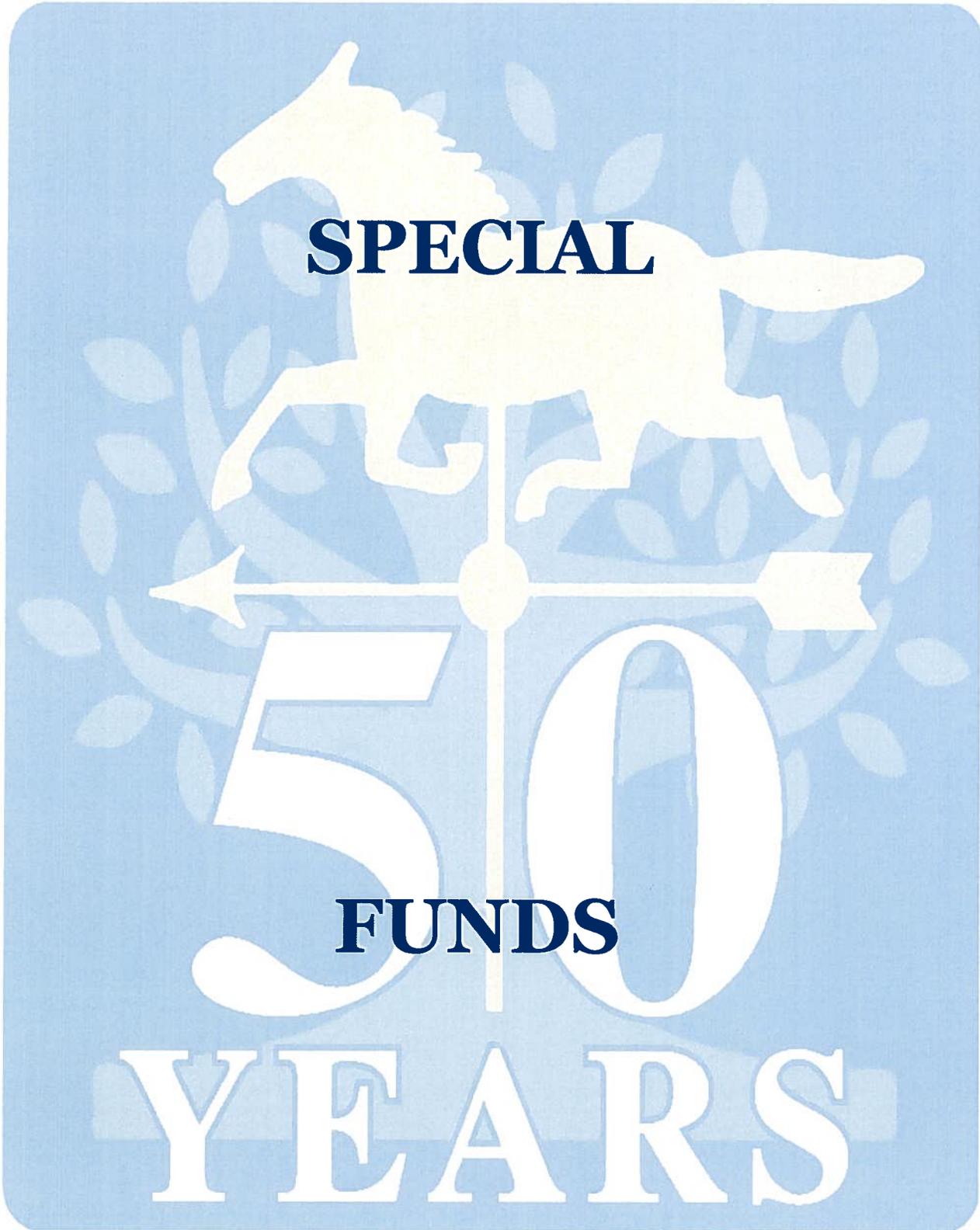
Village of Carol Stream

CAPITAL IMPROVEMENT PROGRAM

Twenty Year Plan: 2020-2029

		<u>Fund</u>	<u>2020</u>	<u>2021</u>	<u>2022</u>	<u>2023</u>	<u>2024</u>	<u>2025</u>	<u>2026</u>	<u>2027</u>	<u>2028</u>	<u>2029</u>
<u>Roadway System</u>												
1. Flexible Pavement Resurfacing/Structural	GCF	X	X	X	X	X	X	X	X	X	X	X
2. Flexible Pavement Reconstruction	MFT	X	X	X	X	X	X	X	X	X	X	X
4. Kuhn Rd. Reconstruction	GCF	X	X									
5. Lies Rd. Trail East*	GCF			X	X							
6. Kuhn Road Trail North*	GCF					X	X					
7. Streetlight Replacement	GCF	X	X	X	X	X	X	X	X	X	X	X
<u>Water and Sewer Utilities</u>												
1. St. Charles Rd. Sanitary Sewer Extension	W/S	X	X									
2. Fire Hydrant Replacement	W/S	X	X	X	X	X	X	X	X	X	X	X
3. Watermain Replacement	W/S	X	X	X	X	X	X	X	X	X	X	X
4. Sanitary Sewer Replacement	W/S	X	X	X	X	X	X	X	X	X	X	X
5. Sludge Storage Building Replacement	W/S	X										
6. Tall Oaks Lift Station Replacement	W/S					X						
7. Skyline Estates Watermain Improvements	W/S						X	X				
8. Gary Avenue Gardens Watermain Improv	W/S								X	X		
<u>Facilities</u>												
1. New Police Station	GCF											
- Land		X										
- Construction			X									
3. Community Park Improvements*	GCF	X										
2. PW Center Fuel Storage Tank Replaceme	GCF						X					
<u>Stormwater Utility</u>												
None												

* Partially funded through outside source(s)



SPECIAL

FUNDS

YEARS

LIBRARY FUND

Although not prepared or reviewed by the Village Staff, the Library budget as provided by the Library Board is included.

POLICE PENSION FUND

The Police Pension Fund expenditure budget is submitted by the Police Pension Fund Trustees. The annual municipal contribution is determined by an independent actuarial study.

TAX INCREMENT FINANCING (TIF) - GENEVA CROSSING DEBT SERVICE FUND

The TIF - Geneva Crossing Debt Service Fund is established to retire the bonds that were issued for the Geneva Crossing Tax Increment Financing District in 1997. The 1997 TIF Bonds were replaced by Senior Lien Tax Increment Revenue Refunding Bonds, Series 2005. The 2005 bonds are scheduled to be retired December 30, 2021.

Village of Carol Stream

PUBLIC LIBRARY

2009 - 2010 Budget/Appropriation

Description	Proposed Budget
Revenue	
General Fund	\$3,335,980
IMRF Fund	327,500
Insurance Fund	18,000
Audit Fund	5,400
Working Cash Fund	4,000
Capital Maintenance and Repair Fund	5,000
Reappropriation of Fund Balance	<u>75,083</u>
Total	\$3,770,963
Expenditures	
General Fund	3,284,273
IMRF Fund	
IMRF	182,782
FICA	135,665
Audit Fund	5,300
Liability Insurance Fund	25,483
Capital Maintenance & Repair Fund	<u>137,460</u>
Total	\$3,770,963

Due to statutory requirements, the 2010 appropriation budget supports the tax levy in December, 2009 and therefore, must be passed on or before April 30, 2009.

Village of Carol Stream

POLICE PENSION FUND

Summary

NARRATIVE

The Police Pension Fund was established to provide pensions for police officers and their dependents as determined by Illinois State statute. The annual funding level is determined by an actuarial study that is performed by a private actuary that is engaged by the Village. Both the employees and the Village contribute to the Fund. The Village's contribution is made by a transfer from the General Corporate Fund. The Fund is currently 82.8% funded and does not need to be 100% funded until the year 2033.

REVENUE/EXPENSES

Classification	Actual FY 07-08	Budget FY 08-09	Estimated FY 08-09	Proposed FY 09-10	Projected FY 10-11	Projected FY 11-12
Revenue						
Employer Contribution	\$729,957	\$ 729,199	\$729,199	\$955,957	\$1,352,744	\$1,437,291
Employee Contribution	492,108	534,160	482,595	533,731	564,057	594,964
Interest Income	1,007,812	1,722,500	(2,146,500)	1,436,775	1,720,035	2,136,969
Totals	\$2,229,877	\$2,985,859	(\$934,706)	\$2,926,463	\$3,636,836	\$4,169,224
Expenses						
Contractual Services	\$43,936	\$ 50,592	\$81,370	\$101,703	\$111,803	\$119,903
Commodities	0	0	0	0	0	0
Other Expenses	974,976	1,157,202	980,294	1,288,537	1,478,486	1,641,292
Totals	\$1,018,912	\$1,207,794	\$1,061,664	\$1,390,240	\$1,590,289	\$1,761,195

Village of Carol Stream

POLICE PENSION FUND

Detail

Description	Actual FY 07-08	Revised Budget FY 08-09	Estimated FY 08-09	Proposed Budget FY 09-10	Projected FY 10-11	Projected FY 11-12
Revenue						
Employer Contribution	\$ 729,957	\$ 729,199	\$ 729,199	\$ 955,957	\$ 1,352,744	\$ 1,437,291
Employee Contribution	492,108	534,160	482,595	533,731	564,057	594,964
Interest Income - Gov. Secur.	360,622	686,250	825,000	1,066,775	1,279,035	1,549,345
Interest Income - IL Funds	123,881	10,000	12,000	10,000	10,000	10,000
Interest Income - FNMA's	13,026	0	35,000	0	0	0
Interest Income - GNMA's	0	0	0	0	0	0
Interest Income - Banks	11,377	3,750	6,500	5,000	4,000	4,000
Appreciation - Separate Acct.	(181,240)	922,500	(3,100,000)	280,000	352,000	498,624
Gain/(Loss)	680,064	100,000	75,000	75,000	75,000	75,000
Miscellaneous Reveue	82	0	0	0	0	0
Total Revenue	\$ 2,229,877	\$ 2,985,859	\$ (934,706)	\$ 2,926,463	\$ 3,636,836	\$ 4,169,224
Expenses						
Contractual Services						
Meetings	\$ 2,988	\$ 5,200	4,000	5,200	5,200	5,200
Dues & Subscriptions	900	1,300	1,300	1,300	1,300	1,300
Legal Fees	662	1,500	250	1,000	1,000	1,000
Public Notices/Inform.	0	0	0	0	0	0
Banking Services	1,697	2,000	1,750	2,000	2,000	2,000
Management Fee	34,403	37,217	70,811	88,728	98,728	106,728
Bonding & Insurance	2,871	3,000	3,059	3,100	3,200	3,300
Secretary Services	415	375	200	375	375	375
Subtotal	43,936	50,592	81,370	101,703	111,803	119,903
Commodities						
Office Supplies	0	0	0	0	0	0
Subtotal	0	0	0	0	0	0
Other Expenses						
Retirement Members	879,181	1,123,027	950,000	1,254,570	1,443,612	1,605,498
State Filing Fee	4,337	4,775	4,867	4,117	4,574	5,044
Emp. Contribution Refund	67,626	5,000	637	5,000	5,000	5,000
Disability - Members	23,700	24,150	24,150	24,600	25,050	25,500
Contingency	132	250	640	250	250	250
Subtotal	974,976	1,157,202	980,294	1,288,537	1,478,486	1,641,292
Total Expenses	\$ 1,018,912	\$ 1,207,794	\$ 1,061,664	\$ 1,390,240	\$ 1,590,289	\$ 1,761,195

Village of Carol Stream

DEBT SERVICE FUND

Revenue/Expenditures & Changes in Fund Balance Summary

Description	Actual FY 06-07	Actual FY 07-08	Estimated FY 08-09	Proposed FY 09-10	Projected FY 10-11	Projected FY 11-12
Beginning Balance, May 1	\$ 803,933	\$ 918,585	\$ 1,087,401	\$ 1,327,320	\$ 1,547,053	\$ 1,791,173
Revenue/Source						
Incremental Taxes	307,274	323,232	382,529	363,403	370,671	378,084
Interest Income	34,293	42,790	15,000	20,056	22,923	25,789
Transfer from General						
Corporate Fund	144,058	173,572	215,563	211,647	222,186	203,132
Other Transfers						
Total Revenue/Sources	485,625	539,594	613,092	595,106	615,780	607,005
Expenditures/Uses						
Principal Retirement	180,000	190,000	195,000	205,000	210,000	220,000
Interest and Fiscal Charges	190,973	180,778	173,173	165,373	156,660	147,735
Miscellaneous	0	0	0	0	0	0
Bonds Issued at Par	0	0	0	0	0	0
Payment to Escrow Agent	0	0	5,000	5,000	5,000	5,000
Total Expenditures/Uses	370,973	370,778	373,173	375,373	371,660	372,735
Ending Balance, April 30	\$ 918,585	\$ 1,087,401	\$ 1,327,320	\$ 1,547,053	\$ 1,791,173	\$ 2,025,443
Reserved for Debt Service						
Totals	\$ 918,585	\$ 1,087,401	\$ 1,327,320	\$ 1,547,053	\$ 1,791,173	\$ 2,025,443

Village of Carol Stream

TAX INCREMENT FINANCING DISTRICT GENEVA CROSSING DEBT SERVICE FUND

Summary

NARRATIVE

The Tax Increment Financing (TIF) District - Geneva Crossing Debt Service Fund was set up in 1997 to retire the \$4.8 million in bonds that were issued for the Geneva Crossing Tax Increment Financing District. In 2005, this issue was refinanced so as to take advantage of the favorable interest rate environment. This shopping center is anchored by a 71,000 square foot Dominick's Finer Foods grocery store.

REVENUE/EXPENDITURE

Classification	Actual 2007-08	Budget 2008-09	Estimated 2008-09	Proposed 2009-10	Projected 2010-11	Projected 2011-12
Revenue						
Incremental Taxes	\$323,232	\$332,399	\$382,529	\$363,403	\$370,671	\$378,084
Interest Income	42,790	37,500	15,000	20,056	22,923	25,789
Transfer from General Corporate Fund	173,572	185,563	215,563	211,647	222,186	203,132
Totals	\$539,594	\$555,462	\$613,092	\$595,106	\$615,780	\$607,005
Expenditures						
Principal Retirement	\$190,000	\$195,000	\$195,000	\$205,000	\$210,000	\$220,000
Interest & Fiscal Charges	180,778	173,173	173,173	165,373	156,660	147,735
Miscellaneous	0	30,000	0	0	0	0
Bonds Issued at Par	0	0	0	0	0	0
Payment to Escrow Agent	0	6,000	5,000	5,000	5,000	5,000
Totals	\$370,778	\$404,173	\$373,173	\$375,373	\$371,660	\$372,735



APPENDIX



50

YEARS

Village of Carol Stream

FINANCIAL PLAN & ANNUAL BUDGET

Preparation Calendar – 2010-12

<u>Due Dates</u>	<u>Activity</u>
A. Monday, October 13, 2008	Financial Plan instructions distributed to Executive Team.
B. Friday, October 24, 2008	Executive Teams review and update their 5-year forecast pertaining to personnel and capital items
C. Monday, November 3, 2008	Village Board meets to discuss policies, goals and objectives
D. Monday, November 3, 2008	Finance Department submits salary, wages and health insurance cost figures to Departments. Public Works submits gas/oil and maintenance costs to Departments.
E. Monday, November 3, 2008	Executive Team meets as a group to discuss 5-year forecasts.
F. Friday, November 14, 2008	Line item budgets, including justifications, are due.
G. Friday, December 5, 2008	Initial review of the Operating Budget requests are completed by the Budget Team and returned to the Executive Team to implement revisions.
H. Wednesday, December 10, 2008	Financial Plan narratives are due.
I. Thursday, December 18, 2008	Department Heads receive Financial Plan revisions.
J. Friday, January 2, 2009	Executive Team submits their completed Annual Operating Budget and Financial Plan to the Village Manager. Finance submits revenue projections to the Village Manager.
K. Friday, January 9, 2009	Finance Department submits non-departmental budgets to the Village Manager. Budgets are sent back to Executive Team for final revisions.
L. Friday, January 16, 2009	Executive Team submits their final revised Annual Operating Budgets and Financial Plans to the Village Manager.

Village of Carol Stream

FINANCIAL PLAN & ANNUAL BUDGET

Preparation Calendar – 2010-12

<u>Due Dates</u>	<u>Activity</u>
M. Tuesday, January 27, 2009	Proposed Financial Plan and Annual Budget is delivered to the Village Board. Financial Plan and 2009-10 Annual Budget workshops are scheduled.
N. Thursday, February 19, 2009	Notice of Public Hearing for the Annual Budget is prepared.
O. Monday, February 23, 2009	Preparation of Revised Financial Plan and 2009-10 Annual Budget incorporating changes from budget workshops.
P. Wednesday, February 25, 2009	Public display of proposed 2010-12 Financial Plan and 2009-10 Annual Budget.
Q. Monday, March 2, 2009	Notice of public hearing on the 2010-12 Financial Plan and 2009-10 Annual Budget is published.
R. Monday, April 6, 2009	Public hearing is held along with the adoption of the 2010-12 Financial Plan and 2009-10 Annual Budget.
S. Sunday, April 12, 2009	Notice of Financial Plan and Annual Budget adoption is published.
T. Monday, October 5, 2009	2009 tentative tax levy established.
U. October, 2009	Calendar will repeat for the 2011-13 planning process and will be distributed in October, 2009.

- A. The Financial Plan Instruction Booklet will be distributed to Department Heads on Monday, October 13, 2008. Department Heads are to familiarize themselves with this year's revisions to the Financial Plan documents to be used in the financial planning process. If any questions or comments arise, bring them to the attention of Bob Mellor or Stan Helgerson.
- B. Department Heads are to review and update their five-year forecasts.
- C. Discussions will include a review of Financial Policies, Goals and Objectives.
- D. Department Heads will receive preliminary salary and wage cost figures. These cost figures should be included in the Department Annual Budget line item requests.

Public Works Director will tabulate the previous year's gas/oil and maintenance figures and calculates and distributes three-year projections for same. Also, the Public Works Director will compile the capital/utility costs for the municipal garage and will pro-rate these back to the other departments. These projections are to be included in the Departmental Annual Budget line item requests.

- E. Executive Team will meet to discuss the five-year plans and updates.
- F. Department Heads are to submit their Departmental Annual Budgets (line item requests) to the Finance Director, including supporting documents justifying changes in activities and service levels. This includes written quotes with appropriate inflationary indices factored in for work to be done at a later date. All background information/literature used to support these requests should be made available to Administration at this time.

1. Department Summary Sheet.

Departmental Financial Plan requests are categorized by: salaries and wages, contractual services, commodities and capital outlay. Expenditure summaries are to be presented in column format divided to present an historical view of the department's financial plan. Column headings will be designated as 2007-08 Actual, 2008-09 Revised Budget, 2008-09 Estimated, 2009-2010 Proposed Budget, 2010-2011 Projected, and 2011-2012 Projected. Department Heads are to include the number of their authorized positions and a brief summary of the departmental programs.

2. Program Summary Sheet:

Departmental programs are to be categorized by salaries and wages, contractual services, commodities and capital outlay.

3. Line Item Financial Plan Requests:

The Department summary totals are the total of the individual line item accounts. As with the departmental summary sheet, the columns will be divided to present an historical view of the department's Financial Plan. Column headings will be designated as 2007-08 Actual, 2008-09 Revised Budget, 2008-09 Estimated, 2009-2010 Proposed Budget, 2010-2011 Projected, and 2011-2012 Projected.

In addition to the Departmental Financial Plan requests outlined above, Department Heads shall submit a line item justification sheet (Exhibit 6) which details major expenditure changes (10%±) in the departmental line item Financial Plan and a departmental expenditure spread sheet. Justification sheets will not be included in the Village Manager's submitted Financial Plan document; however, they will be used by the Manager to review the Departmental Financial Plan requests.

Also, Department Heads should also include Exhibit 7, the summary of the program budgets.

- G. Department Heads will meet with members of the Budget Team to review the Departmental Financial Plans and proposed Capital Improvement Program. This will most likely be done within the first two weeks of December.
- H. Five copies of the Financial Plan Details are to be submitted to the Finance Director's Office. Please use the current format.

Each departmental program is to include a narrative section and designated sections for accomplishments, objectives and an expense summary. The Accomplishments should include highlights from your department for the current budget year. Please refer to the objectives detailed in your 2008-09 budget when preparing the Accomplishments section. The Objectives section should include plans for the three year Financial Plan.

- I. Financial Plan revisions will be given to Department Heads.
- J. Department Heads will make the necessary changes to their Departmental Annual Budgets and Financial Plans and submit the revised documents by Friday, January 4, 2009.

Finance will provide revenue projections to the Village Manager.

- K. The Village Manager will review all final Departmental requests in relation to revenue projections and return any budgets which need final revisions. Finance Department will submit all the non-departmental budgets to the Village Manager.
- L. All Annual Operating Budgets and Financial Plans are due in final form.

- M. The Village Board will receive the proposed Financial Plan along with their regular Board agenda packet. This will allow Board members an opportunity to read and begin their analysis of the document.

Budget workshops will provide the Village Board an opportunity to discuss and analyze the proposed Financial Plan and Capital Improvement Program in detail. Administrative staff will prepare themselves to respond to any and all questions the Board may have. If any Board members wish to have certain information available at these workshops, it is suggested they contact the Village Manager in advance so the requested information/documentation can be made available.

- N. Arrangements will be made with the Daily Herald to publish the notice for the Financial Plan Hearing in the newspaper.
- O. Administration and Finance will work with Department Heads to incorporate all revisions to the proposed Annual Budget and Financial Plan which result from Village Board direction offered during Budget workshops.
- P. The proposed 2010-12 Financial Plan and 2009-10 Annual Budget will be on display at the Village Hall.
- Q. Administration and Finance staff will prepare and publish the notice of public hearing regarding the Financial Plan and 2009-10 budget element and will prepare a copy of the proposed Financial Plan, including the Financial Plan workshop changes for public display.
- R. The combined 2010-2012 Village of Carol Stream Financial Plan will be presented for approval at a public hearing during the regular Village Board meeting. The 2009-10 budget will also be considered for adoption at this time.
- S. The Notice of the adoption of the Financial Plan and Annual Budget is published.
- T. The 2009 tentative tax levy is established.
- U. The budget calendar will repeat for the 2011-13 planning process and will be distributed in October, 2009.

CERTIFICATE OF PUBLICATION

Paddock Publications, Inc.

Daily Herald

Corporation organized and existing under and by virtue of the laws of the State of Illinois, DOES HEREBY CERTIFY that it is the publisher of the DAILY HERALD. That said DAILY HERALD is a secular newspaper and has been circulated daily in the Village(s) of Addison, Bensenville, Bloomingdale, Carol Stream, Glendale Heights, Glen Ellyn, Itasca, Keeneyville, Lisle, Lombard, Medinah, Naperville, Oak Brook, Oakbrook Terrace, Roselle, Villa Park, Warrenville, West Chicago, Wheaton, Winfield, Wood Dale

Notice of Public Hearing on ANNUAL OPERATING BUDGET

A public hearing will be held by the Mayor and Board of Trustees of the Village of Carol Stream on Monday, April 20, 2009, at 8:00 p.m. at the Carol Stream Village Hall, 500 North Gary Avenue, Carol Stream, IL 60188. The public hearing shall be for the purpose of considering the proposed Annual Operating Budget for 2009-2010.

A copy of the proposed Annual Operating Budget has been published in the Board Minutes of April 6, 2009 and is available for public inspection at the Village Hall, 500 North Gary Avenue, during normal business hours. A summary of the proposed Operating Budget is as follows:

Table with 2 columns: Category and Amount. Includes sections for GENERAL CORPORATE PURPOSES, GENERAL FUND, MOTOR FUEL TAX FUND, WATER & SEWER FUND, POLICE PENSION FUND, PUBLIC LIBRARY, and TIF DEBT SERVICE FUND.

All persons present shall be given an opportunity to submit oral and written comments and suggestions regarding the proposed Operating Budget.

BY ORDER OF THE CORPORATE AUTHORITIES OF THE VILLAGE OF CAROL STREAM, ILLINOIS Published in DAILY HERALD April 11, 2009 (4147874)S

County(ies) of DuPage

and State of Illinois, continuously for more than one year prior to the date of the first publication of the notice hereinafter referred to and is of general circulation throughout said Village(s), County(ies) and State.

I further certify that the DAILY HERALD is a newspaper as defined in "an Act to revise the law in relation to notices" as amended in 1992 Illinois Compiled Statutes, Chapter 7150, Act 5, Section 1 and 5. That a notice of which the annexed printed slip is a true copy, was published April 11, 2009 in said DAILY HERALD.

IN WITNESS WHEREOF, the undersigned, the said PADDOCK PUBLICATIONS, Inc., has caused this certificate to be signed by, this authorized agent, at Arlington Heights, Illinois.

PADDOCK PUBLICATIONS, INC. DAILY HERALD NEWSPAPERS

BY Holly Bratonic Authorized Agent

Control # 4147874

2009-04-17

**VILLAGE OF CAROL STREAM
500 North Gary Avenue
Carol Stream, IL 60188**

ORDINANCE NO. 2009-04-17

**AN ORDINANCE ADOPTING THE ANNUAL BUDGET
FOR THE VILLAGE OF CAROL STREAM FOR THE YEAR
COMMENCING MAY 1, 2009 AND ENDING APRIL 30, 2010**

**ADOPTED BY THE MAYOR AND BOARD OF TRUSTEES
OF THE VILLAGE OF CAROL STREAM
THIS 20TH DAY OF APRIL 2009**

**Published in pamphlet form
by order of the Mayor
and Board of Trustees of
the Village of Carol Stream,
County of DuPage, Illinois
This 30th day of April 2009**

ORDINANCE NO.2009-04-17

AN ORDINANCE ADOPTING THE ANNUAL BUDGET FOR THE VILLAGE OF CAROL STREAM FOR THE YEAR COMMENCING MAY 1, 2009 AND ENDING APRIL 30, 2010

WHEREAS, the Village of Carol Stream has placed on file its proposed tentative Annual Operating Budget and has made such tentative Annual Operating Budget available for public inspection; and

WHEREAS, said Village of Carol Stream has caused the publication of a notice of public hearing on the tentative Annual Operating Budget; and

WHEREAS, the Village of Carol Stream has held a public hearing as required by law.

NOW, THEREFORE, BE IT ORDAINED BY THE MAYOR AND BOARD OF TRUSTEES OF THE VILLAGE OF CAROL STREAM, DUPAGE COUNTY, ILLINOIS, IN THE EXERCISE OF ITS HOME RULE POWERS, as follows:

SECTION 1: That the budget attached hereto and made a part hereof as Exhibit "A" be and the same is hereby adopted as the Annual Budget for the Village of Carol Stream for the year commencing May 1, 2009 and ending April 30, 2010.

SECTION 2: That the adopting of the foregoing Budget shall be in lieu of the Appropriation Ordinance required in Section 8-2-9 of the Illinois Municipal Code.

SECTION 3: This Ordinance shall be in full force and effect from and after its passage, approval and publication in pamphlet form as provided by law.

PASSED AND APPROVED THIS 20TH DAY OF APRIL 2009.

AYES: 5 Trustees Drager, Weiss, Schwarze, McCarthy & Gieser

NAYS: 0

ABSENT: 1 Trustee Fenner

Frank Saverino Sr.
Frank Saverino, Sr., Mayor

ATTEST:
Beth Melody
Beth Melody, Village Clerk

CERTIFICATE OF PUBLICATION

Paddock Publications, Inc.

Daily Herald

Corporation organized and existing under and by virtue of the laws of the State of Illinois, DOES HEREBY CERTIFY that it is the publisher of the DAILY HERALD. That said DAILY HERALD is a secular newspaper and has been circulated daily in the Village(s) of Addison, Bensenville, Bloomingdale, Carol Stream, Glendale Heights, Glen Ellyn, Itasca, Keeneyville, Lisle, Lombard, Medinah, Naperville, Oak Brook, Oakbrook Terrace, Roselle, Villa Park, Warrenville, West Chicago, Wheaton, Winfield, Wood Dale

Notice of Availability of 2009-10 Adopted Budget, Village of Carol Stream. A copy of the adopted 2009-10 Operations Budget is available for Public Inspection during the hours of 8:00 a.m. and 5:00 p.m. at the Carol Stream Village Hall, 500 N. Gary Avenue, Carol Stream, Illinois. Published in Daily Herald April 25, 2009 (4150122)S

County(ies) of DuPage and State of Illinois, continuously for more than one year prior to the date of the first publication of the notice hereinafter referred to and is of general circulation throughout said Village(s), County(ies) and State.

I further certify that the DAILY HERALD is a newspaper as defined in "an Act to revise the law in relation to notices" as amended in 1992 Illinois Compiled Statutes, Chapter 7150, Act 5, Section 1 and 5. That a notice of which the annexed printed slip is a true copy, was published April 25, 2009 in said DAILY HERALD.

IN WITNESS WHEREOF, the undersigned, the said PADDOCK PUBLICATIONS, Inc., has caused this certificate to be signed by, this authorized agent, at Arlington Heights, Illinois.

PADDOCK PUBLICATIONS, INC. DAILY HERALD NEWSPAPERS

BY Holly Bratonic Authorized Agent

Control # 4150122

The modified accrual basis of accounting is used for all governmental fund types and agency funds. Under the modified accrual basis of accounting, revenues are recognized when susceptible to accrual (i.e., when they become both measurable and available). "Measurable" means the amount of the transaction can be determined and "available" means collectible within the current period. Property taxes are recognized when they become both measurable and available in accordance with GASB Codification Section P70. A one-year availability period is used for revenue recognition for all other governmental fund revenues. Expenditures are recorded when the related fund liability is incurred. Principal and interest on general long-term debt are recorded as fund liabilities when due or when amounts have been accumulated in the debt service fund for payments to be made early in the following year.

Those revenues susceptible to accrual are property taxes, franchise taxes, licenses, interest revenue, and charges for services. Sales income, and motor fuel taxes collected and held by the state at year-end on behalf of the Village of Carol Stream are also recognized as revenue.

The accrual basis of accounting is utilized for proprietary fund types, pension trust funds, and nonexpendable trust funds. Under this method, revenues are recorded when earned and expenses are recorded at the time liabilities are incurred.

Deferred revenue is reported on the combined balance sheet. Deferred revenues arise when a potential revenue does not meet both the "measurable" and "available" criteria for recognition in the current period. Deferred revenues also arise when resources are received before there is a legal claim to them, as when grant monies are received prior to the incurrence of qualifying expenditures. In subsequent periods, when both revenue recognition criteria are met, or when the resources can be legally claimed, the liability for deferred revenue is removed from the combined balance sheet and revenue is recognized.

Budgets

The budget reflects the modified accrual basis of accounting. Under this basis, revenues are budgeted for the fiscal year in which they are susceptible to accrual, that is they are both measurable and available. Available means collectible within the budget year or soon enough thereafter to be used to pay liabilities of the budget year. Expenditures are recorded in the budget year in which the fund liability is incurred, if measurable.

Encumbrances represent commitments related to unperformed contracts for goods or services. Encumbrance accounting--under which purchase orders, contracts and other commitments for the expenditure of resources are recorded to reserve that portion of the applicable appropriation--is utilized for the governmental funds. Material encumbrances outstanding at year end, if any, are governmental reservation of fund balances and do not constitute expenditures or liabilities because the commitments will be honored during the subsequent year.

Village of Carol Stream

Procedure for Amending Budgets/Bond Indebtedness

Procedure for Amending Village Budgets

When deletions, additions, changes or creation of subclasses do not exceed the amount of two thousand five hundred dollars (\$2,500.00), approval of such change may be granted by the Village Manager. Where such amounts exceed two thousand five hundred dollars (\$2,500.00), the Village Manager shall, within ten (10) days after having granted tentative approval to the action, transmit a request for the concurrence in this action to the Board of Trustees. The Board of Trustees may, within twenty-one (21) days after receiving the report of the Village Manager, either confirm or overrule the decision of the Village Manager. If the Village Board does not act upon the recommendation of the Village Manager within twenty-one (21) days after receiving the report, the decision rendered by the Village Manager shall be final, unless the Village Manager shall determine to modify his tentative decision.

Carol Stream Public Library

The budget for the Carol Stream Public Library is prepared and administered by their Board of Trustees. The Library Board provides the Village with its budget totals so that the Village can include them in its budget document. The Village must include the library's budget in its budget document because the tax levy, for the library, is based on the approved budget of the Village. The Village is required to levy taxes for the library (Illinois Revised Statutes 81-3-5).

Bond Indebtedness

The Village, under its home rule authority, does not have a legal debt limit. The Village has no immediate plans for issuing bonds.

Shall the annual library tax for maintenance and operation in (insert name of city) be increased from (insert present tax) to (insert proposed tax)?	YES	
	NO	

Laws 1965, p. 1402, § 3-3, eff. July 12, 1965. Amended by P.A. 76-693, § 1, eff. Aug. 7, 1969; P.A. 81-1489, § 70, eff. Dec. 1, 1980.

Formerly III.Rev.Stat.1991, ch. 81, ¶ 3-3.

5/3-4. Tax levy in towns, villages, or townships—Rate—Election on increase or termination of tax—Limitations

§ 3-4. When the electors of an incorporated town, village or township have voted to establish and maintain a public library as provided in Section 2-2, the corporate authorities of such incorporated town, village or township shall levy an annual tax for the establishment and maintenance of such library, not exceeding .15% of the value as equalized or assessed by the Department of Revenue. If the petition and ballots so specify in the original establishment as set forth in Section 2-2 of this Act, the corporate authorities may levy a tax in excess of .15%, not to exceed the rate specified in such establishment petition and ballot, but in any event not to exceed .60% of the value as equalized and assessed by the Department of Revenue. If the annual public library tax rate of an established library was increased above .12% up to .20% prior to 1972 as provided in this Act, the corporate authorities shall then levy up to an additional .03% above the increased rate approved at the referendum. Such tax rate may be increased to not to exceed .60% of the value, as equalized or assessed by the Department of Revenue, or the excess tax shall no longer be levied, if the electors of such incorporated town, village or township shall so determine by referendum at any regular election. Such referendum shall be petitioned for in the manner as the referendum for the establishment and maintenance of the library. Any tax levied pursuant to Section 3-9 shall be disregarded in applying the provisions of this Section.

The corporate authorities may also levy an additional tax of .02% of the value of all the taxable property in the incorporated town, village or township, as equalized or assessed by the Department of Revenue, for the purchase of sites and buildings, for the construction and equipment of buildings, for the rental of buildings required for library purposes, and for maintenance, repairs and alterations of library buildings and equipment. In any year in which the corporate authorities propose to levy such additional .02% tax, the corporate authorities shall adopt a resolution determining to levy such tax. Within 15 days after the adoption of the resolution, it shall be published at least once in one or more newspapers published in the incorporated town, village or township, or if no newspaper is published therein, then in one or more newspapers with a general circulation therein. In an incorporated town, village or township in which no newspaper is published, publication may instead be made by posting a notice in three prominent places. The publication or posting of the resolution shall include a notice of (1) the specific number of voters required to sign a petition requesting that the question of the adoption of the resolution be submitted to the electors of the incorporated town, village or township; (2) the time in which the petition must be filed; and (3) the date of the prospective referendum. The clerk of the incorporated town, village or township, shall provide a petition form to any individual requesting one. If no petition is filed with the corporate authorities within 30 days after publication or posting of the resolution, the incorporated town, village or township shall then be authorized to levy the tax. However, if within the 30 day period, a petition is filed with the

corporate authorities, signed by electors of the incorporated town, village or township equal in number to 10% or more of the total number of registered voters in the incorporated town, village or township, asking that the question of levying such a .02% tax be submitted to the electors thereof, the question shall be submitted at a special or general election. Notice of this election shall be given as provided by the general election laws of this state in force at the time of the election, and the election shall be held in all respects in accordance with those laws. The ballot on which the proposition is submitted shall be in substantially the following form: "Shall the corporate authorities of (name of incorporated town, village or township) be authorized to levy an additional tax of _____% for the construction of buildings, provision of sites, etc., as determined by resolution of _____, 19__?". If a majority of votes cast upon the proposition are in favor thereof, the corporate authorities may levy the additional tax.

Laws 1965, p. 1402, § 3-4, eff. July 12, 1965. Amended by Laws 1967, p. 996, § 1, eff. July 1, 1967; Laws 1967, p. 2717, § 1, eff. Aug. 7, 1967; Laws 1968, p. 281, § 1, eff. July 1, 1969; P.A. 76-662, § 1, eff. Aug. 6, 1969; P.A. 76-2586, § 1, eff. July 14, 1970; P.A. 80-406, § 1, eff. Oct. 1, 1977; P.A.80-1152, § 1, eff. July 1, 1978; P.A. 80-1153, § 1, eff. July 1, 1978; P.A. 80-1364, § 33, eff. Aug. 13, 1978; P.A. 81-1489, § 70, eff. Dec. 1, 1980; P.A. 81-1509, Art. IV, § 74, eff. Sept. 26, 1980; P.A. 82-1014, Art. 11, § 12, eff. Jan. 1, 1983; P.A. 84-832, Art. III, § 10, eff. Sept. 23, 1985; P.A. 84-982, § 1, eff. Sept. 25, 1985; PA 85-751, § 1, eff. Jan. 1, 1988; P.A. 87-767, § 108, eff. Jan. 1, 1992.

Formerly III.Rev.Stat.1991, ch. 81, ¶ 3-4.

5/3-5. Levy and collection of taxes—Disposition of proceeds

§ 3-5. The library taxes provided for in this Act shall be levied by the corporate authorities in the amounts determined by the board and collected in like manner with other general taxes of the city, village, incorporated town or township and the proceeds shall be deposited in a special fund, which shall be known as the library fund. In townships and in cities, villages and incorporated towns having a population of 50,000 or less the proceeds of any such tax shall be paid over by the officer charged with the collection thereof to the board of trustees of the library. Expenditures from the library fund shall be under the direction of the board of library trustees.

Laws 1965, p. 1402, § 3-5, eff. July 12, 1965. Amended by Laws 1967, p. 2719, § 1, eff. Aug. 7, 1967; PA 76-662, § 1, eff. Aug. 6, 1969; PA 84-770, § 1, eff. Jan. 1, 1986.

Formerly III.Rev.Stat.1991, ch. 81, ¶ 3-5.

5/3-6. Library taxes—Status for limitation purposes

§ 3-6. The library taxes provided for in this Article are in addition to all other taxes or tax rates authorized to be levied by any city, incorporated town, village or township and shall not be a part of the taxes matling up any rate prescribed as a limitation on the amount of taxes any city, incorporated town, village or township may levy.

Laws 1965, p. 1402, § 3-6, eff. July 12, 1965. Amended by Laws 1967, p. 2717, § 1, eff. Aug. 7, 1967.

Formerly III.Rev.Stat.1991, ch. 81, ¶ 3-6.

(b) Compile an annual budget in accordance with Section 8-2-9.3.

(c) Examine all books and records of all municipal departments, commissions, and boards which relate to monies received by the municipality, municipal departments, commissions, and boards, and paid out by the municipality, municipal departments, commissions, and boards, debts and accounts receivable, amounts owed by or to the municipality, municipal departments; commissions, and boards.

(d) Obtain such additional information from the municipality, municipal departments, commissions, and boards as may be useful to the budget officer for purposes of compiling a municipal budget, such information to be furnished by the municipality, municipal departments, commissions, and boards in the form required by the budget officer. Any department, commission or board which refuses to make such information as is requested of it available to the budget officer shall not be permitted to make expenditures under any subsequent budget for the municipality until such municipal department, commission, or board shall comply in full with the request of the budget officer.

(e) Establish and maintain such procedures as shall insure that no expenditures are made by the municipality, municipal departments, commissions, or board except as authorized by the budget.

Laws 1961, p. 576, § 8-2-9.2, added by Laws 1967, p. 3494, § 1, eff. Sept. 1, 1967. Amended by P.A. 76-1117, § 1, eff. Aug. 28, 1969.

Formerly III.Rev.SwT.1991, ch. 24, ¶ 8-2-9.2.

5/8-2-9.3. Compilation and contents of budget

§ 8-2-9.3. Compilation and contents of budget. The municipal budget officer shall compile a budget, such budget to contain estimates of revenues available to the municipality for the fiscal year for which the budget is drafted, together with recommended expenditures for the municipality and all of the municipality's departments, commissions, and boards. Revenue estimates and expenditure recommendations shall be presented in a manner which is in conformity with good fiscal management practices. Substantial conformity to a chart of accounts, now or in the future, recommended by the National Committee on Governmental Accounting, (or) the Auditor of Public Accounts of the State of Illinois, or the Division of Local Governmental Affairs and Property Taxes of the Department of Revenue of the State of Illinois or successor agencies shall be deemed proof of such conformity. The budget shall contain actual or estimated revenues and expenditures for the two years immediately preceding the fiscal year for which the budget is prepared. So far as is possible, the fiscal data for such two preceding fiscal years shall be itemized in a manner which is in conformity with the chart of accounts approved above. Each budget shall show the specific fund from which each anticipated expenditure shall be made.

Laws 1961, p. 576, § 8-2-9.3, added by Laws 1967, p. 3494, § 1, eff. Sept. 1, 1967. Amended by P.A. 76-1117, § 1, eff. Aug. 28, 1969.

Formerly III.Rev.,Stat.1991, ch. 24, ¶ 8-2-9.3.

5/8-2-9.4. Passage of annual budget-Effect

§ 8-2-9.4. Passage of annual budget-Effect. Passage of the annual budget by the corporate authorities shall be in lieu of passage of the appropriation ordinance as required by Section 8-2-9 of this Act. The annual budget need not be published except in a manner provided for in Section 8-2-9.9.

The annual budget shall be adopted by the corporate authorities before the beginning of the fiscal year to which it applies.

Laws 1961, p. 576, § 8-2-9.4, added by Laws 1967, p. 3494, § 1, eff. Sept. 1, 1967. Amended by P.A. 76-1117, § 1, eff. Aug. 28, 1969.

Formerly III.Rev.Stat.1991, ch. 24, ¶ 8-2-9.4.

5/8-2-9.5. Capital improvement, repair or replacement fund

§ 8-2-9.5. In the preparation by the municipal budget officer of the annual budget, an amount not to exceed 3% of the equalized assessed value of property subject to taxation by the municipality may be accumulated in a separate fund for the purpose or purposes of specific capital improvements, repairs, and/or replacements of specific types of municipal equipment or other tangible property, both real and personal, to be designated as the "Capital Improvement, Repair or Replacement Fund". Expenditures from the Capital Improvement, Repair or Replacement Fund shall be budgeted in the fiscal year in which the capital improvement, repair or replacement will occur. Upon the completion or abandonment of any object for which the Capital Improvement, Repair or Replacement Fund, or should any surplus monies remain after the completion or abandonment of any object for which the Capital Improvement, Repair or Replacement Fund was inaugurated, then such funds no longer necessary for capital improvement, repair or replacement shall be transferred into the general corporate fund of the municipality on the first day of the fiscal year following such abandonment, completion, or discovery of surplus funds.

Laws 1961, p. 576, § 8-2-9.5, added by Laws 1967, p. 3494, § 1, eff. Sept. 1, 1967. Amended by P.A. 76-1117, § 1, eff. Aug. 28, 1969; P.A. 84-147, § 1, eff. Jan. 1, 1986.

Formerly III.Rev.Stat.1991, ch. 24, ¶ 8-2-9.5.

5/8-2-9.6. Revision of annual budget

§ 8-2-9.6. Revision of annual budget. The corporate authorities may delegate authority to heads of municipal departments, boards, or commissions to delete, add to, change or create sub-classes within object classes budgeted previously to the department, board, or commission, subject to such limitation or requirement for prior approval by the budget officer or executive officer of the municipality as the council, upon a two-thirds vote of the corporate authorities then holding office, may establish. By a vote of two-thirds of the members of the corporate authorities then holding office, the annual budget for the municipality may be revised by deleting, adding to, changing or creating sub-classes within object classes and object classes themselves. No revision of the budget shall be made increasing the budget in the event funds are not available to effectuate the purpose of the revision.

Laws 1961, p. 576, § 8-2-9.6, added by Laws 1967, p. 3494, § 1, eff. Sept. 1, 1967. Amended by P.A. 76-1117, § 1, eff. Aug. 28, 1969.

Formerly III.Rev.Stat.1991, ch. 24, ¶ 8-2-9.6.

5/8-2-9.7. Funds for contingency purposes

§ 8-2-9.7. Funds for contingency purposes. The annual budget may contain money set aside for contingency purposes not to exceed ten percent of the total budget, less the amount set aside for contingency purposes, which monies

Village of Carol Stream, Illinois

Fund Balances of Governmental Funds

Last Ten Fiscal Years

April 30, 2008

	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008
General Fund										
Reserved	\$ 40,593	\$ 142,775	\$ 539,555	\$ 630,299	\$ 868,062	\$ 888,961	\$ 749,188	\$ 1,010,119	\$ 962,520	\$ 929,120
Unreserved - Designated										
Designated for Contingency ¹						1,085,000	1,085,000	1,085,000		
Designated for Stormwater Improve.						358,000	358,000	358,000	358,000	358,000
Designated for Capital Projects					250,000	250,000				
Designated for Capital Outlay ²							14,016,396			
Designated for Tree Replacement ³										2,250,000
Designated for TIF Closure		111,444								
Unreserved - Undesignate	19,616,996	22,853,297	24,148,818	25,373,220	24,407,372	23,998,425	13,143,003	16,984,853	18,568,043	19,372,702
Total General Fund	\$ 19,657,589	\$ 23,107,516	\$ 24,688,373	\$ 26,003,519	\$ 25,525,434	\$ 26,580,386	\$ 29,351,587	\$ 19,437,972	\$ 19,888,563	\$ 22,909,822
All Other Governmental Funds										
Reserved	\$ 4,940,294	\$ 2,293,326	\$ 2,792,540	\$ 3,191,171	\$ 3,257,999	\$ 3,326,876	\$ 3,833,069	\$ 3,784,227	\$ 3,460,819	\$ 2,328,939
Unreserved, reported in										
Special Revenue Funds	1,636,157	552,669	303,806	279,378	265,781	370,415	93,311	-	-	-
Capital Project Funds	-	-	-	-	-	-	-	13,889,007	16,650,004	18,385,025
Total Other GovT. Funds	\$ 6,576,451	\$ 2,845,995	\$ 3,096,346	\$ 3,470,549	\$ 3,523,780	\$ 3,697,291	\$ 3,926,380	\$ 17,673,234	\$ 20,110,823	\$ 20,713,964

Data Source

Audited Financial Statements

¹ Unreserved designated for Contingency is for pending class action lawsuit relating to the Infrastructure Maintenance Fee collected during 1998-2002.

Resolution of the class action lawsuit was completed during the fiscal year 2007. Resulting in the \$59,598 reserve of fund balance. The reserve is to be used for certain types of programs or projects that were previously unfunded by the Village.

² Unreserved designated for Capital Outlay is to be used for the CIP Fund created in fiscal year 2006.

³ Unreserved designated for Tree Replacement is to be used for the replacement of ash trees infected with the Emerald Ash Borer. Fiscal years 2009-2012 \$64,175.00 has been allocated to replace infested trees. Fiscal years 2013-2016 \$72,750.00 will be allocated.

Village of Carol Stream, Illinois

Changes In Fund Balances of Governmental Funds

Last Ten Fiscal Years

April 30, 2008

	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008
Revenues										
Taxes ³	\$ 14,215,660	\$ 16,782,671	\$ 14,669,961	\$ 13,906,852	\$ 13,809,689	\$ 15,187,406	\$ 16,737,100	\$ 17,818,453	\$ 18,049,175	\$ 18,623,131
Licenses and Permits	1,463,810	1,393,245	988,926	1,008,961	1,057,415	1,322,128	1,059,311	1,245,454	1,158,955	1,187,301
Intergovernmental	1,196,268	1,390,055	1,481,240	1,267,092	1,231,845	1,229,211	1,302,149	1,286,806	1,332,193	1,301,693
Fines and Forfeitures	490,583	553,822	516,655	474,092	595,083	627,252	771,834	972,417	1,250,782	1,110,781
Charges for Services	1,617,125	1,959,417	1,184,448	1,127,211	1,106,378	1,036,183	1,008,026	1,028,717	1,166,143	1,471,106
Investment Income	1,287,716	1,201,093	1,734,375	1,003,516	669,844	292,862	491,993	1,246,389	1,921,530	2,040,597
Miscellaneous	187,868	420,957	207,124	231,993	332,162	747,869	213,847	339,207	767,854	1,520,247
Total Revenues	20,459,030	23,701,260	20,782,729	19,019,717	18,802,416	20,442,911	21,584,260	23,937,443	25,646,632	27,254,856
Expenditures										
General Government	4,287,370	4,506,549	3,857,060	4,275,162	4,132,589	4,170,312	4,418,125	4,679,087	5,075,030	5,146,393
Public Safety	5,845,017	6,194,449	7,162,549	7,917,016	8,202,988	8,724,147	9,244,140	10,181,294	10,722,645	11,387,611
Highways and Streets	6,141,637	5,951,214	7,212,635	4,691,090	6,640,442	5,857,420	4,448,230	3,924,822	4,791,012	5,833,446
Debt Service										
Principal	450,000	60,000	70,000	85,000	100,000	115,000	135,000	205,000	180,000	190,000
Interest	602,601	476,294	364,612	359,100	352,406	344,531	335,475	227,642	187,973	180,778
Other Charges	3,000	27,500	4,501	3,000	3,075	3,038	3,000	162,036	3,000	-
Capital Outlay										
Intergovernmental ²	-	2,372,958	-	-	-	-	-	623,662	1,932,061	892,228
Total Expenditures¹	17,329,625	19,588,964	18,671,357	17,330,368	19,431,500	19,214,448	18,583,970	20,003,543	22,891,721	23,630,456
Excess of Revenues over (under) Expenditures	3,129,405	4,112,296	2,111,372	1,689,349	(629,084)	1,228,463	3,000,290	3,933,900	2,754,911	3,624,400
Other financing Sources (Uses)										
Transfers In	-	-	562,341	191,287	382,888	170,903	153,474	14,508,721	4,144,058	1,923,572
Transfers Out	-	-	(168,508)	(191,287)	(178,658)	(170,903)	(153,474)	(14,508,721)	(4,144,058)	(1,923,572)
Bonds Issued	-	-	-	-	-	-	-	4,285,000	-	-
Discount on Bonds Issued	-	-	-	-	-	-	-	-	-	-
Payment to Escrow Agent	-	(4,392,825)	-	-	-	-	-	(4,454,918)	-	-
Sale of Capital Assets	-	-	-	-	-	-	-	-	-	-
Total Other Financing Sources (Uses)	-	(4,392,825)	393,833	-	204,230	-	-	(169,918)	-	-
Net Change in Fund Balances	\$ 3,129,405	\$ (280,529)	\$ 2,505,205	\$ 1,689,349	\$ (424,854)	\$ 1,228,463	\$ 3,000,290	\$ 3,763,982	\$ 2,754,911	\$ 3,624,400
Debt Service as a Percentage of Noncapital Expenditures	6.52%	2.82%	2.78%	3.01%	2.82%	2.80%	2.98%	2.36%	2.36%	1.76%

Data Source

Audited Financial Statements

¹ Increase in fiscal year 1997 expenditures were due to one-time development costs for the Geneva TIF District. Also, issued Carol Point Refunding revenue bonds.

Increase in fiscal year 1998 expenditures were due to construction costs for Gary Avenue Improvements including Town Center.

² Defeasance of Carol Point TIF district excess tax distribution to DuPage County.

³ Sales Tax Revenue declined during 2002 and 2003 because two major businesses changed their point of sale to different towns. However, their operations remained in Carol Stream but the sales tax revenue was received by the city/village where the point of sale was recorded.

Village of Carol Stream, Illinois

Assessed and Estimated Actual Value of Taxable Property

Last Ten Fiscal Years

April 30, 2008

Tax Levy Year	Real Property		Ratio of Total Assessed Value to Total Estimated Actual Value	Equalization Factor
	Equalized Assessed Value	Estimated Actual Value		
1998	735,180,183	2,205,540,549	33.33	1.0000
1999	779,782,004	2,351,138,012	33.33	1.0000
2000	835,059,287	2,505,177,861	33.33	1.0000
2001	888,489,605	2,665,468,815	33.33	1.0000
2002	973,726,661	2,921,179,983	33.33	1.0000
2003	1,034,746,437	3,104,239,311	33.33	1.0000
2004	1,122,274,001	3,366,822,003	33.33	1.0000
2005	1,190,364,152	3,571,092,456	33.33	1.0000
2006	1,267,852,954	3,803,558,862	33.33	1.0000
2007	1,342,932,830	4,028,798,490	33.33	1.0000

Data Source

Office of the County Clerk

Village of Carol Stream, Illinois

Principal Property Taxpayers

Current Year and Ten Years Ago

April 30, 2008

Taxpayer	2007			1997		
	Taxable Assessed Value	Rank	Percentage of Total VOCS Taxable Assessed Value	Taxable Assessed Value	Rank	Percentage of Total VOCS Taxable Assessed Value
Real Estate Tax Advisors	\$ 13,559,480	1	1.01%			
James Campbell Co LLC	12,423,570	2	0.93%	\$ 6,443,730	1	0.98%
Berlin Industries	8,854,900	3	0.66%	--		
Crane & Norcross	8,342,320	4	0.62%			
Tyndale House Publishers	8,147,750	5	0.61%	--		
Tri State Distribution	7,755,000	6	0.58%	--		
JW Paj (CB Lies Road)	7,743,900	7	0.58%			
Windsor Park Manor	7,294,120	8	0.54%	5,437,260	2	0.82%
Covered Bridges	7,112,460	9	0.53%	4,508,550	5	0.68%
DCT IL S Gary/ (Wicks)	7,088,580	10	0.53%	--		
Carol Point Business Center				5,424,510	3	0.82%
Rootberg, Irving	--		--	3,721,040	8	0.56%
W. W. Grainger				3,500,360	9	0.53%
American National Bank & Trust	--		--	3,421,560	10	0.52%
CB Commercial Real Estate				4,683,730	4	0.71%
Marvin Poer & Co (Alter Asset Mgmt)	--		--	4,204,270	6	0.64%
ERI - CP Inc	--		--	-		
Holtzman Interests, LLC	--		--			
Chemical Bank	--		--	4,165,660	7	0.63%
	<u>\$ 88,322,080</u>		<u>6.58%</u>	<u>\$ 45,510,670</u>		<u>6.90%</u>

Source: DuPage County Clerks Office

Village of Carol Stream, Illinois

Principal Employers

Current Year and Six Years Ago

January 1, 2009

Employer	2009			2003		
	Rank	Employees	% of Total City Population	Rank	Employees	% of Total City Population
FIC America Corp.	1	635	1.56%	4	400	0.99%
Peacock Engineering Company	2	562	1.38%			
CNS Home Health	3	325	0.80%	6	325	0.80%
Altiivity Packagaing	4	270	0.66%			
Tyndale House Publishers	5	260	0.64%	10	300	0.74%
Ingram Micro	6	250	0.61%	2	540	1.34%
Berlin Industries	7	247	0.61%	1	600	1.48%
Invensys Appliance Controls	8	225	0.55%			
Dominick's Finer Foods	9	225	0.55%			
Windsor Park Manor	10	220	0.54%			
Fedex Ground Package System Inc				8	300	0.74%
Office Depot Business Services				3	412	1.02%
Michael Nicholas Carpentry LLC				9	300	0.74%
Jefferson Smurfitt Corporation				7	320	0.79%
Party Lite Gifts				5	330	0.82%

Data Source

Village records and Illinois Department of Commerce and Economic Opportunity website.

Information prior to 2003 was unavailable.

Village of Carol Stream, Illinois

Taxable Sales By Category Last Ten Calendar Years April 30, 2008

Calendar Year	1999	2000	2001	2002	2003	2004	2005	2006 ⁴	2007 ⁴	2008 ⁴
General Merchandise ²	\$ 189,281	\$ 0	\$ 0	\$ 0	\$ 127,289	\$ 124,237	\$ 1,359	\$ 76	\$ 341	\$ -
Food	891,596	1,020,089	1,124,834	934,229	935,885	968,617	922,797	907,518	939,389	673,188
Drinking and Eating Places	267,304	266,434	268,379	280,831	284,421	311,632	300,727	326,168	342,204	257,529
Apparel ²	10,875	0	0	0	21,876	23,157	26,307	20,282	8,940	-
Furniture & H.H. & Radio	121,071	186,912	281,223	331,429	338,749	323,482	311,514	380,541	388,107	242,532
Lumber, Building Hardware	206,123	568,136	760,620	451,412	104,672	470,473	688,780	605,009	604,838	440,097
Automobile and Filling Stations	557,698	595,673	551,627	462,214	401,744	422,843	475,407	533,382	534,918	470,741
Drugs and Miscellaneous Retail	912,035	1,182,722	1,291,677	1,658,490	1,629,931	1,515,612	1,571,678	1,575,287	1,508,184	1,024,908
Agriculture and All Others ¹	1,361,190	1,119,221	802,444	744,310	685,335	618,352	871,984	1,183,040	1,021,862	868,869
Manufacturers ¹	357,537	352,616	320,729	256,754	280,864	326,014	263,180	215,080	280,803	210,305
TOTAL³	\$ 4,874,710	\$ 5,291,803	\$ 5,523,144	\$ 5,621,206	\$ 5,257,010	\$ 5,104,419	\$ 5,433,733	\$ 5,746,383	\$ 5,629,586	\$ 4,188,169
Village direct sales tax rate	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%	1.00%

Source: Illinois Department of Revenue
Data available for Calendar Year only

¹ During 1997-1998, Twenty- Two new businesses opened.

² Data by category is not available from the State of Illinois for categories with less than 4 taxpayers. However, they are included in the totals for 2000, 2001 and 2002. Per the State of Illinois, there must not have been 4 taxpayers during the years 2000, 2001 and 2002.

³ Sales Tax Revenue declined during 2002 and 2003 because two major businesses changed their point of sale to different towns. However, their operations remained in Carol Stream, but the sales tax revenue was received by the city/village where the point of sale was recorded.

⁴ Calendar year 2008 only includes sales tax revenue thru September 30, 2008. The fourth quarter numbers were unavailable.

Village of Carol Stream, Illinois

Taxable Sales By Category - Home Rule Last Ten Calendar Years April 30, 2008

Calendar Year	1999	2000	2001	2002	2003*	2004	2005	2006	2007	2008 ¹
General Merchandise	\$ -	\$ -	\$ -	\$ -	\$ 36,139	\$ 61,125	\$ 651	\$ 36	\$ 168	\$ -
Food	-	-	-	-	78,090	156,490	152,677	150,129	161,555	117,776
Drinking and Eating Places	-	-	-	-	67,651	150,601	146,968	161,404	169,565	127,262
Apparel	-	-	-	-	6,125	12,038	13,154	10,141	4,470	-
Furniture & H.H. & Radio	-	-	-	-	82,479	160,539	155,416	186,435	193,808	121,202
Lumber, Building Hardware	-	-	-	-	26,229	198,998	344,020	302,485	302,335	218,660
Automobile and Filling Stations	-	-	-	-	28,988	57,647	69,480	70,556	78,342	90,274
Drugs and Miscellaneous Retail	-	-	-	-	302,620	628,003	679,417	678,161	634,747	424,319
Agriculture and All Others	-	-	-	-	138,328	295,137	417,076	563,173	502,825	427,375
Manufacturers	-	-	-	-	68,770	154,223	129,761	106,387	138,062	103,605
TOTAL	\$ -	\$ -	\$ -	\$ -	\$ 835,419	\$ 1,874,801	\$ 2,108,620	\$ 2,228,907	\$ 2,185,877	\$ 1,630,473
Village direct sales tax rate	0.00%	0.00%	0.00%	0.00%	0.00%	0.50%	0.50%	0.50%	0.50%	0.50%

Source: Illinois Department of Revenue
Data available Calendar Year only

* Taxes imposed 7/1/2003

¹ Calendar year 2008 only includes sales tax revenue thru September 30, 2008. The fourth quarter numbers were unavailable.

Village of Carol Stream, Illinois

Direct and Overlapping Sales Tax Rates

Last Ten Levy Years

April 30, 2008

Fiscal Year	Village Direct Rate	DuPage County Water Commission	Regional Transportation Authority	County Rate	State Rate	Total
1999	1.00%	0.25%	0.25%	0.25%	5.00%	6.75%
2000	1.00%	0.25%	0.25%	0.25%	5.00%	6.75%
2001	1.00%	0.25%	0.25%	0.25%	5.00%	6.75%
2002	1.00%	0.25%	0.25%	0.25%	5.00%	6.75%
2003*	1.50%	0.25%	0.25%	0.25%	5.00%	7.25%
2004	1.50%	0.25%	0.25%	0.25%	5.00%	7.25%
2005	1.50%	0.25%	0.25%	0.25%	5.00%	7.25%
2006	1.50%	0.25%	0.25%	0.25%	5.00%	7.25%
2007	1.50%	0.25%	0.25%	0.25%	5.00%	7.25%
2008	1.50%	0.25%	0.75%	0.25%	5.00%	7.75%

Data Source

Village and County Records

*Village Board imposed a .50% Home Rule Sales Tax

Village of Carol Stream, Illinois

Demographic and Economic Information

Last Ten Fiscal Years

April 30, 2008

Fiscal Year	Population	Equalized Assessed Value	Personal Income	Per Capita Personal Income	Unemployment Rate
2008 ¹	40,738	N/A	\$ 1,024,642,176	\$ 25,152	4.50%
2007	40,738	\$ 1,342,932,830	\$ 1,024,642,176	\$ 25,152	3.90%
2006	40,438	\$ 1,267,852,954	1,017,096,576	25,152	4.20%
2005	40,438	1,185,144,242	1,017,096,576	25,152	5.30%
2004	40,438	1,117,457,331	1,017,096,576	25,152	4.70%
2003	40,438	1,030,357,807	1,017,096,576	25,152	5.30%
2002	40,438	969,383,481	1,017,096,576	25,152	5.80%
2001	40,438	884,176,175	1,017,096,576	25,152	3.50%
2000	40,438	830,989,287	1,017,096,576	25,152	3.00%
1999	37,982	779,782,004	1,071,168,364	28,202	2.90%

Data Sources:

Village Records, US Census Bureau and Office of the County Clerk

¹ 2008 Equalized Assessed Valuation is unavailable until 2008.

Village of Carol Stream, Illinois

Schedule of Legal Debt Margin

April 30, 2008

The government is a home rule municipality.

Article VII, Section 6(k) of the 1970 Illinois Constitution governs computation of the legal debt margin.

"The General Assembly may limit by law the amount and require referendum approval of debt to be incurred by home rule municipalities, payable from ad valorem property tax receipts, only in excess of the following percentages of the assessed value of its taxable property... (2) if its population is more than 25,000 and less than 500,000 an aggregate of one per cent: ...indebtedness which is outstanding on the effective date (July 1, 1971) of this constitution or which is thereafter approved by referendum...shall not be included in the foregoing percentage amounts."

To date the General Assembly has set no limits for home rule municipalities.

Village of Carol Stream, Illinois

Ratios of Outstanding Debt By Type

Last Ten Fiscal Years

April 30, 2008

Fiscal Year Ended	Governmental Activities		Business-Type Activities		Total Primary Government	Percentage of Personal Income* Per Capita*	
	Tax Increment Financing Bonds	Tax Increment Refunding Bonds	DWC Installment Contract Payable	IEPA Loan Payable		Income*	Capita*
1999	4,690,000	4,415,000		8,302,284	17,407,284	1.63%	\$ 458
2000 ¹	4,630,000			7,856,573	12,486,573	1.23%	\$ 309
2001	4,560,000			7,394,762	11,954,762	1.18%	\$ 296
2002	4,475,000			6,916,271	11,391,271	1.12%	\$ 282
2003 ²	4,375,000			9,998,446	14,373,446	1.41%	\$ 355
2004	4,260,000			6,297,340	10,557,340	1.04%	\$ 261
2005	4,125,000			6,369,123	10,494,123	1.03%	\$ 260
2006 ³	-	4,080,000		6,102,457	10,182,457	1.00%	\$ 252
2007	-	3,900,000		5,828,893	9,728,893	0.95%	\$ 239
2008 ⁴	-	3,710,000	637,569	5,548,254	9,895,823	0.97%	\$ 243

* See the Schedule of Demographic and Economic Statistics on page 256 for personal income and population data.

¹ July 1999, the Village deposited cash on hand of \$4,392,825 into an irrevocable escrow, to advance refund, through an in-substance defeasance, \$4,415,000 of the Carol Point TIF refunding bonds. An additional, \$2,372,958 was paid to DuPage County for re-distribution to the various taxing jurisdictions within the TIF upon its dissolution.

² During 2004, the Village retired the 1991 IEPA Revolving Loan of \$10.3 million. The proceeds of the 1991 Loan were used to acquire Water and Sewer System capital assets. The Village also received \$7.6 million IEPA loan under the revolving loan program to construct the Water Reclamation Center.

³ Refunded 1997 tax increment financing bonds by issuing on August 30, 2005, Senior lien tax refunding bonds in the amount of \$4,285,000. This debt is not a general obligation of the Village and is secured incremental tax revenue generated by the district.

⁴ Borrowed \$637,569 from the Dupage Water Commission to finance the connection to Village's water system for residents with contaminated wells located in an unincorporated area of Dupage County, surrounding the Village of Carol Stream. The Village of Carol Stream will be repaid by these residents through an SSA.

Village of Carol Stream, Illinois

Schedule of Debt Service to Maturity - Water and Sewer Fund

2005 IEPA Loan

April 30, 2008

<u>Date</u>	<u>Principal</u>	<u>Interest</u>	<u>Total Debt Service</u>
10 /08	143,030.13	71,295.06	5,548,253.95
04 /09	144,868.06	69,457.13	5,405,223.82
10 /09	146,729.62	67,595.57	5,260,355.76
04 /10	148,615.09	65,710.10	5,113,626.14
10 /10	150,524.80	63,800.39	4,965,011.05
04 /11	152,459.04	61,866.15	4,814,486.25
10 /11	154,418.14	59,907.05	4,662,027.21
04 /12	156,402.41	57,922.78	4,507,609.07
10 /12	158,412.18	55,913.01	4,351,206.66
04 /13	160,447.78	53,877.41	4,192,794.48
10 /13	162,509.53	51,815.66	4,032,346.70
04 /14	164,597.78	49,727.41	3,869,837.17
10 /14	166,712.86	47,612.33	3,705,239.39
04 /15	168,855.12	45,470.07	3,538,526.53
10 /15	171,024.91	43,300.28	3,369,671.41
04 /16	173,222.58	41,102.61	3,198,646.50
10 /16	175,448.49	38,876.70	3,025,423.92
04 /17	177,703.01	36,622.18	2,849,975.43
10 /17	179,986.49	34,338.70	2,672,272.42
04 /18	182,299.32	32,025.87	2,492,285.93
10 /18	184,641.86	29,683.33	2,309,986.61
04 /19	187,014.51	27,310.68	2,125,344.75
10 /19	189,417.65	24,907.54	1,938,330.24
04 /20	191,851.66	22,473.53	1,748,912.59
10 /20	194,316.96	20,008.23	1,557,060.93
04 /21	196,813.93	17,511.26	1,362,743.97
10 /21	199,342.99	14,982.20	1,165,930.04
04 /22	201,904.55	12,420.64	966,587.05
10 /22	204,499.02	9,826.17	764,682.50
04 /23	207,126.83	7,198.36	560,183.48
10 /23	209,788.41	4,536.78	353,056.65
04 /24	143,268.24	1,841.00	143,268.24
	<u>5,548,253.95</u>	<u>1,240,936.18</u>	0.00

Village of Carol Stream, Illinois

Direct and Overlapping Bond Debt

April 30, 2008

Governmental unit	Gross Bonded Debt	Percentage Debt Applicable to the Village of Carol Stream ¹	Village of Carol Stream Share of Debt
Village of Carol Stream ¹	\$ -	100.00%	\$ -
DuPage County	182,815,000	3.32%	6,069,458
DuPage County Forest Preserve District	223,723,678	3.32%	7,427,626
DuPage Water Commission	35,560,000	3.45%	1,226,820
Geneva Crossing TIF District ²	3,710,000	100.00%	3,710,000
Park Districts			
Carol Stream	23,193,519	86.52%	20,067,033
Glen Ellyn	16,750,000	1.10%	184,250
Wheaton	42,942,025	0.0006%	258
Schools			
District No. 25	9,290,000	42.86%	3,981,694
District No. 46	339,318,830	2.23%	7,566,810
District No. 93	19,050,000	64.14%	12,218,670
District No. 87	41,940,000	15.17%	6,362,298
District No. 200	192,275,000	6.09%	11,709,548
District No. 41	22,151,415	1.95%	431,953
District No. 94	22,665,000	9.25%	2,096,513
District No. 502	177,865,000	2.74%	4,873,501
District No. 509	59,288,541	0.94%	557,312
Fire Districts			
Bartlett Fire District	-	0.23%	-
Carol Stream Fire District	2,967,000	91.03%	2,700,860
Winfield Fire District	-	0.0019%	-
	<u>\$ 1,415,505,008</u>		<u>\$ 91,184,602</u>
	<u>\$ 1,415,505,008</u>		<u>\$ 91,184,602</u>
Per Capita Overlapping Debt			\$ 2,238.32

¹ Determined by ratio of assessed value of property subject to taxation in the Village to value of property subject to taxation in the overlapping unit. Includes the Village of Carol Stream Library.

² Tax increment financing (TIF) debt is secured by the incremental taxes collected from the respective districts and are not the general obligations of the Village. Therefore, TIF debt is not included as direct debt of the Village of Carol stream but overlapping debt.

Village of Carol Stream, Illinois

Miscellaneous Statistics

April 30, 2008

Date of Incorporation January 5, 1959

Form of Government Board-Administrator

The Legislative Board is comprised of the elected Mayor and six Trustees of the Village of Carol Stream. The Board makes policy decisions necessary to maintain and enhance the health, safety and welfare of the citizens and visitors to Carol Stream. These decisions include, but are not limited to: matters of annexation; tax impact; budgets; letting of contracts; citizens' and others' concerns; acceptance of subdivision improvements; establishment of and variations in housing, subdivision, building, drainage, zoning and traffic codes; and establishment of license fees and other charges.

		Term Expires On:
Mayor	Frank Saverino	April 30, 2011
Trustee	Michael Drager	April 30, 2009
Trustee	Pam Fenner	April 30, 2009
Trustee	Rick Gieser	April 30, 2011
Trustee	Matt McCarthy	April 30, 2009
Trustee	Greg Schwarze	April 30, 2011
Trustee	Donald Weiss	April 30, 2011

Geographic Location Western Suburb of Chicago
Located in DuPage County

Area 10.0 Square Miles

Library Services

Number of Branch Libraries	1
Number of Books	169,693
Number of Registered Borrowers	26,121
2005 Book Circulation	369,968
Number of Audio Visual Items	15,049

Elections

Number of Registered Voters (4/07)	20,954
Number of Votes Cast in Last Municipal Election (4/07)	5,637
Percentage of Registered Voters Voting in Last Municipal Election	26.9%

Village of Carol Stream, Illinois

Operating Indicators
Last Ten Calendar Years
April 30, 2008

Function/Program	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008
General Government										
<u>Village Clerk</u>										
Passports Issued ¹	NA	NA	NA	357	732	391	292	341	612	433
<u>Finance</u>										
Vehicle stickers issued	22,957	22,725	22,516	23,567	23,952	23,917	23,958	24,269	24,601	29,336
Real Estate Transfer Tax:										
Number of transactions	1,459	1,292	1,309	1,354	1,591	1,150	1,269	1,220	966	731
Refunds issued	131	109	75	97	98	80	76	73	33	14
Accounts Payable Checks processed	3,650	3,621	3,921	3,861	3,569	2,935	1,980	1,814	1,806	1,709
Business licenses issued	809	773	850	810	879	840	904	1,081	844	773
<u>Engineering</u>										
Flexible pavement projects (miles) ²	NA	NA	NA	4.33	2.6	5.02	6.05	7.48	7.40	5.90
Crackfilling (pds of material)	78,000	64,000	82,000	77,000	62,000	65,000	65,450	-	52,580	48,000
Pavement Rejuvenation (sq yds)	202,000	334,000	410,000	379,000	347,000	372,000	375,000	201,539	270,000	240,000
<u>Community Development</u>										
Building permits issued										
Residential Permits Issued:										
New Construction	77	19	24	76	98	28	82	70	148	1
Remodel	47	62	56	71	64	74	60	56	73	59
Industrial/Commercial Permits Issued:										
New Construction	12	8	8	4	7	9	7	7	7	2
Remodel	153	129	125	110	115	90	75	105	60	50
Accessory Permits Issued ⁴	1,530	1,253	1,316	1,342	1,615	1,701	1,930	1,802	1,632	1,513
Total Building permit valuation	\$ 125,635,251	\$ 68,010,416	\$ 55,833,454	\$ 44,891,889	\$ 71,333,258	\$ 61,761,527	\$ 48,176,232	\$ 50,496,593	\$ 63,899,878	\$ 29,603,698
Public Safety										
<u>Police</u>										
Calls for Service:										
Officer Initiated	NA	NA	NA	41,267	45,472	38,084	41,589	39,033	37,044	36,029
9-1-1	NA	NA	NA	16,345	17,234	17,043	16,108	15,884	15,549	15,222
Total Accident investigations:	1,362	1,365	1,323	1,255	1,152	1,258	1,086	1,012	1,137	1,042
Property Damage	NA	NA	1,179	1,066	1,013	1,119	970	913	1,023	935
Personal Injury	NA	NA	143	189	136	138	116	99	114	107
Fatalities	NA	NA	1	0	0	1	1	0	0	0
Crime Index Part I Offense:										
Homicide	1	0	0	0	1	0	0	0	0	1
Criminal Sexual Assault	4	5	10	9	12	13	9	3	2	11
Robbery	10	8	16	15	7	9	14	7	15	14
Aggravated Assault/Battery	112	141	98	95	96	99	93	70	62	52
Burglary	106	102	128	120	93	112	117	79	78	107
Theft	937	693	731	721	660	656	616	605	597	582
Motor Vehicle Theft	46	44	23	19	25	29	33	34	20	27
Arson	7	10	18	11	15	7	12	4	5	9
Total Part I Offenses	1,223	1,003	1,024	990	909	925	894	802	779	803
Total Part I Arrests	233	146	137	125	141	140	138	104	120	163
Parking violations	4,309	7,123	6,045	5,442	5,435	5,106	5,914	4,959	4,606	5,354
Traffic violations (includes DUI violations)	13,597	11,240	9,936	11,226	11,276	12,893	15,557	16,621	18,755	16,993

Village of Carol Stream, Illinois

Operating Indicators
Last Ten Calendar Years
April 30, 2008

Function/Program	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008
DUI Violations	165	195	178	281	443	366	426	562	499	576
False alarm accounts:										
Commercial	NA	NA	317	370	417	448	470	488	512	534
Residential	NA	NA	176	292	367	431	477	515	544	577
False alarm responses:										
Commercial	NA	NA	1,355	1,078	936	1,048	784	773	760	810
Residential	NA	NA	279	282	264	218	217	169	161	126
Public Works										
<u>Streets</u>										
Street Sweeping:										
Curb lane miles swept	NA	NA	NA	2,886	2,677	3,708	2,955	3,511	4,709	4,181
Cubic yards of waste collected	NA	NA	NA	1,775	1,458	956	701	1,003	1,213	1,080
Snow plowing:										
Number of snow events	20	21	23	14	26	16	17	11	17	27
Inches of snow fall	52	35	53	35	38	30	45	26	58	74
Right of way mowing:										
Acres mowed	NA	1,664	2,365	2,558	2,230	2,030	1,900	2,498	2,863	1,933
Sidewalk replaced/repared (squares)	267	212	304	632	649	398	408	332	341	470
Regulatory signs installed	192	205	311	366	319	272	260	196	232	227
<u>Garage</u>										
Number of PM services performed	304	346	281	405	436	400	427	306	696	824
Number of Outsourced services	153	101	175	92	52	53	44	33	26	39
Water										
Average daily consumption:										
Residential	2.96 MGD	2.93 MGD	2.94 MGD	2.96 MGD	2.81 MGD	2.77 MGD	2.76 MGD	2.47 MGD	2.71 MGD	2.62 MGD
Industrial/Commercial	.84 MGD	.83 MGD	.82 MGD	.76 MGD	.72 MGD	.79 MGD	.68 MGD	.64 MGD	.72 MGD	0.67 MGD
Peak daily consumption	6.74 MGD	6.50 MGD	7.56 MGD	7.44 MGD	6.95 MGD	5.80 MGD	6.81 MGD	6.36 MGD	7.61 MGD	5.34 MGD
Water main breaks	24	16	7	14	16	11	23	7	10	7
Number of valves exercised	NA	NA	NA	NA	NA	175	7	125	141	188
Water billing accounts on 12/31:										
Residential	9,505	9,651	9,649	9,693	9,819	9,881	9,882	10,107	10,200	9,820
Industrial/Commercial	540	570	578	591	602	617	615	628	636	558
Municipal/Church/School	47	49	49	49	52	54	55	54	54	53
Wastewater										
Average daily treatment	4.78 MGD	4.56 MGD	5.1 MGD	4.93 MGD	4.65 MGD	4.38 MGD	4.27 MGD	5.95 MGD	4.92 MGD	5.46 MGD
Excursions/Violations	6	12	17	0	4	2	1	5	8	22

265

Data Source

Various village departments

NA = Information Unavailable/Program non-existent

MGD = Million Gallons Daily

¹ Passport program began in April, 2001.

² Flexible pavement projects include street resurfacing, replacement and structural overlay. Data was provided from IDOT reports.

³ Maintenance program for wetland burns began in 2004. The acreage shown in 2007 is for fiscal year 5/1/07-4/30/08.

⁴ Accessory Permits include pools, patios, decks, fireplaces, shed, etc.

Village of Carol Stream, Illinois

Capital Asset Statistics

Last Ten Fiscal Year

April 30, 2008

Function/Program	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008
Public Safety										
Police										
Stations/Municipal Center	1	1	1	1	1	1	1	1	1	1
Patrol vehicles	38	42	46	53	45	45	43	45	47	47
Public Works										
Residential streets (miles)	104	106	101	102	107	107	107	108	108	108
Storm sewers (miles)	100	102	102	103	105	105	105	106	106	106
Water and Sewer										
Water mains (miles)	131	133	134	135	137	137	137	137	137	138
Storage capacity (MG)	6.5	6.5	6.5	6.5	6.5	6.5	6.5	6.5	6.5	6.5
Sanitary sewers (miles)	105	107	107	108	109	109	109	109	109	110
Treatment capacity (MG)*	5.4	5.4	5.4	5.4	5.4	6.5	6.5	6.5	6.5	6.5

Data Source

Village's Comprehensive Annual Financial Report Statistic Section

Various village departments

*Water Reclamation Expansion project began in the fall of 2002 was completed during 2004.

Village of Carol Stream

FINANCIAL PLAN AND ANNUAL BUDGET

Acronyms

BMP	Best Management Practice
CAFR	Comprehensive Annual Financial Report
CATS	Chicago Area Transportation Study
CIP	Capital Improvement Program
DM&MC	DuPage Mayors & Managers Conference
DPC	DuPage County
DPC-DEC	DuPage County Department of Environmental
EPA	Environmental Protection Agency
FAUS	Federal Aid Urban System
FHWA	Federal Highway Administration
FY	Fiscal Year
GASB	Government Accounting Standards Board
GAAP	Generally Accepted Accounting Principles
GCF	General Corporate Fund
GO	General Obligation
IDNR	Illinois Department of Natural Resources
IDOT	Illinois Department of Transportation
IEMA	Illinois Emergency Management Agency
IEPA	Illinois Environmental Protection Agency
LAPP	Local Agency Pavement Preservation
LWCF	Land and Water Conservation Fund
MFT	Motor Fuel Tax
MPO	Metropolitan Planning Organization
NPDES	National Pollutant Discharge Elimination System
OSLAD	Open Space Land Acquisition Development
PCI	Pavement Condition Index
PMS	Pavement Management System
RFP	Request for Proposal
RMS	Roadway Management System
TEA 21	Transportation Equity Act for the 21 st Century
TIF	Tax Increment Financing
W&S	Water & Sewer
WRC	Water Reclamation Center
WTRD	Wayne Township Road District

The Financial Plan and Annual Budget contains specialized and technical terminology that is unique to public finance and budgeting or a particular departmental operation or project. To assist the reader of the Financial Plan document in understanding these terms, a glossary has been included in the document.

Abatement: A legal concept that underlies local government capital leasing in California and certain other states. Under the concept, a long-term capital lease is a year-to-year transaction. The local government lessee is obligated to make annual lease payment only as the lessor makes available the leased property. This prevents the lease "obligations" from being classified as long-term debt subject to state debt limits and voter approval requirements.

Accrued Interest: Interest earned but not yet paid. In debt sales, interest begins to accrue on the "dated date" for the debt. This date often precedes the closing date by days or weeks. In buying the debt, the underwriters must pay the issuer the accrued interest for the period from the dated date to the closing date, as well as the debt proceeds. The issuer will apply this accrued interest to the first interest payment to investors.

Ad Valorem Tax: Tax levied on the value of property. Also generally called a property tax. General obligation bonds issued by most local governments are secured by unlimited property tax-levying authority. *See also* general obligation bond.

Advance Refunding: Process in which an issuer sells debt to refund existing debt during the period in which call or early redemption is prohibited, that is, before the call option may be exercised. Proceeds from the advance refunding bonds are controlled by a third party, deposited in an escrow account,

invested in safe securities, and used to pay debt service on the existing debt as it is due. This defeases the existing debt. Because of this defeasance, the issuer is responsible only for debt service on the advance refunding bonds. *See also* call; defease; refunding.

Amortization: Allocation of a capital investment or cost over a period of years or time corresponding to the investment's term or useful life, or allocation of debt over the debt's term.

Appropriation: An appropriation in a project ordinance provides spending authority that lasts for the length of time it takes to complete the project.

Accrual: The accrual basis of accounting is used for the proprietary Fund types. Under the accrual method, revenues are recognized in the account period in which they are earned while expenses are recognized when the related liability is incurred.

Appropriation Ordinance: The official enactment by the Village Board to establish legal authority for Village officials to obligate and expend resources.

Assessed Valuation: A value that is established for real or personal property for use as a basis for levying property taxes. (Note: Property values are established by the County Assessor.)

Assets: Property owned by a government which has a monetary value.

Authorized Strength: Approved and budgeted positions which an organization may fill.

Balance Sheet: A financial statement that discloses the assets, liabilities, reserves and balances of a specific governmental fund as of a specific date.

Balanced Budget: A budget in which receipts are equal to outlays.

Bank Qualified: When less than \$10 million in debt is issued in a calendar year. A bank qualified issue allows the banks to deduct their costs in buying the debt.

Basis Point: One-hundredth of 1 percent (one basis point is .01 of 1 percent).

Beat Auto Theft Through Law Enforcement (BATTLE): BATTLE is a DuPage County multi-jurisdictional auto theft task force. The task force's primary mission is to investigate organized theft rings, insurance fraud, re-tagging operations, and career auto thieves.

Beat Map: A map showing the individual police patrol blocks for the Village, i.e., Block 29 is the Postal Facility, Block 46 is Gala North, and Block 18 is Glenbard North High School. Beat maps are used for zone assignments and for statistical purposes.

Benefit-Cost Analysis: An approach to evaluating capital projects that compares project benefits with costs. The approach generally attempts to identify all benefits and costs: indirect or external as well as direct, and intangible as well as monetary. If benefits and costs occur over many years and are entirely or predominantly monetary, present value analysis can be used to calculate the present value of benefits and/or costs.

Bond: A written promise to repay debt on a specific date in the future, along with payment of a specified amount of interest at predetermined intervals while the debt is outstanding. "Certificate," "warrant," and "note" are other names that refer to what is defined here as a bond. "Bond" usually refers to long-term debt, that is, debt outstanding for thirteen months or longer; "note" commonly

refers to short-term debt, which matures in a period of twelve months or less.

Bond Counsel: Attorney who reviews legal documents and procedures used in authorizing and issuing debt and opines that the debt has been authorized and issued legally and that interest to be paid on it is exempt from taxation. The bond counsel often prepares legal documents and serves as an adviser through the authorization and sales process. The bond counsel's opinion is vital in marketing debt.

Bond Rating: Assessment of the credit quality of particular debt. A bond rating evaluates the willingness and ability of the issuer to repay the debt with periodic interest when due and to meet other obligations under the bond contract.

Budget: A one-year financial document embodying an estimate of proposed revenue and expenditures for the year. The Village is required by State Statute to approve a budget, and the approved budget sets the legal spending limits for the Village. It is the primary means by which most of the expenditures and service levels of the Village are controlled.

Budget Adjustments: A legal procedure utilized by the Village staff and Village Board to revise a budget. The Village Board must approve any budget amendment or any adjustment in excess of \$2,500.

Balanced Budget: A budget in which receipts are equal to outlays.

Budget Message: The opening section of the budget which provides the Village Board and the Public with a general summary of the most important aspects of the budget, changes from the current and previous fiscal years, and the

views and recommendations of the Village Manager.

Budget Policies: General and specific guidelines adopted by the Village Board that govern financial plan preparation and administration.

Budgetary Control: The control or management of a governmental unit or enterprise in accordance with an approved budget for the purpose of keeping expenditures within the limitations of available appropriations and available revenues.

Capital Assets: Assets with a minimum value of \$20,000 and having a useful life of several years. Capital assets are also called fixed assets.

Capital Expenditure: An outlay that results in or contributes to the acquisition or construction of a capital asset.

Capital Financing: Money that is raised and spent to build or acquire a capital asset. Capital financing can include the proceeds of different types of debt: general obligation bonds, revenue bonds, certificates of participation or capital lease debt, and some forms of special or limited obligations bonds. Capital financing can also include annually levied taxes or revenues, capital reserves, impact fees and other charges to property, grants, and other sources that are allocated and spent to help build and acquire capital assets. Capital financing raised through the issuance of debt must be repaid.

Capital Improvement Program (CIP): A multiyear forecast of major capital building, infrastructure, and equipment needs. The forecast period is most often five or six years, although some CIPs cover shorter or longer periods. The CIP not only identifies future capital needs but also the capital

appropriations or estimated spending required to make those needs a reality, sources of capital financing, and their impact on future operating budgets. The CIP is essentially a plan with its first year often becoming the capital budget for the year.

Capital Improvements Budget: A plan of proposed capital expenditures and the means of financing them. Items in the capital budget are usually construction projects designed to improve the value of the government assets. The capital budget is usually enacted as part of the complete annual budget which includes both operating and capital outlays.

Capital Needs Assessment: The identification of capital facility, infrastructure, and equipment needs over a long-term future planning period. The planning period for capital needs assessment often extends 10, 15, or even 20 years into the future. Capital needs assessment may take place as part of a long-term comprehensive plan process or strategic planning. Specific financing for capital needs is usually not identified in a capital needs assessment process.

Capital Reserve: Money raised and set aside to finance future capital improvements or acquisitions. Capital reserves are essentially savings accounts. They can take various forms: a designation or reserving of general or other operating fund balances, a separate capital reserve fund, an equipment replacement or infrastructure rehabilitation revolving fund, etc.

Cash Management: The management of cash necessary to pay for government services while investing temporary cash excesses in order to earn interest revenue. Cash management refers to the activities of forecasting the inflows and outflows of cash, mobilizing cash to improve its availability for investing funds in order to achieve the highest interest and

return available for temporary cash balances without jeopardizing principal.

Certificate of Deposit: A negotiable or non-negotiable receipt for monies deposited in a bank or financial institution for a specified period for a specified rate of interest.

Citizen Service Request Form (CSR): A method by which the Village receives and handles requests, questions or complaints from residents and customers, particularly those inquiries that cannot be immediately satisfied or which require investigation or scheduled work by the Village.

Commodities: Consumable items used by Village departments. Examples include office supplies, replacement parts for equipment, and gasoline.

Community Image Enhancement Project (Gary Avenue Corridor): The first of a multi-phase study which focuses upon improving the appearance of the Gary Avenue Corridor, a stretch of County owned highway which runs from the Village's southern border to its northern border.

The design solutions developed for this corridor could later serve as prototypes for other arterial corridors in Carol Stream. The Village has engaged the services of Camiros LTD, professional urban planners as well as an appointed Citizen Community Enhancement Task Force to prepare recommendations.

Contingency: A budgetary reserve set aside for emergencies or unforeseen expenditures not otherwise budgeted for.

Contractual Services: Services rendered to Village departments and agencies by private firms, individuals, or other government agencies. Examples include utilities, insurance, and professional services.

Debt Capacity: The capacity of a local government or a particular local utility or enterprise to pay principal and interest on debt as well as meet other needs and commitments. General or "net" debt capacity for a local government is evaluated with a variety of debt ratios: net debt per capita, net debt as a percentage of taxable valuation, net debt as a percentage of personal income, and annual debt service on net debt as a percentage of general fund and related expenditures. The debt capacity of a utility or enterprise is evaluated in terms of coverage as well as other factors.

Debt Services Fund: A Fund established to account for the accumulation of resources for, and the payment of general long-term debt, principal and interest.

Deficit: The excess of the liabilities of a fund to cover assets, a negative fund balance.

Deficits Budget: The excess of expenditures of a fund over the revenues during a single accounting period.

Department: A major administrative division of the Village, which indicates overall management responsibility for an operation or a group of related operations within a functional area.

Depreciation: The process of estimating and recording the lost usefulness, expired useful life or diminution of service from a fixed asset that cannot or will not be restored by repair and will be replaced. The cost of the fixed asset's lost usefulness in the depreciation or the cost to reserve in order to replace the item at the end of its useful life.

Disbursement: Payment for goods and services in cash or by check.

DuPage County Countywide Cluster Process: The County Planning Department has divided the County into several geographic clusters which each include a limited number of municipalities. Representatives from each municipality in the cluster meet with the county planning staff to discuss issues of common interest such as transportation, bikeway planning, environmental concerns and open space to develop strategic plans for the cluster and the county.

Encumbrance: The commitment of budgeted funds to purchase an item or service. To encumber funds means to set aside or commit funds for a future expenditure.

Enterprise Fund: A governmental accounting fund in which the services provided are financed and operated similarly to those of a private business. The rate schedules for those services are established to insure that revenues are adequate to meet all necessary expenditures. An enterprise fund in Carol Stream is established for the water and sewer service.

Enterprise Fund Infrastructure: Utility or other enterprise capital assets that are long-lived, usually stationary, and that are financed with enterprise system charges and fees or with bonds or debt repaid from enterprise charges and fees. Enterprise infrastructure includes water and sewer lines and systems, roads, and bridges.

Estimated Revenue: The amount of projected revenue to be collected during the fiscal year. The amount of revenue budgeted is the amount approved by the Village Board.

Expenditure: This term refers to the outflow of funds paid or to be paid for an asset obtained or goods and services obtained regardless of when the expense is actually paid. This term applies to all funds. Note: an

encumbrance is not an expenditure. An encumbrance reserves funds to be expended.

Expenses: Charges incurred (whether paid immediately or unpaid) for operation, maintenance, interest and other charges.

Financial Adviser: A consultant who advises an issuer about capital financing options, the amount and type of debt to issue, debt structure, and other matters related to capital budgeting and finance. In negotiated sales of debt, the underwriter provides financial advisory as well as underwriting services.

Financial Plan: A multi-year, longer range approach to assessing the Village's revenue and expenditure needs which becomes the basis for formulating the annual budget which by law must be adopted. The plan is developed by utilizing existing policies, objectives, assumptions, and accepted benchmarks and activity measures.

Fiscal Year: The time period designated by the Village signifying the beginning and ending period for recording financial transactions. The Village of Carol Stream has specified May 1 to April 30 as its fiscal year.

Fixed Assets: Assets of long-term character which are intended to continue to be held or used, such as land, buildings, machinery, furniture and other equipment.

Fund: An accounting entity that has a set of self-balancing accounts and that records all financial transactions for specific activities or government functions. Eight commonly used funds in public accounting are: general fund, special revenue funds, debt service funds, capital project funds, enterprise funds, trust and agency funds, internal service funds, and special assessment funds.

Fund Balance: The difference between fund assets and fund liabilities in a governmental or trust fund. Changes in fund balances are the result of the difference of revenues to expenditures. When revenues exceed expenditures in a given period, fund balance increases and when expenditures exceed revenue, fund balance decreases.

Full Faith and Credit: A pledge of the general taxing power of a government to repay debt obligations (typically used in reference to bonds).

Full-Time Equivalent: A measure of the authorized hours of position, or a group of positions expressed in terms of the authorized hours for a full-time position.

Gary Avenue Corridor: See Community Image Enhancement Project.

GFOA: Government Finance Officers Association.

General Fund: The largest fund within the Village, the General Fund accounts for most of the financial resources of the government. General Fund revenues include sales and utility taxes, licenses and permits, service charges, and other types of revenue. This fund usually includes most of the basic operating services, such as police protection, finance, community development, public works and general administration.

General Ledger: A file that contains a listing of the various accounts necessary to reflect the financial position of the government.

General Obligation Bonds: Bonds that finance a variety of public projects such as streets, buildings, and improvements. The repayment of these bonds is usually made from the Debt Service Fund, and these bonds

are backed by the full faith and credit of the issuing government

Generally Accepted Accounting Principles (GAAP): Uniform minimum standards for financial accounting and recording, encompassing the conventions, rules and procedures that define accepted accounting principles.

Grant: A contribution by a government or other organization to support a particular function. Grants may be classified as either categorical or block depending upon the amount of discretion allowed by the grantee.

Home-rule Municipality: The basic grant of home-rule power is set forth in Article VII, section 6 of the 1970 Illinois Constitution: "A home rule unit may exercise any power and perform any function pertaining to its government and affairs including, but not limited to, the power to regulate for the protection of the public health, safety, morals and welfare; to license; to tax; and to incur debt."

Infrastructure: Facilities that are available for public use, stationary, and generally have useful lives going out many decades. Local infrastructure includes streets, roads, sidewalks, bridges, stormwater and drainage systems, water supply and sanitary sewer systems.

Issuance Costs For Debt: The up-front costs that a debt issuer incurs in selling or placing debt. Issuance costs for publicly sold debt include fees for the bond attorney, bond ratings, underwriting, printing, and paying agent. Additional issuance costs are incurred for certain types of debt; for example, fees for financial advisory services, feasibility studies, underwriters' counsel, trustee, title insurance, liquidity support, and other items. Debt

issuance costs are customarily paid from debt proceeds.

Interfund Expenditures: Services rendered to Village departments and agencies by other Village departments. Examples include data processing services, payable procedures, and the allocating of central services costs.

Interfund Transfers: Amounts transferred from one fund to another.

Intergovernmental Revenue: Received from other governments, such as the state and federal governments in the form of grants, entitlements, shared revenues or payment in lieu of taxes.

Internal Service Fund: Funds used to account for the financing of goods or services provided by one department to another department on a cost reimbursement basis, for example, the Garage Fund.

Inventory: A detailed listing of property currently held by the government.

Levy: To impose taxes, special assessments, or service charges for the support of Village activities.

Line-Item Budget: A budget that lists detailed expenditure categories (salary, materials, telephone service, travel, etc.) separately, along with the amount budgeted for each specified category. The Village uses a program rather than line-item budget although detailed line-item accounts are maintained and recorded for financial reporting and control purposes.

Long Term Debt: Debt with a maturity of more than one year after the date of issuance.

Modified Accrual Accounting: A basis of accounting in which expenditures are accrued

but revenues are accounted for on a cash basis. This accounting technique is a combination of cash accrual accounting since expenditures are immediately incurred as a liability while revenues are not recorded until they are actually received or are "measurable" and "available for expenditure." Since this type of accounting basis is a conservative financial approach, it is recommended as the standard for most governmental funds.

Operating Budget: The portion of the budget that pertains to daily operations that provide basic governmental services. The operating budget contains appropriations for such expenditures as personnel, supplies, utilities, materials, travel and fuel.

Operating Fund: Resources derived from recurring revenue sources used to finance ongoing operating expenditures and pay-as-you-go capital projects.

Overlapping Local Government: A local government that levies taxes on and/or raises revenues from some of the same citizens as another underlying local government. For example, a county is an overlapping government for any city in the county if the county levies taxes on city residents. An assessment of the city's debt capacity must consider not only city debt and finances but also county debt and finances.

Pay-As-Go Capital Financing: Paying for capital projects and acquisitions from sources other than debt. Pay-as-go sources include current taxes and revenues, taxes and revenues raised in prior years and held as capital reserves, current or prior years' charges to property such as special assessments and impact fees, and grant revenues from the federal, state, or other governments. Also known as pay-as-you-go capital financing.

Personal Services: Costs related to compensating Village employees, including salaries, wages and benefits.

Program Budget: A budget that focuses upon the goals and objectives of a department.

Property Tax: Property taxes are levied on real property according to the property's valuation of the tax rate.

Recurring Capital: A major capital expenditure that is on a continual replacement basis (ex: vehicles, office equipment).

Regained Earnings: An equity account reflecting the accumulated earnings of the Village's Water and Sewer Fund.

Revenue: Is an increase in assets of financial resources which:

- does not increase a liability,
- does not represent a repayment of an expenditure already made,
- does not represent a cancellation of certain liabilities; and
- does not represent an increase in contributed capital.

Revenue Bonds: Bonds usually sold for constructing a project that will produce revenue for the government. The revenue is used to pay the principal and interest of the bond.

Reserve: An account used to indicate that portion of a Fund's balance is legally restricted for a specific purpose and is, therefore, not available for general appropriation.

Risk Management: An organized attempt to protect a government's assets against accidental loss in the most economical method.

Roadway Management System (RMS): This computer software system is used to rate all of the pavement conditions in the Village. A representative section for each street is sampled and all of the cracks and distresses are measured individually. Based on the computer generated rating, or pavement condition index (PCI) for each street.

Senior Lien Tax Increment Revenue Refunding Bond: The bonds were issued in one series totally \$4,285,000 and are registered in the name of Cede and Co., as registered owner and nominee of the Depository Trust Company. The bonds were issued in \$5,000 denominations and are not general obligations of the Village, but are limited obligations payable solely and only from incremental taxes.

Service Level: Alternative levels of services to be provided, the impact of providing these levels of services, and the expenditures required to accomplish their implementation and delivery.

Special Service Area (SSA) Number 1: The Special Service Area is approximately 167 acres which lies 100% within the TIF district. A Special Service Area is an area within a municipality in which special governmental services are provided in addition to the services provided generally throughout the municipality.

SSA No. 1 Bonds: The bonds were issued in the amount of \$2,185,000 and are registered in the name of Cede and Co., as registered owner and nominee of the Depository Trust Company ("DTC"). The bonds were issued in \$5,000 denominations and are not a general obligation of the Village.

Source of Revenue: Revenues are classified according to their source or point of origin.

Tax Increment Financing (TIF) District:

The Village established the Project Area on January 30, 1990, covering a 282 acre industrial area ("Carol Point Industrial Park") located in the Village's eastern portion. On April 24, 1990, the Project Area was amended by increasing it by 16 acres. The Developer expects to construct approximately 4,000,000 square feet of industrial buildings over the next several years.

TIF Bonds - Series A and B: The bonds were issued in two series - Series "A" and Series "B" totaling \$8,100,000 and are registered in the name of Cede and Co., as registered owner and nominee of the Depository Trust Company ("DTC"). The bonds were issued in \$5,000 denominations and are not general obligations of the Village, but are limited obligations payable solely and only from incremental taxes.

Unencumbered Balance: The amount of an appropriation that is neither expended nor encumbered. It is essentially the amount of money still available for future purchases.

Utility Tax: A tax levied by the Village on the customers of various utilities such as electricity, telephone and gas. The tax rate is 5% of the sale price of such utility service or commodity.

Visioning: Anticipating and making provisions for current and future needs of the community.

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